

# [MS-DHCPN]: Dynamic Host Configuration Protocol (DHCP) Extensions for Network Access Protection (NAP)

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## Revision Summary

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# 1 Introduction

The Dynamic Host Configuration Protocol (DHCP) is an Internet Engineering Task Force (IETF) standard protocol designed to reduce the administrative burden and complexity of configuring hosts on a **Transmission Control Protocol**/Internet Protocol (TCP/IP)-based network, such as a private intranet.

**Network Access Protection (NAP)** is a platform that enables an administrator to validate a machine's health before granting it access to the network. It provides for multiple enforcement mechanisms to validate the client's configuration, limit a client's network access, and enable a client to update itself while it has limited connectivity so that it can regain full network access. NAP allows multiple enforcement methods and also provides for new enforcement methods to be developed by different vendors.

This document specifies a set of vendor-class options defined for use by **DHCP clients** and **DHCP servers** to support NAP enforcement through DHCP.

## 1.1 Glossary

The following terms are defined in [\[MS-GLOS\]](#):

**Dynamic Host Configuration Protocol (DHCP) client**  
**Dynamic Host Configuration Protocol (DHCP) server**  
**enforcement client (EC)**  
**health policy server**  
**Internet Protocol version 4 (IPv4)**  
**Internet Protocol version 6 (IPv6)**  
**little-endian**  
**network byte order**  
**statement of health (SoH)**  
**statement of health response (SoHR)**  
**Transmission Control Protocol (TCP)**

The following terms are specific to this document:

**Network Access Protection (NAP):** A platform that implements system health-validated access in private networks. **NAP** provides a way to detect the health state of a host that is attempting to connect to (or communicate on) a network and to limit the network access of the client until the health policy requirements are met.

**Network Access Protection (NAP) agent:** A component that maintains the current health state information of the host on which the component is running.

**MAY, SHOULD, MUST, SHOULD NOT, MUST NOT:** These terms (in all caps) are used as described in [\[RFC2119\]](#). All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

## 1.2 References

References to Microsoft Open Specification documents do not include a publishing year because links are to the latest version of the documents, which are updated frequently. References to other documents include a publishing year when one is available.

## 1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact [dochelp@microsoft.com](mailto:dochelp@microsoft.com). We will assist you in finding the relevant information. Please check the archive site, <http://msdn2.microsoft.com/en-us/library/E4BD6494-06AD-4aed-9823-445E921C9624>, as an additional source.

[MS-DHCPE] Microsoft Corporation, "[Dynamic Host Configuration Protocol \(DHCP\) Extensions](#)".

[MS-DHCPM] Microsoft Corporation, "[Microsoft Dynamic Host Configuration Protocol \(DHCP\) Server Management Protocol Specification](#)".

[MS-NAPSO] Microsoft Corporation, "[Network Access Protection System Overview](#)".

[MS-RNAP] Microsoft Corporation, "[Vendor-Specific RADIUS Attributes for Network Access Protection \(NAP\) Data Structure](#)".

[MS-SOH] Microsoft Corporation, "[Statement of Health for Network Access Protection \(NAP\) Protocol Specification](#)".

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.rfc-editor.org/rfc/rfc2119.txt>

[RFC2131] Droms, R., "Dynamic Host Configuration Protocol", RFC 2131, March 1997, <http://www.ietf.org/rfc/rfc2131.txt>

[RFC2132] Alexander, S., and Droms, R., "DHCP Options and BOOTP Vendor Extensions", RFC 2132, March 1997, <http://www.ietf.org/rfc/rfc2132.txt>

[RFC2865] Rigney, C., Willens, S., Rubens, A., and Simpson, W., "Remote Authentication Dial In User Service (RADIUS)", RFC 2865, June 2000, <http://www.ietf.org/rfc/rfc2865.txt>

[RFC3004] Stump, G., Droms, R., Gu, Y., et al., "The User Class Option for DHCP", RFC 3004, June 2000, <http://www.ietf.org/rfc/rfc3004.txt>

[RFC3925] Littlefield, J., "Vendor-Identifying Vendor Options for Dynamic Host Configuration Protocol Version 4 (DHCPv4)", RFC 3925, October 2004, <http://www.ietf.org/rfc/rfc3925.txt>

## 1.2.2 Informative References

[MS-GLOS] Microsoft Corporation, "[Windows Protocols Master Glossary](#)".

[MSDN-DHCP] Microsoft Corporation, "Dynamic Host Configuration Protocol", <http://technet.microsoft.com/en-us/network/bb643151.aspx>

[MSDN-GUID] Microsoft Corporation, "GUID Structure", [http://msdn.microsoft.com/en-us/library/aa373931\(VS.85\).aspx](http://msdn.microsoft.com/en-us/library/aa373931(VS.85).aspx)

[MSDN-NAP] Microsoft Corporation, "Network Access Protection", [http://msdn.microsoft.com/en-us/library/aa369712\(VS.85\).aspx](http://msdn.microsoft.com/en-us/library/aa369712(VS.85).aspx)

[MSDN-NAPAPI] Microsoft Corporation, "NAP Interfaces", [http://msdn.microsoft.com/en-us/library/aa369705\(v=VS.85\).aspx](http://msdn.microsoft.com/en-us/library/aa369705(v=VS.85).aspx)

[MSDN-NAPCORRID] Microsoft Corporation, "GetStringCorrelationId Method", [http://msdn.microsoft.com/en-us/library/aa369481\(v=VS.85\).aspx](http://msdn.microsoft.com/en-us/library/aa369481(v=VS.85).aspx)

[RFC3315] Droms, R., Bound, J., Volz, B., et al., "Dynamic Host Configuration Protocol for IPv6 (DHCPv6)", RFC 3315, July 2003, <http://www.ietf.org/rfc/rfc3315.txt>

### 1.3 Overview

For more information about NAP, see [\[MSDN-NAP\]](#). The DHCP process is as specified in [\[RFC2131\]](#). For more information, see [\[MSDN-DHCP\]](#).

A synopsis of the basic DHCP messages used by a client to acquire a network address is specified in [\[MS-DHCPE\]](#) section 1.3.

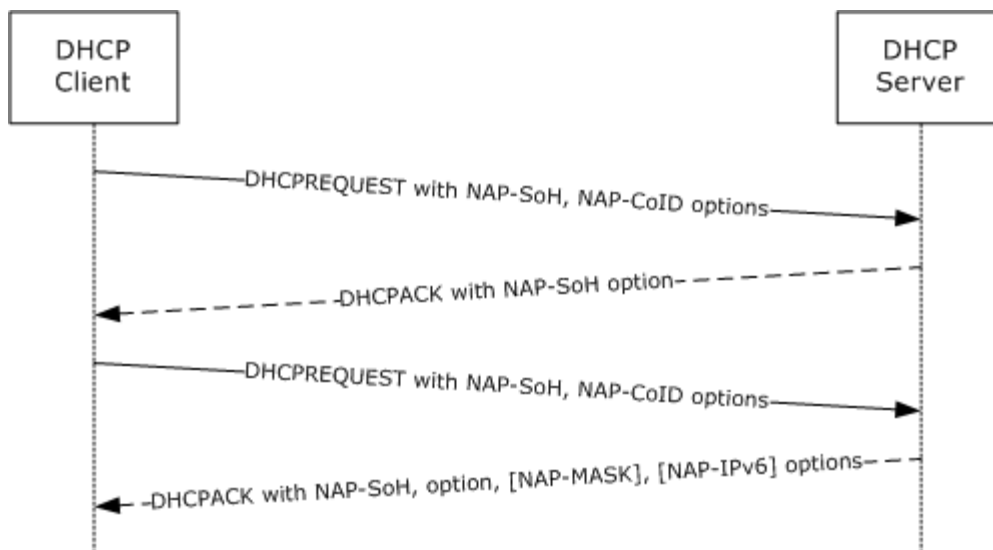
This section provides a synopsis of NAP enforcement using DHCP. It illustrates how a client can send system health information to a DHCP server and can be granted either restricted or normal access to the network, based on its health state. The DHCP protocol allows for extensibility by defining new DHCP options. NAP enforcement using DHCP defines new options in order to carry the health state and other control information between the client and server.

The following is an overview of the messages exchanged between the DHCP client and server and the details are explained in later sections.

1. The DHCP client sends a NAP **Statement of Health** ([NAP-SoH \(section 2.2.1.1\)](#)) as well as the Correlation ID ([NAP-CoID \(section 2.2.1.3\)](#)) within the vendor-specific option ([\[RFC2132\]](#), section 8.4) in a **DHCPDISCOVER** message to determine whether the DHCP server has NAP enabled.
2. A server that is NAP-enabled and receives a **DHCPDISCOVER** message including a NAP Statement of Health (NAP-SoH) will indicate that the server supports NAP by responding with a **DHCPOFFER** including a NAP-SoH, containing the text "NAP" inside the Vendor-Specific option ([\[RFC2132\]](#), section 8.4).
3. The client then selects an offer from one of the DHCP servers that responded (typically the first offer received). If the **DHCPOFFER** message corresponding to the selected server includes a NAP-SoH containing the text "NAP" inside the vendor specific option, then the client can send a **DHCPREQUEST** message to the selected server, containing the SoH in the NAP-SoH option encapsulated inside the Vendor-Specific option.
4. If the **DHCPREQUEST** message has a user class option which is exempt the client is processes as if NAP is disabled. If the **DHCPREQUEST** message has a user class option which is not exempt from NAP, the DHCP server sends the SoH token received from the client to the **health policy server** for validation. If the client is found to be compliant with the policy, the health policy server informs the DHCP server which responds with the network configuration options, as usual, and includes an appropriate SoH-Response (obtained from the health policy server) in the DHCP acknowledgment (**DHCPACK**) message. If the client is not compliant with the health policy, the DHCP server sends options to the client which will quarantine the client ([Section 3.2.5.2.1](#)).

A client that has been quarantined due to noncompliance with the administrator-defined health policies is expected to remedy its health state and trigger a DHCP Renew. In this event, the client sends its updated SoH to the DHCP server as part of the Renew transaction. If the client is found to be compliant with the health policy, the DHCP server grants the client normal network access by sending the default configuration values for the default gateway and the subnet mask.





**Figure 1: Client request attempt to remedy quarantine state**

## 1.4 Relationship to Other Protocols

The NAP extensions and vendor-specific options specified in this document rely on and are transported within DHCP.

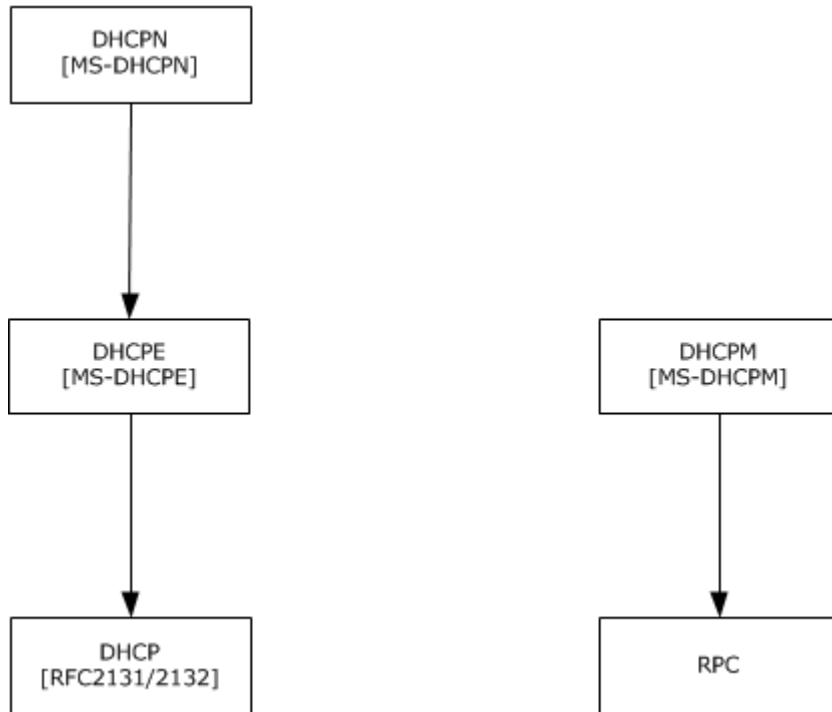
To use the vendor-specific options for DHCP NAP enforcement, support for the extensions defined in [\[MS-DHCPE\]](#) is required by both the DHCP server and the DHCP client. The relationship between the DHCP protocol elements and the shared ADM elements from [\[MS-DHCPM\]](#) (see [\[MS-DHCPE\]](#) section 1.4) also applies to servers that implement DHCP NAP enforcement.

A DHCP server would typically use these extensions in conjunction with RADIUS [\[RFC2865\]](#) and the Microsoft RADIUS Attributes for Network Access Protection [\[MS-RNAP\]](#), although these extensions do not depend on such. DHCP NAP enforcement can be configured on a DHCP server by using mechanisms specified in [\[MS-DHCPM\]](#) sections [3.1.4.42](#) through [3.1.4.51](#). The following is the relationship between [\[MS-DHCPM\]](#)-shared ADM elements and this protocol:

1. DHCP NAP enforcement can be disabled or enabled for a NAP-capable DHCP server by modifying the **DHCPv4ServerConfigInfo.QuarantineOn** element, which is a shared element (see [\[MS-DHCPM\]](#) section 3.1.1.1).
2. If DHCP NAP enforcement is enabled for a NAP-capable DHCP server as described above, it can further be overridden for a specific subnet (selected per [\[MS-DHCPM\]](#) section 1.4 point 1) by modifying the **DHCPv4Scope.ScopeInfo.QuarantineOn** element, which is a shared element (see [\[MS-DHCPM\]](#) section 3.1.1.2).
3. If the DHCP server is able to initialize the local health policy server, it will set the **DHCPv4ServerConfigInfo.QuarRuntimeStatus** element, which is a shared element (see [\[MS-DHCPM\]](#) section 3.1.1.1), to TRUE; else it will set it to FALSE. The value of this element being FALSE indicates that NAP is not enabled at runtime on the DHCP server despite being administratively enabled.

4. Upon processing DHCPREQUEST messages (elaborated in section [3.2.5.2](#)), the DHCP server will update the corresponding **DHCPv4Client** elements, which are shared elements (see [\[MS-DHCPM\]](#) section 3.1.1.7), with information about the client's NAP capability (**DHCPv4Client.QuarantineCapable**), current NAP status (**DHCPv4Client.QuarantineStatus**), and the end time of probation if the client is on probation (**DHCPv4Client.ProbationEnds**).
5. While selecting the network configuration for a NAP-capable client, the DHCP server uses the **DHCPv4ClassDef** object (a shared ADM element; see [\[MS-DHCPM\]](#) section 3.1.1.8) and follows the processing rules as defined in section [3.2.7.2](#).

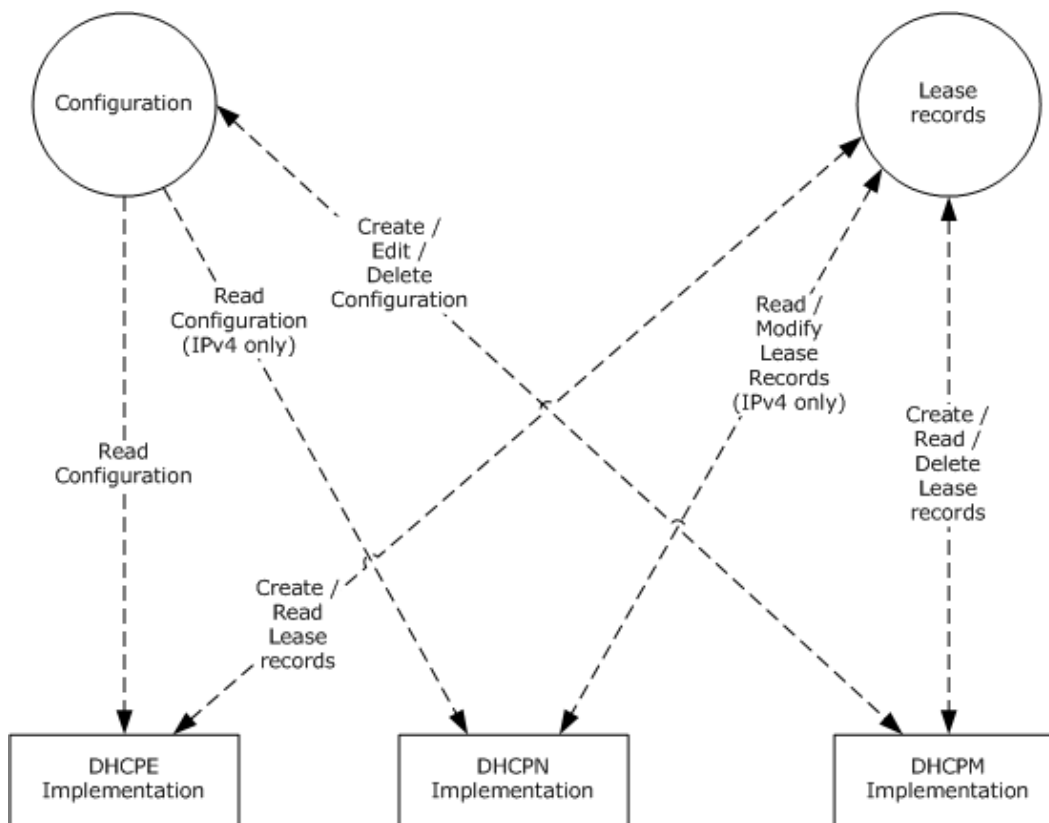
The following diagram illustrates the layering of the protocol in this section with other protocols in its stack.



**Figure 2: Protocol layering diagram**

It may be noted that the current protocol is only implemented using DHCPv4 options and therefore only extends the IPv4-specific functionality of [MS-DHCPE].

The following data flow diagram illustrates the interaction of the server implementation of this protocol with those of other protocols in its stack.



**Figure 3: Server-side interaction with related protocols**

## 1.5 Prerequisites/Preconditions

None.

## 1.6 Applicability Statement

The use of DHCP vendor-specific options for NAP is applicable in environments where DHCP is applicable and where security is not a strict requirement.

## 1.7 Versioning and Capability Negotiation

The DHCP vendor-specific options used by NAP are not versioned.

DHCP servers and clients identify these vendor-specific options as being DHCP NAP options through the presence of a Vendor Class Identifier option as specified in [\[MS-DHCPE\]](#) section 2.2.3.

**Supported Transports:** [MS-DHCPN] uses [MS-DHCPE] as its sole transport. [MS-DHCPE] extends DHCP [\[RFC2131\]](#). [MS-DHCPN] does not include support for using [MS-DHCPE] extensions for DHCPv6 [\[RFC3315\]](#) as a transport.

## 1.8 Vendor-Extensible Fields

None.

## 1.9 Standards Assignments

None.

## 2 Messages

### 2.1 Transport

All DHCP extensions used by NAP are transported within DHCP, as specified in [\[RFC2131\]](#) section 4.1 (for DHCPv4).

### 2.2 Message Syntax

The DHCP extensions used by NAP follow the message format defined for vendor-specific options, as specified in [\[RFC2132\]](#) section 8.4 and [\[RFC3925\]](#) section 6.

All multibyte option fields and values described in this specification are defined to be in **network byte order** unless indicated otherwise.

#### 2.2.1 DHCP Option Code 43 (Microsoft Vendor-Specific Options)

DHCP clients and servers supporting NAP use DHCP vendor-specific options for exchanging NAP-specific information through DHCP. These vendor-specific options MUST be sent as vendor-specific extensions as part of DHCP option 43, as specified in [\[RFC2132\]](#) section 8.4.

The Microsoft Encoding Long Options Packet, specified in [\[MS-DHCPE\]](#) section 2.2.9, MUST be used when the cumulative size of all the vendor-specific options being sent in a message exceeds 255 bytes.

##### 2.2.1.1 NAP-SoH Option

The NAP-SoH vendor-specific option encapsulates the SoH token for transmission to the DHCP server. This option is also used to determine whether the DHCP server is NAP-capable.

This vendor-specific option MUST be encapsulated inside option 43, as specified in [\[RFC2132\]](#) section 8.4.

The NAP-SoH option is defined as follows.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Vendor-Specific_Option_Code									Vendor-Specific_Option_Length								Vendor-Specific_Option_Data (variable)														
...																															

**Vendor-Specific\_Option\_Code (1 byte):** This MUST be 220 (0xDC).

**Vendor-Specific\_Option\_Length (1 byte):** Length in bytes of the **Vendor-Specific\_Option\_Data** field.

**Vendor-Specific\_Option\_Data (variable):** This MUST contain one of the following:

No Data: A NAP-SoH option of **Vendor-Specific\_Option\_Length** zero is sent by the client in the **DHCPDISCOVER** message to determine whether NAP is enabled on the server. However, Vendor-Specific Option 43 never has a length of zero. In the **DHCPDISCOVER** message, the Vendor-Specific Option 43 has a length of 134, containing Option 222 (0xDE) for NAP-CoID,

whose length is 130, and Option 220 (0xDC), whose length is 0. Option 220 (0xDC) with a length of 0 is included by the client in order to probe whether NAP is enabled on the server. [NAP-CoID](#) (option 222 (0xDE)) contains a randomly generated correlation ID to enable end-to-end correlation of NAP transaction between the DHCP client and the DHCP server and is defined in section [2.2.1.3](#).

Zero of length 1: One byte with value 0x00 sent by the client in **DHCPREQUEST** or **DHCPINFORM** messages to check whether NAP has been enabled on the server.

Data of length 3: With data as string "NAP" in network byte order, sent by the server in **DHCPOFFER** or **DHCPACK** messages to indicate to the client that NAP is enabled on the server.

System SoH: Binary data of variable length, as defined in [\[MS-SOH\]](#), representing the client's health state, sent by the client in **DHCPREQUEST** messages.

SoH-Response: Binary data of variable length, as defined in [\[MS-SOH\]](#), representing the client's quarantine state, sent by the server in **DHCPACK** messages.

### 2.2.1.2 NAP-Mask Option

If the DHCP server determines that the DHCP client must be quarantined, it overrides the administrator-configured **IPv4** subnet mask for that subnet and instead sends 255.255.255.255 as the subnet mask in DHCP option 1 (as specified in [\[RFC2132\]](#) section 3.3). In this case, the original subnet mask configured by the administrator **MUST** be sent as a vendor-specific option to the client in **little-endian** byte order. The original subnet mask **MAY** be used by clients that do not support classless static routes and that rely on the DHCP Static Route option defined in [\[RFC2132\]](#) for their routing information.[<1>](#)

This vendor-specific option **MUST** be encapsulated inside option 43, as specified in [\[RFC2132\]](#) section 8.4.

The NAP Subnet Mask (NAP-Mask) option is defined as follows.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Vendor-Specific_Option_Code									Vendor-Specific_Option_Length							Vendor-Specific_Option_Data															
...																															

**Vendor-Specific\_Option\_Code (1 byte):** This **MUST** be 0xDD.

**Vendor-Specific\_Option\_Length (1 byte):** This **MUST** be 0x04.

**Vendor-Specific\_Option\_Data (4 bytes):** Subnet mask in little-endian byte order.

### 2.2.1.3 NAP-CoID Option

This vendor-specific option is sent by a DHCP client if NAP has been enabled on it. It is used to send a randomly generated correlation ID generated by the client to the DHCP server to enable end-to-end correlation of NAP transactions between a DHCP client and a DHCP server. (This correlation ID is used only for logging.)

This vendor-specific option is encapsulated inside option 43, as specified in [\[RFC2132\]](#) section 8.4.

The NAP Correlation ID (NAP-CoID) option is defined as follows.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Vendor-Specific_Option_Code								Vendor-Specific_Option_Length								Vendor-Specific_Option_Data															
...																															
...																															
...																															
...																															
...																															
...																															
(Vendor-Specific_Option_Data cont'd for 25 rows)																															

**Vendor-Specific\_Option\_Code (1 byte):** This MUST be 0xDE.

**Vendor-Specific\_Option\_Length (1 byte):** This MUST be 0x82.

**Vendor-Specific\_Option\_Data (130 bytes):** Binary data representing a correlation ID that SHOULD [<2>](#) be generated randomly.

2.2.1.4 NAP-IPv6 Option

This vendor-specific option is used to send a list of **IPv6** addresses of NAP remediation servers that the DHCP client can access while it is quarantined.

This vendor-specific option MUST be encapsulated inside option 43, as specified in [\[RFC2132\]](#) section 8.4.

The NAP IPv6 Remediation Server List (NAP-IPv6) option is defined as follows.

											1																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
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...

**Vendor-Specific\_Option\_Code (1 byte):** This MUST be 0xDF.

**Vendor-Specific\_Option\_Length (1 byte):** If nonzero, the value is calculated as  $(N \times 16 + 1)$  bytes, where N is the number of IPv6 remediation-server addresses. An option length of zero also indicates zero IPv6 remediation server addresses.

**Number\_of\_IPv6\_Remediation\_Server\_Addresses (1 byte):** The number of NAP IPv6 remediation server addresses.

**Vendor-Specific\_Option\_Data (variable):** IPv6 addresses of NAP remediation servers in network byte order.

### 2.2.2 DHCP Option Code 77 (0x4D) - User Class Option

This section specifies the user class that is used for NAP.

DHCP servers that support NAP have the "Default Network Access Protection Class" user class with value "MSFT Quarantine" predefined on them (see [\[MS-DHCPM\]](#) section 3.1.1.8).

A DHCP client request that contain a user class option with value "MSFT Quarantine" is considered exempt from enforcement and no further NAP processing is performed on the message.

The format for the User Class option used by clients and servers implementing this specification is defined in [\[RFC3004\]](#) and in [\[MS-DHCPE\]](#) section 2.2.6.



## 3 Protocol Details

### 3.1 Client Details

This section specifies the DHCP NAP client behavior.

#### 3.1.1 Abstract Data Model

See section [3.3.1](#) for common details.

In addition, DHCP clients implementing this specification are required to track the following state:

**NAP-Capable Server:** State indicating whether the DHCP server with which the client is communicating has NAP Enforcement enabled on it. This information is used to determine whether NAP-specific information should be exchanged with that server in message exchanges. Possible values are "Unknown", "Yes", and "No".

**QecConnection:** A connection object reference used to identify the protocol connection.

#### 3.1.2 Timers

There are no timers beyond those in [\[MS-DHCPE\]](#) section 3.1.2.

#### 3.1.3 Initialization

See [\[MS-DHCPE\]](#) section 3.1.3 for DHCP client initialization.

#### 3.1.4 Higher-Layer Triggered Events

See [\[MS-DHCPE\]](#) section 3.1.4 for the higher-layer triggered events for the DHCP client.

If DHCP enforcement is enabled for NAP on a DHCP client and the network interface is brought up, then the DHCP client **MUST** create a QecConnection with the NAP Agent by invoking the DhcpQecConnection method described in section [3.1.7.4](#).

If DHCP enforcement is enabled for NAP on a DHCP client, the client **MUST** also trigger a **DHCPDISCOVER** or a DHCP Renew transaction as appropriate whenever the system health state or configuration changes. Due to the vulnerability of DHCP, DHCP clients **SHOULD NOT** attempt to use NAP on unauthenticated wireless networks.

##### 3.1.4.1 Creating and Transmitting a DHCPDISCOVER Message

Whenever a DHCP client sends a **DHCPDISCOVER** message, the DHCP client implementing this specification **MUST** indicate its capability to the DHCP server by sending the SoH vendor-specific option with length equal to zero. It **MUST** also include a [NAP-CoID](#) option (section [2.2.1.3](#)) in this message. The [NAP-SoH](#) (section [2.2.1.1](#)) option and [NAP-CoID](#) (section [2.2.1.3](#)) option are appended to the **DHCPDISCOVER** message packet by calling DhcpAppendVendorSpecificOption ([\[MS-DHCPE\]](#) section 3.1.7.1).

In addition, it **MUST** set its NAP-Capable Server state to "Unknown".

### 3.1.4.2 Creating and Transmitting a DHCPREQUEST Message During Lease Renewal

Whenever a DHCP client sends a **DHCPREQUEST** message during DHCP lease renewal and its NAP-Capable Server state is set to "Unknown", it MUST include the [NAP-SoH \(section 2.2.1.1\)](#) option of length one octet and data equal to zero in the **DHCPREQUEST** message. It MUST also include a [NAP-CoID \(section 2.2.1.3\)](#) option in this message.

If instead the NAP-Capable Server state is set to "Yes", it MUST retrieve the updated SoH message (see [\[MS-SOH\]](#)) by calling [DhcpClientGetSoH \(section 3.1.7.1\)](#) and sending the SoH token in the NAP-SoH option in the **DHCPREQUEST** message as specified in section [2.2.1.1](#). It MUST also include a NAP-CoID option in this message.

The NAP-SoH (section 2.2.1.1) option and NAP-CoID (section 2.2.1.3) option are appended to the **DHCPREQUEST** message packet by calling [DhcpAppendVendorSpecificOption \(\[MS-DHCPE\] section 3.1.7.1\)](#) with appropriate parameters provided as input.

If instead the NAP-Capable Server state is set to "No", it MUST send the message without any options defined in this document.

### 3.1.4.3 Creating and Transmitting a DHCPINFORM Message

Whenever a DHCP client sends a **DHCPINFORM** message and its NAP-Capable Server state is set to "Unknown", it MUST include the [NAP-SoH \(section 2.2.1.1\)](#) option of length one octet and the data equal to zero in the **DHCP INFORMATION-REQUEST (DHCPINFORM)** message. It MUST also include a [NAP-CoID \(section 2.2.1.3\)](#) option in this message.

If instead the NAP-Capable Server state is set to "Yes", it MUST retrieve the updated SoH message (see [\[MS-SOH\]](#)) by calling [DhcpClientGetSoH \(section 3.1.7.1\)](#) and sending the SoH token in the NAP-SoH option in the **DHCPINFORM** message as specified in section [2.2.1.1](#). It MUST also include a NAP-CoID option in this message.

The NAP-SoH (section 2.2.1.1) option and NAP-CoID (section 2.2.1.3) option are appended to the **DHCPINFORM** message packet by calling [DhcpAppendVendorSpecificOption \(\[MS-DHCPE\] section 3.1.7.1\)](#) with appropriate parameters provided as input.

If instead the NAP-Capable Server state is set to "No", it MUST send the message without any options defined in this document.

## 3.1.5 Processing Events and Sequencing Rules

DHCP message processing is specified in [\[MS-DHCPE\] section 3.1.5](#), with additional behavior specified in the following sections.

### 3.1.5.1 Receiving a DHCPPOFFER Message

If the **DHCPPOFFER** message from the DHCP server contains a [NAP-SoH \(section 2.2.1.1\)](#) option with length equal to 3 and value equal to the string "NAP", the client MUST set its NAP-Capable Server state to "Yes". In addition, the client MUST send the SoH token in the NAP-SoH option in the **DHCPREQUEST** message as specified in section [2.2.1.1](#). It MUST also include a [NAP-CoID \(section 2.2.1.3\)](#) option in this message.

The NAP-SoH (section 2.2.1.1) option and NAP-CoID (section 2.2.1.3) option are extracted from the **DHCPREQUEST** message packet by calling [DhcpExtractVendorSpecificOption \(\[MS-DHCPE\] section 3.1.7.2\)](#).

In the **DHCPREQUEST** packet, the NAP-SoH (section 2.2.1.1) option and NAP-CoID (section 2.2.1.3) option are appended by calling DhcpAppendVendorSpecificOption ([MS-DHCPE] section 3.1.7.1).

Otherwise, the client MUST set its NAP-Capable Server state to "No" and send the **DHCPREQUEST** message without any of the options defined in this document.

### 3.1.5.2 Receiving a DHCPACK Message in Response to a DHCPREQUEST Message During New Lease Acquisition

If the client has its NAP-Capable Server state set to "Yes" and it receives a **DHCPACK** message that contains a [NAP-SoH \(section 2.2.1.1\)](#) option from the DHCP server, the DHCP client MUST extract the SoH-Response from the NAP-SoH option and process it by calling [DhcpClientProcessSoHR \(section 3.1.7.2\)](#). The NAP-SoH (section 2.2.1.1) option is extracted from the **DHCPACK** message by calling DhcpExtractVendorSpecificOption ([MS-DHCPE] section 3.1.7.2). If the SoH-Response indicates that the client is being quarantined and the [NAP-IPv6](#) option is present in the message, the client MUST extract the addresses of the IPv6 Remediation servers from the NAP-IPv6 option by calling DhcpExtractVendorSpecificOption ([MS-DHCPE] section 3.1.7.2) and block (in an implementation-specific<3> way) all inbound and outbound IPv6 traffic on the network interface on which the DHCP message was received except ICMPv6 and DHCPv6 traffic and traffic to and from the IPv6 Remediation server addresses. If the client is being quarantined and the NAP-IPv6 option is not present in the message, the client MUST block (in an implementation-specific<4> way) all IPv6 traffic except ICMPv6 and DHCPv6 traffic on the network interface on which the DHCP message was received. If the client is not being quarantined, any NAP-IPv6 option MUST be ignored. If the Subnet Mask and Router options as defined in [RFC2132] sections 3.3 and 3.5 respectively are present in the message the corresponding Subnet Mask and Router IP addresses MUST be configured in the TCP/IP stack. The Microsoft Classless Static Routes option, if present in the message, is processed as specified in [MS-DHCPE] section 3.1.5.2. The NAP-Mask option, if present in the message, MAY<5> be used by clients that do not support classless static routes and that rely on the DHCP Static Route option defined in [RFC2132] for their routing information.

If the client has its NAP-Capable Server state set to "Yes" and the **DHCPACK** message NAP-SoH received from the DHCP server does not contain an option, the DHCP client MUST process the message as described in the preceding paragraph as if it contained a NAP-SoH option with **Vendor-Specific\_Option\_Length** set to zero.

If the client has its NAP-Capable Server state set to "No", the client MUST process the **DHCPACK** message as if none of the options defined in this specification were present in the message.

### 3.1.5.3 Receiving a DHCPACK Message in Response to a DHCPINFORM Message

If the DHCP client's NAP-Capable Server state is set to "Unknown" and the client receives from the server a **DHCPACK** message that contains the [NAP-SoH \(section 2.2.1.1\)](#) option of length 3 and data as the string "NAP", it MUST set its NAP-Capable Server state to "Yes". The NAP-SoH (section 2.2.1.1) option is extracted from the **DHCPACK** message by calling DhcpExtractVendorSpecificOption ([MS-DHCPE] section 3.1.7.2). In addition, the client MUST discard the **DHCPACK** message and retransmit the **DHCPINFORM** message as specified in section 3.1.4.3. Otherwise, the client MUST set its NAP-Capable Server state to "No" and process the remainder of the **DHCPACK** message as it normally would in the absence of NAP.

If the DHCP client's NAP-Capable Server state is set to "Unknown" and the client receives a **DHCPACK** message from the server that does not contain the NAP-SoH option of length 3 and the data as string "NAP", the client MUST set its NAP-Capable Server state to "No" and process the rest of the message as if none of the options defined in this specification were present in the message.

Otherwise, the message SHOULD be processed as specified in section [3.1.5.2](#); the client MAY instead ignore the NAP-SoH option and the [NAP-IPv6 \(section 2.2.1.4\)](#) option (if any) and process the message as if they were not present in the message.

### 3.1.5.4 Receiving a DHCPACK Message in Response to a DHCPREQUEST Message During Lease Renewal

If the DHCP client's NAP-Capable Server state is set to "Unknown" and the client receives from the server a **DHCPACK** message that contains the [NAP-SoH \(section 2.2.1.1\)](#) option of length 3 and data as the string "NAP", it MUST set its NAP-Capable Server state to "Yes". The NAP-SoH (section 2.2.1.1) option is extracted from the **DHCPACK** message by calling DhcpExtractVendorSpecificOption ([\[MS-DHCPE\]](#) section 3.1.7.2). In addition, the client MUST discard the **DHCPACK** message and retransmit the **DHCPREQUEST** message as specified in section [3.1.4.2](#). Otherwise, the client MUST set its NAP-Capable Server state to "No" and process the remainder of the **DHCPACK** message as it normally would in the absence of NAP.

If the client has its NAP-Capable Server state set to "Unknown" and the client receives from the server a **DHCPACK** message that does not contain the NAP-SoH option of length 3 and data as the string "NAP", the client MUST set its NAP-Capable Server state to "No" and process the rest of the message as if none of the options defined in this specification were present in the message.

Otherwise, the message MUST be processed as specified in section [3.1.5.2](#).

### 3.1.6 Timer Events

See section [3.3.6](#).

### 3.1.7 Other Local Events

#### 3.1.7.1 DhcpClientGetSoH

If DHCP is **NAP**-capable, the DHCP client uses this method to initiate the task of obtaining the SoH message (see [\[MS-SOH\]](#)) to be sent as payload of [NAP-SoH Option \(section 2.2.1.1\)](#) in a **DHCPREQUEST** or **DHCPINFORM** message. This method will invoke the NAP agent as specified in Create and Send SoH Task (section [5.3.8](#)) of [\[MS-NAPSO\]](#). When the SoH message is ready the **NAP Agent** will invoke the DhcpClientNotifySoHChange method in section [3.1.7.3](#).

#### Inputs:

- *QecConnection*: A connection object reference used to identify the protocol connection as described in [\[MS-NAPSO\]](#) section 5.3.3.

**Outputs:** None

**Constraints:** None

#### 3.1.7.2 DhcpClientProcessSoHR

The DHCP layer on the client uses this method to process the **statement of health response (SoHR)** message (see [\[MS-SOH\]](#)). The enforcement client (in this case, the DHCP client) will invoke the NAP agent as specified in [Process SoHR Task \(section 11.4.5\)](#) of [\[MS-NAPSO\]](#) passing the input parameters.

#### Inputs:

- *pSoHR*: A pointer to buffers containing the SoHR as described in [\[MS-SOH\]](#) section 2.2.5.

- *dwSoHRLen*: A 32-bit unsigned integer specifying the number of bytes in the *pSoHR* parameter.

**Outputs:** None

**Constraints:** None

### 3.1.7.3 DhcpClientNotifySoHChange

Whenever there is a change in the DHCP client computer's health, the NAP Agent informs the DHCP client by calling this abstract interface as described in [\[MS-NAPSO\]](#) section 5.4.5. This method also invoked by the **NAP Agent** in response to DhcpClientGetSoH request and MUST be sent.

If DHCP is **NAP**-capable, the **NAP Agent** uses this method to set the SoH message (see [\[MS-SOH\]](#)) to be sent as payload of NAP-SoH Option (section [2.2.1.1](#)).

**Inputs:**

- *pSoH*: A pointer to buffers containing the **SoH** as described in [\[MS-SOH\]](#) section 2.2.5.
- *dwSoHLen*: A 32-bit unsigned integer specifying the number of bytes in the *pSoH* parameter.
- *pCorrelationId*: A pointer to 130-byte buffer unique transaction identifier shared between the DHCP client and server.

**Outputs:** None

**Constraints:**

- If the DHCP client has not yet acquired an IP address, the interface will invoke the processing rules in [Creating and Transmitting a DHCPDISCOVER Message \(section 3.1.4.1\)](#).
- If the DHCP client has acquired an IP address, the interface will invoke the processing rules in [Creating and Transmitting a DHCPREQUEST Message During Lease Renewal \(section 3.1.4.2\)](#).

### 3.1.7.4 DhcpOecConnection

Establish, create, and pass an enforcement client connection to the **NAP Agent**. This method establishes and provides the necessary context necessary to identify the connection requesting the SoH method.

**Inputs:**

- *QecConnection*: An connection entry which represents the DHCP enforcement client's connection which is passed to the **NAP Agent** to add to *QecConnection-cache*
- *ProtocolType*: Set to the "DHCPN" string.

**Outputs:** None

**Constraints:** None

## 3.2 Server Details

This section specifies the DHCP NAP server behavior.

### 3.2.1 Abstract Data Model

DHCP-Correlation-Id: The server MUST store the DHCP-Correlation-Id that it receives in a DHCPREQUEST message. It MUST use the same DHCP-Correlation-Id in the resulting DHCP response.

See section [3.3.1](#) for the common Abstract Data Model.

This protocol includes the following ADM elements, which are directly accessed from [\[MS-DHCPM\]](#) as specified in [\[MS-DHCPM\]](#) section 3.1.1:

- DHCPv4ServerConfigInfo.QuarantineOn
- DHCPv4ServerConfigInfo.QuarRuntimeStatus
- DHCPv4ServerConfigInfo.QuarDefFail
- DHCPv4Scope.QuarantineOn
- DHCPv4Scope.ScopeInfo.SubnetMask
- DHCPv4Client.QuarantineCapable
- DHCPv4Client.QuarantineStatus
- DHCPv4Client.ProbationEnds

### 3.2.2 Timers

**Health Check Timeout:** This timer is initialized whenever the DHCP server sends the SoH to the health policy server using [DHCPv4ServerProcessSoH \(section 3.2.7.1\)](#). The timer is stopped when the health policy server responds with an SoH-Response.

### 3.2.3 Initialization

None.

### 3.2.4 Higher-Layer Triggered Events

None.

### 3.2.5 Processing Events and Sequencing Rules

DHCP message processing is specified in [\[MS-DHCPE\]](#) section 3.2.5, with additional behavior specified in the following sections.

#### 3.2.5.1 Receiving a DHCPDISCOVER Message

When a DHCP server that is NAP-enabled (determined per section [1.4](#) points 1 and 2) receives a **DHCPDISCOVER** message, it extracts the [NAP-SoH \(section 2.2.1.1\)](#) and [NAP-CoID \(section 2.2.1.3\)](#) options from the message packet by calling DhcpExtractVendorSpecificOption ([\[MS-DHCPE\]](#) section 3.2.7.3). If the SoH vendor-specific option has length equal to one octet and data equal to zero, the DHCP server MUST respond with a [DHCP OFFER](#) message that contains a NAP-SoH (section 2.2.1.1) option with length equal to 3 and value equal to "NAP", and the NAP-CoID (section 2.2.1.3) option as it is in the **DHCPDISCOVER** message packet. The NAP-SoH (section 2.2.1.1) and NAP-

CoID (section 2.2.1.3) options are appended to the DHCP OFFER message packet by calling `DhcpAppendVendorSpecificOption` ([MS-DHCPE] section 3.2.7.1).

### 3.2.5.2 Receiving a DHCPREQUEST Message

When a DHCP server receives a [DHCPREQUEST](#) message, it processes it as specified in [RFC2131](#) section 4.3.2. As specified there, the presence of a "server identifier" option indicates a new lease acquisition, and the absence of one indicates a lease renewal.

#### 3.2.5.2.1 Receiving a DHCPREQUEST Message for New Lease Acquisition

If the DHCPREQUEST contains a user class option with value "MSFT Quarantine" (see [\[MS-DHCPM\]](#) section 3.1.1.8), that request is considered exempt from quarantine, no further NAP processing is performed on the message, and it is processed per [\[MS-DHCPE\]](#) section 3.2.5.

Otherwise, the [NAP-SoH \(section 2.2.1.1\)](#) and [NAP-CoID \(section 2.2.1.3\)](#) options are extracted from the message packet by calling `DhcpExtractVendorSpecificOption` ([MS-DHCPE] section 3.2.7.3). If the message contains a NAP-CoID option the value is stored in the ADM element DHCP-Correlation-Id. If the message from the client contained the SoH token in a NAP-SoH (section 2.2.1.1) option, a DHCP server that is NAP-enabled (determined per section 1.4 points 1 and 2) SHOULD extract the SoH token sent by the DHCP client in the message, pass it to the health policy server for validation by using [DHCPServerProcessSoH \(section 3.2.7.1\)](#), and initialize the Health Check Timeout timer to 2 seconds. The health policy server returns the SoH-Response and the as described in [\[MS-NAPSO\]](#) section 10.4.5 by invoking the [DHCPServerSetSoHR \(section 3.2.7.3\)](#) abstract interface.

#### 3.2.5.2.2 Processing the SoH-Response from the Health Policy Server

The SoH-Response from the health policy server is sent to the DHCP server by calling the abstract interface [DHCPServerSetSoHR \(section 3.2.7.3\)](#) as described in [\[MS-NAPSO\]](#) section 10.4.5.

If the health policy server indicates that the client is not compliant with the NAP health policies, the `DHCPServerSetSoHR` method will trigger creation of a [DHCPACK](#) containing the DHCP attributes defined in `DHCPServerSetSoHR` (section 3.2.7.3).

If the health policy server indicates that the client is compliant with the NAP health policies, the [DHCPREQUEST](#) is processed as a normal DHCPREQUEST and the network configuration (option values) is to be sent to the client as specified in section 1.4 (point 5).

#### 3.2.5.2.3 No SoH-Response Received Within Health Check Timeout

If the DHCP server is unable to get a response from the health policy server, the processing will happen as configured in the `DHCPv4ServerConfigInfo.QuarDefFail` element, which is a shared element (see [\[MS-DHCPM\]](#) section 3.1.1.1). If the value of this element is set to NOQUARANTINE, the client will have normal access to the network. If the value is set to DROPPACKET, the behavior is the same as if the health policy server indicated that the client request should be rejected. If the value is set to RESTRICTEDACCESS, the client will be considered noncompliant with the NAP health policy.

#### 3.2.5.2.4 Receiving a DHCPREQUEST Message During Lease Renewal

When a DHCP server receives a **DHCPREQUEST** message, it extracts the [NAP-SoH \(section 2.2.1.1\)](#) and [NAP-CoID \(section 2.2.1.3\)](#) options from the message packet by calling `DhcpExtractVendorSpecificOption` ([MS-DHCPE] section 3.2.7.3). If the NAP-SoH (section 2.2.1.1) option is of length equal to one octet and its data is equal to zero, a server that is NAP-enabled



(determined per section [1.4](#) points 1 and 2) MUST respond with a [DHCPACK](#) message containing the NAP-SoH option of length 3 and data as the string "NAP" and the NAP-CoID (section 2.2.1.3) option as it is in the DHCPREQUEST message packet. The remaining options in the DHCPACK message SHOULD be the same as would be sent to a client that is not capable of supporting NAP. The NAP-SoH (section 2.2.1.1) and NAP-CoID (section 2.2.1.3) options are appended to the [DHCPACK](#) message packet by calling DhcpAppendVendorSpecificOption ([[MS-DHCPE](#)] section 3.2.7.1).

Otherwise, the message MUST be processed as specified in section [3.2.5.2.1](#).

### 3.2.5.3 Receiving a DHCPINFORM Message

The [DHCPINFORM](#) message SHOULD [<6>](#) be processed as specified in section [3.2.5.2.4](#); when a DHCP server that is NAP-enabled (determined per section [1.4](#) points 1 and 2) receives a DHCPINFORM message, it extracts the [NAP-SoH \(section 2.2.1.1\)](#) and [NAP-CoID \(section 2.2.1.3\)](#) options from the message packet by calling DhcpExtractVendorSpecificOption ([[MS-DHCPE](#)] section 3.2.7.3). It MAY instead respond back to a DHCPINFORM message from the client containing the SoH token in the NAP-SoH option with a [DHCPACK](#) message containing a NAP-SoH option containing the non-null-terminated string "NAP" of length 3 in network byte order and the NAP-CoID (section 2.2.1.3) option as it is in the DHCPINFORM message packet. The NAP-SoH (section 2.2.1.1) is appended to the [DHCPACK](#) message packet by calling DhcpAppendVendorSpecificOption ([[MS-DHCPE](#)] section 3.2.7.1).

### 3.2.6 Timer Events

**Health Check Timeout:** When this timer expires, it denotes a period in which the DHCP server has sent the SoH to the health policy server but has not received a corresponding SoH-Response. The following are the tasks to be performed when this timer expires:

- Invoke the processing rules in section [3.2.5.2.3](#), No SoH-Response Received Within Health Check Timeout.

### 3.2.7 Other Local Events

#### 3.2.7.1 DHCPProcessSoH

If the DHCP server is NAP-capable and has received a SoH message (see [[MS-SOH](#)]), the DHCP server uses this method to prepare the parameters and process the SoH message through the [Proxy SoH Task \(section 6\)](#) of [[MS-NAPSO](#)].

The following parameters are provided by the DHCP server and correspond to the input parameters defined in Proxy SoH Task section [6.3.3](#) used to query the NPS server defined in [[MS-RNAP](#)] section 4.3.

#### Inputs:

- *NasServerType*: Set to string "DHCPN".
- *QuarantineProfile*: Quarantine profile name obtained by matching subnet name from the scope identifier.
- *TransactionId*: XID element from the DHCP message.
- *CorrelationId*: Client entry address defined in DHCPM for transaction context.
- *ClientIPv4Address* or *ClientIPv6Address*: IP address of the client machine.



- *ClientName*: Client NetBIOS name (hostname) string.
- *MachineName*: Fully qualified domain name of the client and is used to communicate the machine name of the endpoint requesting network access.
- *SoHRequest*: The Statement of Health information from the endpoint for RNAP client to send to NPS Server for evaluation.
- *SoHReqLength*: Length from DHCP message vendor specific option [NAP-SoH \(section 2.2.1.1\)](#).
- *DhcpServiceClass*: Compliant scope identifier or requested subnet address.
- *CallingStationID*: Client hardware (MAC) address.
- *NASIdentifier*: Fully qualified domain name of the DHCP server.

### 3.2.7.2 DhcpGetNetworkConfigurationForClient

The DHCP server uses this method to get the network configuration for NAP-capable clients.

Wherever the client message contains a user class option ([RFC3004]) and there exists a DHCPv4ClassDef object (see [\[MS-DHCPM\]](#) section 3.1.1.8) that matches DHCPv4ClassDef.ClassData and DHCPv4ClassDef.ClassDataLength, follow the steps outlined in [\[MS-DHCPE\]](#) section 1.4 item number 9.

Wherever the client message contains a user class option ([\[RFC3315\]](#) section 22.15) and there exists a DHCPv6ClassDef object (see [\[MS-DHCPM\]](#) section 3.1.1.19) that matches DHCPv6ClassDef.ClassData and DHCPv6ClassDef.ClassDataLength, follow the steps outlined in [\[MS-DHCPE\]](#) section 1.4 item number 16.

The Subnet Mask option (DHCP option 1, as specified in [\[RFC2132\]](#) section 3.3) is overridden and MUST be set to the value from the **DHCPv4Scope.ScopeInfo.SubnetMask** ADM element as defined in [\[MS-DHCPM\]](#) section 3.1.1.2.

### 3.2.7.3 DHCPv4ServerSetSoHR

This abstract interface is used by the health policy server (Proxy SoHR Task – section 10 of [\[MS-NAPSO\]](#)) to send the SoH-Response along with other input parameters to the DHCP server.

#### Inputs:

- *dwQuarantineState*: A 32-bit unsigned integer specifying the quarantine status response. The permissible values are defined in [\[MS-DHCPM\]](#) section 2.2.1.1.1.
- *pSoHR*: A pointer to buffers containing the SoHR as described in [\[MS-SOHR\]](#) section 2.2.6.
- *dwSoHRLen*: A 32-bit unsigned integer specifying the number of bytes returned in the *pSoHR* parameter.
- *pQuarantineUserClass*: A pointer to buffers containing the returned User Class option ([\[RFC3004\]](#)) representing a shared ADM element, (see [\[MS-DHCPM\]](#) section 3.1.1.8) matches the user class option data configured in **DHCPv4Reservation**.
- *pIpv4FixUpServer*: A pointer to an array of 32-bit IPv4 addresses that identify the IPv4 remediation servers configured on the endpoint during the *dwQuarantineState* is set to **RESTRICTEDACCESS**.

- *dwIPv4FixupServersCount*: A 32-bit unsigned integer specifying the number of remediation servers in the array pointed to by *pIPv4FixUpServer*.
- *pIPv6FixUpServer*: A pointer to an array of 128-bit IPv6 addresses that identify the IPv6 remediation servers configured on the endpoint during the *dwQuarantineState* is set to **RESTRICTEDACCESS**.
- *dwIPv6FixupServersCount*: A 32-bit unsigned integer specifying the number of remediation servers in the array pointed to by *pIPv6FixUpServer*.
- *ProbationEnds*: If the *dwQuarantineState* is set to **PROBATION** then *ProbationEnds* is a 32-bit unsigned integer, a DateTime structure, that specifies the year, month, day, hour, minute, and second the probation ends.
- *pContext*: A pointer to an opaque memory address representing a context of the request.
- *hr*: An HRESULT specifying the result of the SoH processing by the Proxy SoH Task – section 6 of [MS-NAPSO]).

#### Constraints:

- If the *hr* indicates FAILURE then do not process any further and do not send any DHCP response to a client **DHCPREQUEST**, otherwise continue.
- The abstract interface will use the input parameter to form a **DHCPACK** message which will be returned to the endpoint in response to a client **DHCPREQUEST**.
- Use *pContext* to retrieve the configuration entry by calling **DhcpGetNetworkConfigurationForClient**.
- If *pSoHR* is set and the *dwSoHRLen* is not zero is set the NAP-SoH (section 2.2.1.1) option in the **DHCPACK** message by calling *DhcpAppendVendorSpecificOption* ([MS-DHCPE] section 3.2.7.1).
- If the *dwQuarantineState* is **RESTRICTEDACCESS** set the NAP-IPv6 option in the DHCP message *pIPv6FixUpServer* and *dwIPv6FixupServersCount* by calling *DhcpAppendVendorSpecificOption*. If the *dwQuarantineState* is not **RESTRICTEDACCESS** the *pIPv6FixUpServer* and *dwIPv6FixupServersCount* MUST be ignored.
- If the *dwQuarantineState* is **RESTRICTEDACCESS** the Subnet Mask and Router options defined in [RFC2132] sections 3.3 and 3.5 respectively are set in the DHCP message the MUST be set to the value 0.0.0.0, and the Subnet Mask option (DHCP option 1, as specified in [RFC2132] section 3.3) MUST be set to the value 255.255.255.255.
- If set use *pIPv4FixUpServer* and *dwIPv4FixupServersCount* set the Microsoft Classless Static Routes option is set as specified in [MS-DHCPE] section 3.1.5.2 by calling *DhcpAppendCSROption* defined in [MS-DHCPE] in section 3.2.7.2.
- If the *dwQuarantineState* is **RESTRICTEDACCESS** the NAP-Mask (section 2.2.1.2) option is set to the Also, if the DHCP client is being quarantined, the DHCP server SHOULD include the **DHCPv4Scope.ScopeInfo.SubnetMask** element, which is a shared element (see [MS-DHCPM] section 3.1.1.2) (as specified in [RFC2132] section 3.3) in the NAP-Mask (section 2.2.1.2) option.
- If the *dwQuarantineState* is **PROBATION** store the *ProbationEnds* in the client configuration entry network configuration table, a shared ADM element defined in [MS-DHCPM] section 3.1.1.8.

- If the *dwQuarantineState* is **NOQUARANTINE** use *pQuarantineUserClass* to set the user class option as defined in [RFC3004]. If the *dwQuarantineState* is **RESTRICTEDACCESS**, the user class value sent by the client MUST be ignored and instead use the "Default Network Access Protection Class" ([MS-DHCPM] section 3.1.1.8) user class. That is, the network configuration options sent to the client MUST be selected from the default NAP user class (instead of the default user class or the client-provided user class). The option values corresponding to the "Default Network Access Protection Class" ([MS-DHCPM] section 3.1.1.8) user class are obtained by using the procedure *DhcpGetNetworkConfigurationForClient* (section 3.2.7.2). In addition, it overrides three option values. The Router option (DHCP option 3, as specified in [RFC2132] section 3.3) MUST be set to the value 0.0.0.0, and the Subnet Mask option (DHCP option 1, as specified in [RFC2132] section 3.3) MUST be set to the value 255.255.255.255. The Microsoft Classless Static Route option MUST be configured with static routes to the IPv4 addresses of the NAP remediation servers by calling *DhcpAppendCSROption* ([MS-DHCPE] section 3.2.7.2). Use *pQuarantineUserClass* to set the user class option as defined in [RFC3004].

### 3.3 Common Details

#### 3.3.1 Abstract Data Model

The DHCP extensions for NAP adhere to the RFC standards as specified in [RFC2131] and [RFC2132]. The state machine and data model are defined in [RFC2131] section 4.4.

#### 3.3.2 Timers

There are no timers beyond those specified in [MS-DHCPE].

#### 3.3.3 Initialization

The DHCP extensions for NAP adhere to the RFC standards for initialization, as specified in [RFC2131] and [RFC2132].

#### 3.3.4 Higher-Layer Triggered Events

Events that can trigger DHCP transactions are specified in [MS-DHCPE] section 3.1.4.

#### 3.3.5 Processing Events and Sequencing Rules

The nonstandard mechanism for encoding long options using option 250, as specified in [MS-DHCPE], MUST be used during the exchange of any Microsoft vendor-specific NAP options if the length of the data to be sent exceeds 255 bytes.

#### 3.3.6 Timer Events

The DHCP extensions for NAP adhere to the RFC standards for timer events as specified in [RFC2131] section 4.4 and in [RFC2132].

#### 3.3.7 Other Local Events

None.

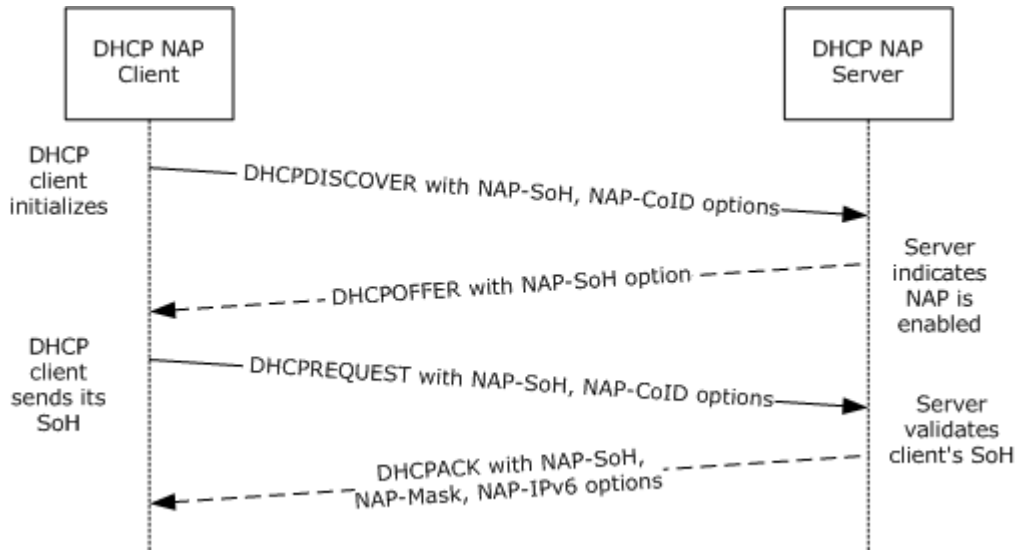
## 4 Protocol Examples

The DHCP extensions for NAP adhere to the RFC standards for protocol exchanges as specified in [\[RFC2131\]](#) and [\[RFC2132\]](#).

This section explains the DHCP message exchanges between DHCP clients and DHCP servers for DHCP NAP enforcement.

### 4.1 Message Exchanges During New Lease Acquisition

A DHCP transaction for acquiring a new IP address that involves NAP enforcement starts with the **DHCPDISCOVER** message as described in section [3.1.4.1](#). The following figure represents such a transaction.

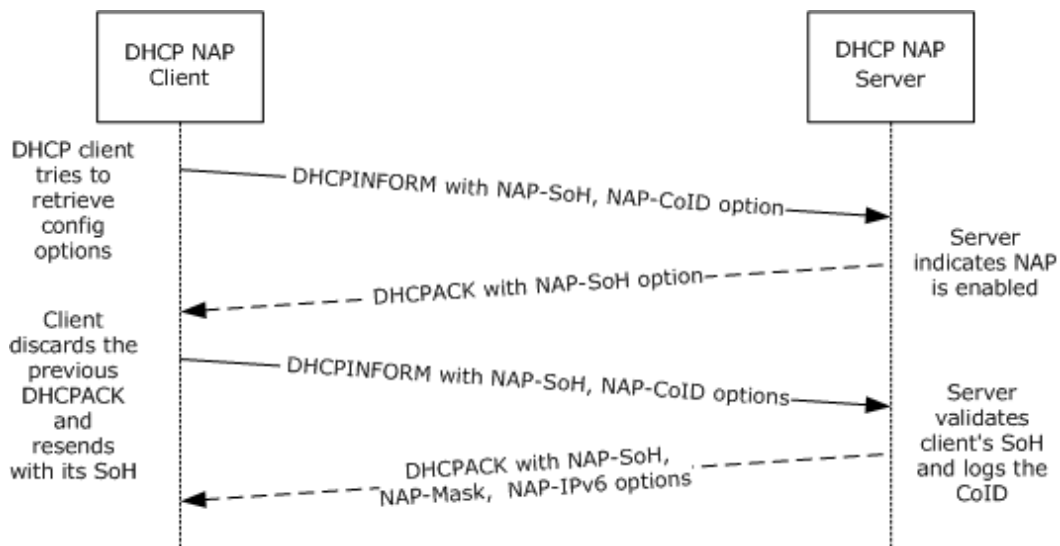


**Figure 4: DHCP new lease acquisition process**

The subsequent messages between the client and the server include the **DHCP OFFER**, **DHCPREQUEST**, and **DHCPACK** messages. (See sections [3.1.5.1](#), [3.1.5.2](#), [3.2.5.1](#), and [3.2.5.2](#).)

### 4.2 Message Exchanges During DHCP Information Request

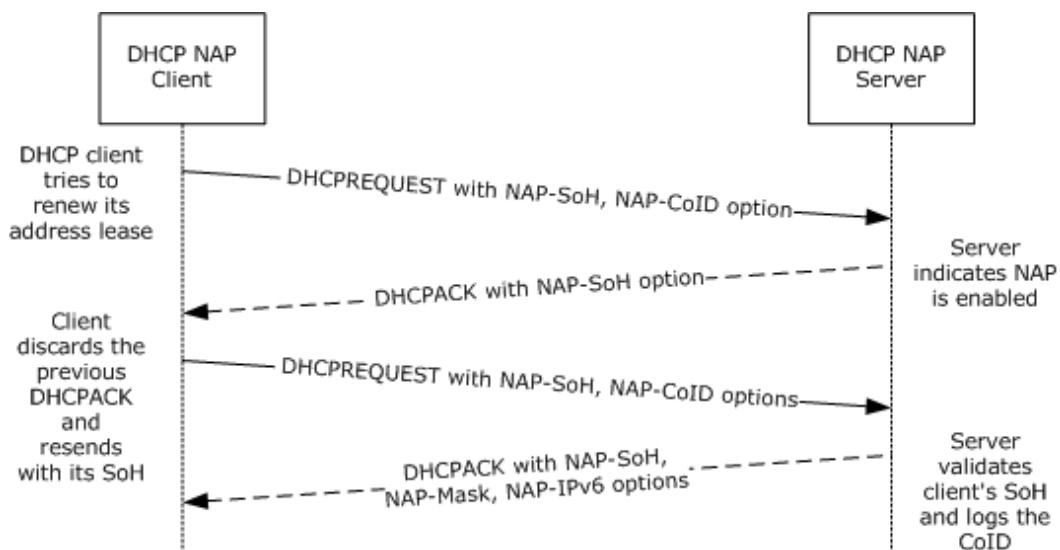
A DHCP transaction for acquiring IP configuration options that involves NAP enforcement consists of the **DHCPINFORM** and **DHCPACK** messages as specified in sections [3.1.4.3](#), [3.1.5.3](#), and [3.2.5.3](#). The following figure demonstrates such a transaction.



**Figure 5: DHCP client request**

### 4.3 Message Exchanges During DHCP Lease Renewal

A DHCP transaction for renewing an IP address lease that involves NAP enforcement consists of the **DHCPREQUEST** and **DHCPACK** messages as described in sections [3.1.4.2](#), [3.1.5.4](#), and [3.2.5.2.4](#). The following figure demonstrates such a transaction.



**Figure 6: DHCP lease renewal process**

## 5 Security

### 5.1 Security Considerations for Implementers

All of the security considerations applicable to DHCP, as specified in [\[RFC2131\]](#) section 7, apply to this specification. In addition, the security considerations described in [\[MS-SOH\]](#) section 5.1 also apply to this specification.

Because DHCP is inherently insecure (as noted in section 7 of [\[RFC2131\]](#)), DHCP NAP enforcement also inherits these security vulnerabilities. In addition, clients can easily bypass the connection restrictions in the case that they do not comply with administrative policies. Hence, DHCP-based enforcement for NAP should be treated as being inherently insecure.

Also, as specified in section [3.1.4](#), DHCP clients do not send the [NAP-SoH \(section 2.2.1.1\)](#) packet in DHCP messages to the DHCP server on unauthenticated wireless networks.

It is also recommended that DHCP servers implementing these protocol extensions record the NAP transaction correlation ID if included by the client in the DHCP messages for that transaction (possibly by logging it).

### 5.2 Index of Security Parameters

None.

## 6 Appendix A: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Windows® XP operating system Service Pack 3 (SP3)
- Windows Vista® operating system
- Windows Server® 2008 operating system
- Windows® 7 operating system
- Windows Server® 2008 R2 operating system

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

[<1> Section 2.2.1.2:](#) The NAP Subnet Mask (NAP-Mask) packet sent by the DHCP server is not used by the Windows clients supporting DHCP NAP enforcement.

[<2> Section 2.2.1.3:](#) Windows DHCP clients supporting DHCP NAP enforcement use the method specified in NAP EC API ([\[MS-NAPSO\]](#) section 3.3.1, [\[MSDN-NAPAPI\]](#), and [\[MSDN-NAPCORRID\]](#)) to generate a NAP transaction correlation identifier that to a very high degree of certainty is unique. The correlation identifier generated by [\[MSDN-NAPCORRID\]](#) is a combination of the string representation of a GUID [\[MSDN-GUID\]](#) and a time stamp.

The string representation of the GUID [\[MSDN-GUID\]](#) is "{XXXXXXXX-XXXX-XXXX-XXXX-XXXXXXXXXXXX}". The string representation of the time stamp is "YYYY-MM-DD HH:MM:SS.LLLZ", which represents the sequence year, month, date, hours, minutes, seconds, milliseconds, and the character "Z". The two string references are combined together to form the string representation of the correlation identifier, as follows: "{XXXXXXXX-XXXX-XXXX-XXXX-XXXXXXXXXXXX} - YYYY-MM-DD HH:MM:SS.LLLZ"

This combination is a 65-character Unicode string, which is equivalent to 130 bytes.

[<3> Section 3.1.5.2:](#) Windows DHCP clients use the Windows Firewall to block IPv6 traffic on the network interface when the client is being quarantined.

[<4> Section 3.1.5.2:](#) Windows DHCP clients use the Windows Firewall to block IPv6 traffic on the network interface when the client is being quarantined.

[<5> Section 3.1.5.2:](#) The NAP Subnet Mask (NAP-Mask) packet (see [2.2.1.2](#)) sent by the DHCP server is not used by Windows clients supporting DHCP NAP enforcement.

[<6> Section 3.2.5.3:](#) Windows DHCP servers do not extract the SoH token from the [NAP-SoH \(section 2.2.1.1\)](#) option sent by the client or pass the SoH to the health policy server.

## 7 Change Tracking

This section identifies changes that were made to the [MS-DHCPN] protocol document between the May 2011 and June 2011 releases. Changes are classified as New, Major, Minor, Editorial, or No change.

The revision class **New** means that a new document is being released.

The revision class **Major** means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements or functionality.
- An extensive rewrite, addition, or deletion of major portions of content.
- The removal of a document from the documentation set.
- Changes made for template compliance.

The revision class **Minor** means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class **Editorial** means that the language and formatting in the technical content was changed. Editorial changes apply to grammatical, formatting, and style issues.

The revision class **No change** means that no new technical or language changes were introduced. The technical content of the document is identical to the last released version, but minor editorial and formatting changes, as well as updates to the header and footer information, and to the revision summary, may have been made.

Major and minor changes can be described further using the following change types:

- New content added.
- Content updated.
- Content removed.
- New product behavior note added.
- Product behavior note updated.
- Product behavior note removed.
- New protocol syntax added.
- Protocol syntax updated.
- Protocol syntax removed.
- New content added due to protocol revision.
- Content updated due to protocol revision.
- Content removed due to protocol revision.
- New protocol syntax added due to protocol revision.



- Protocol syntax updated due to protocol revision.
- Protocol syntax removed due to protocol revision.
- New content added for template compliance.
- Content updated for template compliance.
- Content removed for template compliance.
- Obsolete document removed.

Editorial changes are always classified with the change type **Editorially updated**.

Some important terms used in the change type descriptions are defined as follows:

- **Protocol syntax** refers to data elements (such as packets, structures, enumerations, and methods) as well as interfaces.
- **Protocol revision** refers to changes made to a protocol that affect the bits that are sent over the wire.

The changes made to this document are listed in the following table. For more information, please contact [protocol@microsoft.com](mailto:protocol@microsoft.com).

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
<a href="#">1.2 References</a>	Added explanatory statement regarding the removal of the publishing year from Microsoft Open Specification document references.	N	Content updated.
<a href="#">3.1.7.1 DhcpClientGetSoH</a>	Clarified the processing of the DhcpClientGetSoH event.	Y	Content updated.
Global	Revised document for technical clarity and comprehensiveness.	Y	Content updated.

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