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Project 1828-D  
Revision 01  
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## **Information Technology - Fibre Channel Protocol for SCSI, Fourth Version (FCP-4)**

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## ABSTRACT

This standard describes the frame format and protocol definitions required to transfer commands and data between a SCSI (Small Computer System Interface) initiator and target using the Fibre Channel family of standards. The second version added optional retransmission, task ordering, and confirmation capabilities. This third version incorporates bi-directional commands, removes information that is now contained in other standards, and describes additional error recovery capabilities for the Fibre Channel Protocol.

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# Change History

Revision 00 of FCP-4 is equivalent to FCP-3 Rev 04 with the following changes/additions.

## Revision 00

- 06-092r0 (Indication of REC Support)

## Revision 00a

- 06-406r2 (LS\_RJT for REC Conflict between FC-LS and FCP-4)
- 06-236r1 (Fix race condition between REC ACC and FCP\_XFER\_RDY)

## Revision 01

- revised subclause 8.2 to specify that the Sequence Count may be set to zero on retry of Sequences
- changed all instances of REC to REC ELS
- 07-072r2 (QUERY TASK task management function)
- 07-143r1 (QUERY TASK SET task management function)
- 07-144r0 (QUERY UNIT ATTENTION task management function)
- 07-519r0 (Set REC FC4VALUE to zero for bidirectional commands)
- 08-127r2 (FC-4 Features object and device type support)
- updated the task identifier definition and associated text to the SAM-4 term command identifier
- added note to table A.1 stating if retransmission is enabled, an I\_T\_L\_Q nexus and command identifier also include the task retry identifier per July 2008 working group agreement
- 08-365r2 (Enhanced Discovery bit in PRLI)

# Contents

Foreword .....	xiv
Introduction .....	xv
1 Scope .....	1
2 Normative references .....	1
2.1 Qualification and availability of references .....	1
2.2 Published standard and technical report references .....	1
2.3 References under development .....	1
2.4 Other references .....	2
3 Definitions, abbreviations and conventions .....	2
3.1 Definitions .....	2
3.2 Abbreviations .....	5
3.3 Keywords .....	7
3.4 Editorial conventions .....	7
4 General .....	9
4.1 Structure and concepts .....	9
4.2 FCP I/O operations .....	10
4.3 Bidirectional and unidirectional commands and FCP_RSP IU format .....	12
4.4 Precise delivery of SCSI commands .....	12
4.5 Confirmed completion of FCP I/O operations .....	13
4.6 Retransmission of unsuccessfully transmitted IUs .....	14
4.7 Task retry identification .....	15
4.8 Discovery of FCP capabilities .....	16
4.9 Task management functions .....	16
4.9.1 Task management functions overview .....	16
4.9.2 ABORT TASK task management function .....	18
4.9.3 QUERY TASK task management function .....	19
4.10 Clearing effects of task management, FCP, FC-FS-3, FC-LS, and FC-AL-2 actions ..	19
4.11 I_T nexus loss notification events .....	21
4.12 Transport Reset notification events .....	21
4.13 Port Login/Logout .....	21
4.14 Process Login and Process Logout .....	22
4.15 Link management .....	22
4.16 FCP addressing and Exchange identification .....	22
4.17 Use of World Wide Names .....	22
5 FC-FS-3 frame header .....	23
5.1 FC-FS-3 frame header overview .....	23
5.2 FC-FS-3 frame header fields .....	23
5.2.1 R_CTL field .....	23
5.2.2 D_ID field .....	23
5.2.3 CS_CTL field .....	23
5.2.4 S_ID field .....	23
5.2.5 TYPE field .....	23
5.2.6 F_CTL field .....	24
5.2.7 SEQ_ID field .....	24
5.2.8 DF_CTL field .....	24
5.2.9 SEQ_CNT field .....	24
5.2.10 OX_ID field .....	24
5.2.11 RX_ID field .....	24

5.2.12 PARAMETER field .....	24
6 FCP link service definitions .....	25
6.1 Overview of link service requirements .....	25
6.2 Overview of Process Login and Process Logout .....	25
6.3 PRLI ELS .....	26
6.3.1 Use of PRLI ELS by the Fibre Channel Protocol .....	26
6.3.2 Process_Associator requirements .....	26
6.3.3 New or repeated Process Login .....	26
6.3.4 PRLI ELS request FCP Service Parameter page format .....	27
6.3.5 PRLI ELS accept FCP Service Parameter page format .....	31
6.4 PRLO ELS .....	32
6.5 Read Exchange Concise (REC) ELS .....	32
7 FC-4 specific Name Server registration and objects .....	34
7.1 Overview of FC-4 specific objects for the Fibre Channel Protocol .....	34
7.2 FC-4 TYPEs object .....	34
7.3 FC-4 Features object .....	34
8 FCP FC-4 Link Service (FCP_LS) definitions .....	35
8.1 FCP_LS overview .....	35
8.2 Sequence Retransmission Request (SRR) FCP_LS request .....	35
8.3 FCP_LS Reject (FCP_RJT) .....	37
9 FCP Information Unit (IU) usage and formats .....	39
9.1 FCP Information Unit (IU) usage .....	39
9.2 FCP_CMND IU .....	40
9.2.1 Overview and format of FCP_CMND IU .....	40
9.2.2 FCP_CMND IU field descriptions .....	41
9.2.2.1 FCP_LUN field .....	41
9.2.2.2 COMMAND REFERENCE NUMBER field .....	42
9.2.2.3 PRIORITY field .....	42
9.2.2.4 TASK ATTRIBUTE field .....	42
9.2.2.5 TASK MANAGEMENT FLAGS FIELD .....	42
9.2.2.6 ADDITIONAL FCP_CDB LENGTH field .....	44
9.2.2.7 RDDATA and WRDATA bits .....	44
9.2.2.8 FCP_CDB field .....	45
9.2.2.9 ADDITIONAL_FCP_CDB field .....	45
9.2.2.10 FCP_DL field .....	45
9.2.2.11 FCP_BIDIRECTIONAL_READ_DL field .....	46
9.3 FCP_XFER_RDY IU .....	46
9.3.1 Overview and format of FCP_XFER_RDY IU .....	46
9.3.2 FCP_DATA_RO field .....	46
9.3.3 FCP_BURST_LEN field .....	47
9.4 FCP_DATA IU .....	47
9.4.1 FCP_DATA IU overview .....	47
9.4.2 FCP_DATA IUs for read and write operations .....	48
9.4.3 FCP_DATA IUs for bidirectional commands .....	48
9.4.4 FCP_DATA IU use of fill bytes .....	49
9.5 FCP_RSP IU .....	49
9.5.1 Overview and format of FCP_RSP IU .....	49
9.5.2 RETRY DELAY TIMER field .....	51
9.5.3 FCP_BIDI_RSP bit .....	51
9.5.4 FCP_BIDI_READ_RESID_UNDER bit .....	52

9.5.5 FCP_BIDI_READ_RESID_OVER bit	52
9.5.6 FCP_CONF_REQ bit	52
9.5.7 FCP_RESID_UNDER bit	52
9.5.8 FCP_RESID_OVER bit	52
9.5.9 FCP_SNS_LEN_VALID bit	52
9.5.10 FCP_RSP_LEN_VALID bit	52
9.5.11 SCSI STATUS CODE field	53
9.5.12 FCP_RESID field	53
9.5.13 FCP_BIDIRECTIONAL_READ_RESID field	53
9.5.14 FCP_SNS_LEN field	54
9.5.15 FCP_RSP_LEN field	54
9.5.16 FCP_RSP_INFO field	54
9.5.17 FCP_SNS_INFO field	56
9.6 FCP_CONF IU	56
10 SCSI mode parameters for the Fibre Channel Protocol	57
10.1 Overview of mode pages for the Fibre Channel Protocol	57
10.2 Disconnect-Reconnect mode page	57
10.2.1 Overview and format of Disconnect-Reconnect mode page for FCP	57
10.2.2 BUFFER FULL RATIO field	58
10.2.3 BUFFER EMPTY RATIO field	59
10.2.4 BUS INACTIVITY LIMIT field	59
10.2.5 DISCONNECT TIME LIMIT field	59
10.2.6 CONNECT TIME LIMIT field	59
10.2.7 MAXIMUM BURST SIZE field	59
10.2.8 EMDP bit	60
10.2.9 FAA, FAB, FAC bits	60
10.2.10 FIRST BURST SIZE field	60
10.3 Fibre Channel Logical Unit Control mode page	61
10.4 Fibre Channel Port Control mode page	61
10.4.1 Overview and format of Fibre Channel Port Control mode page	61
10.4.2 DISABLE TARGET ORIGINATED LOOP INITIALIZATION (DTOLI) bit	62
10.4.3 DISABLE TARGET INITIATED PORT ENABLE (DTIPE) bit	62
10.4.4 ALLOW LOGIN WITHOUT LOOP INITIALIZATION (ALWLI) bit	62
10.4.5 REQUIRE HARD ADDRESS (RHA) bit	63
10.4.6 DISABLE LOOP MASTER (DLM) bit	63
10.4.7 DISABLE DISCOVERY (DDIS) bit	63
10.4.8 PREVENT LOOP PORT BYPASS (PLPB) bit	63
10.4.9 DISABLE TARGET FABRIC DISCOVERY (DTFD) bit	63
10.4.10 SEQUENCE INITIATIVE RESOURCE RECOVERY TIMEOUT VALUE (RR_TOVSEQ_INIT) field	64
11 Timers for FCP operation and recovery	65
11.1 Summary of timers for the Fibre Channel Protocol	65
11.2 Error_Detect Timeout (E_D_TOV)	66
11.3 Resource Allocation Timeout (R_A_TOV)	66
11.4 Resource Recovery Timeout (RR_TOV)	66
11.5 Read Exchange Concise Timeout Value (REC_TOV)	66
11.6 Upper Level Protocol Timeout (ULP_TOV)	67
12 Link error detection and error recovery procedures	68
12.1 Error detection and error recovery overview	68
12.1.1 Exchange level	68
12.1.2 Sequence level	68



12.2 FCP error detection . . . . .	68
12.2.1 Overview of FCP-4 error detection . . . . .	68
12.2.2 FCP-4 error detection using protocol errors for all classes of service . . . . .	68
12.2.3 Error detection mechanisms for acknowledged classes of service . . . . .	69
12.3 Exchange level recovery using ABTS-LS . . . . .	70
12.3.1 ABTS-LS overview . . . . .	70
12.3.2 Initiator FCP_Port Exchange termination . . . . .	70
12.3.3 Target FCP_Port response to Exchange termination . . . . .	70
12.3.4 Additional error recovery by initiator FCP_Port . . . . .	71
12.3.5 Additional error recovery by target FCP_Port . . . . .	71
12.4 Sequence level error detection and recovery . . . . .	71
12.4.1 Using information from REC ELS to perform Sequence level recovery . . . . .	71
12.4.1.1 Polling Exchange state with REC ELS . . . . .	71
12.4.1.2 Detection of errors while polling with REC ELS . . . . .	72
12.4.1.3 FCP_CMND IU recovery . . . . .	72
12.4.1.4 FCP_XFER_RDY IU recovery . . . . .	72
12.4.1.5 FCP_RSP IU recovery . . . . .	73
12.4.1.6 FCP_DATA IU recovery - write operations . . . . .	74
12.4.1.7 FCP_DATA IU recovery - read operations . . . . .	74
12.4.1.8 FCP_CONF IU recovery . . . . .	75
12.4.2 Additional error recovery requirements . . . . .	75
12.4.2.1 Error indicated in ACK . . . . .	75
12.4.2.2 Missing ACK . . . . .	75
12.4.2.3 Distinguishing Exchange to be aborted . . . . .	75
12.5 Second-level error recovery . . . . .	76
12.5.1 ABTS error recovery . . . . .	76
12.5.2 REC ELS request error recovery . . . . .	76
12.5.3 SRR FCP_LS request error recovery . . . . .	76
12.6 Responses to FCP type frames before port login or process login . . . . .	76
Annex A . . . . .	78
A.1 Definition of procedure terms . . . . .	78
Annex B . . . . .	80
B.1 Examples of the use of FCP Information Units (IUs) . . . . .	80
B.1.1 Overview of examples . . . . .	80
B.1.2 SCSI FCP read operation . . . . .	80
B.1.3 SCSI FCP write operation . . . . .	81
B.1.4 SCSI FCP operation with no data transfer or with check condition . . . . .	81
B.1.5 SCSI FCP read operation with multiple FCP_DATA IUs . . . . .	82
B.1.6 SCSI FCP write operation with FCP_XFER_RDY disabled . . . . .	82
B.1.7 SCSI FCP bidirectional command with write before read . . . . .	83
B.1.8 SCSI FCP bidirectional command with read before write . . . . .	83
B.1.9 SCSI FCP bidirectional command, write first, write FCP_XFER_RDY disabled . . . . .	84
B.1.10 SCSI FCP bidirectional command with intermixed writes and reads . . . . .	85
B.1.11 SCSI linked commands . . . . .	86
B.1.12 SCSI WRITE command with confirmed completion . . . . .	87
B.1.13 SCSI FCP task management function . . . . .	87
B.2 FCP write example, frame level . . . . .	88
B.3 FCP read example, frame level . . . . .	90
Annex C . . . . .	92
C.1 Introduction . . . . .	92

Annex D .....	126
D.1 FCP Device Discovery Procedure .....	126
D.1.1 Initiator discovery of Fabric-attached target FCP_Ports .....	126
D.1.2 Initiator discovery of loop-attached target FCP_Ports .....	126
D.2 Fabric and Device Authentication .....	127
D.3 Logical unit authentication .....	127
Annex E .....	128
E.1 Formats for recovery link services .....	128
E.2 Abort Sequence (ABTS) request .....	128
E.2.1 Abort Sequence (ABTS) request fields .....	128
E.2.2 Basic Accept (BA_ACC) frame to ABTS .....	129
E.2.3 Basic Reject (BA_RJT) frame to ABTS .....	130
E.3 Reinstate Recovery Qualifier (RRQ) ELS .....	130
E.3.1 RRQ ELS request format .....	130

## Tables

Table 1 - SCSI and Fibre Channel Protocol functions .....	10
Table 2 - Discovery of FCP-4 capabilities .....	16
Table 3 - Task management functions, SAM-4 to FCP-4 .....	17
Table 4 - SCSI Service Response mapping for FCP_CMND delivered task management functions 18	
Table 5 - SCSI Service Response mapping for ABORT TASK .....	18
Table 6 - SCSI Service Response mapping for QUERY TASK .....	19
Table 7 - Clearing effects of link related functions .....	20
Table 8 - Clearing effects of initiator FCP_Port actions .....	21
Table 9 - FCP frame header .....	23
Table 10 - PRLI ELS request FCP Service Parameter page .....	27
Table 11 - PRLI ELS accept FCP Service Parameter page .....	31
Table 12 - FCP definition of FC-4 Feature bits .....	34
Table 13 - FCP_LS requests and responses .....	35
Table 14 - SRR FCP_LS request payload .....	36
Table 15 - FCP_ACC payload .....	37
Table 16 - FCP_RJT payload .....	37
Table 17 - FCP_RJT reason codes .....	38
Table 18 - FCP_RJT reason code explanations .....	38
Table 19 - FCP Information Units (IUs) sent to target FCP_Ports .....	39
Table 20 - FCP Information Units (IUs) sent to initiator FCP_Ports .....	40
Table 21 - FCP_CMND IU payload .....	41
Table 22 - TASK ATTRIBUTE field .....	42
Table 23 - TASK MANAGEMENT FLAGS field .....	43
Table 24 - FCP_XFER_RDY IU payload .....	46
Table 25 - FCP_RSP IU payload .....	51
Table 26 - FCP_RSP_INFO field format .....	55
Table 27 - RSP_CODE field .....	55
Table 28 - Mode pages for FCP .....	57
Table 29 - Disconnect-Reconnect mode page (02h) .....	58
Table 30 - Fibre Channel Logical Unit Control mode page (18h) .....	61
Table 31 - Fibre Channel Port Control mode page (19h) .....	62
Table 32 - Values for RR_TOV UNITS .....	64
Table 33 - Timer summary .....	65
Table 34 - Initiator FCP_Port REC_TOV Usage .....	67
Table 35 - Target FCP_Port REC_TOV usage .....	67
Table A.2 - Procedure terms .....	79
Table B.1 - FCP read operation, example .....	80
Table B.2 - FCP write operation, example .....	81
Table B.3 - FCP operation without data transfer, example .....	81
Table B.4 - FCP read operation, example .....	82
Table B.5 - FCP write operation with FCP_XFER_RDY disabled, example .....	82
Table B.6 - FCP bidirectional command with write before read, example .....	83
Table B.7 - FCP bidirectional command with read before write, example .....	83
Table B.8 - FCP bidirectional command, write FCP_XFER_RDY disabled, example .....	84
Table B.9 - FCP bidirectional command with intermixed writes and reads, example .....	85
Table B.10 - FCP linked commands, example .....	86

Table B.11 - FCP write command with confirmed completion .....	87
Table B.12 - FCP task management function, example .....	87
Table E.2 - BA_ACC frame to ABTS .....	129
Table E.3 - BA_RJT frame to ABTS .....	130
Table E.4 - RRQ ELS request format .....	130

## Figures

Figure B.1 - Example of class 2 FCP write operation .....	88
Figure B.2 - Example of class 2 FCP_DATA write .....	89
Figure B.3 - Example of class 2 FCP read operation .....	90
Figure B.4 - Example of class 2 FCP_DATA read .....	91
Figure C.1 - Lengthy FCP_CMND or lost ACK .....	93
Figure C.2 - FCP_CMND lost, unacknowledged classes .....	94
Figure C.3 - FCP_CMND lost, acknowledged classes .....	95
Figure C.4 - FCP_CMND acknowledgement lost, acknowledged classes .....	96
Figure C.5 - FCP_XFER_RDY lost, unacknowledged classes .....	97
Figure C.6 - FCP_XFER_RDY lost, acknowledged classes .....	98
Figure C.7 - FCP_XFER_RDY received, ACK lost, acknowledged classes .....	99
Figure C.8 - FCP_RSP lost, FCP_CONF not requested, unacknowledged classes .....	100
Figure C.9 - FCP_RSP lost, FCP_CONF not requested, acknowledged classes .....	101
Figure C.10 - FCP_RSP lost read command, no FCP_CONF, acknowledged classes .....	102
Figure C.11 - FCP_RSP received, ACK lost, acknowledged classes, example 1 .....	103
Figure C.12 - FCP_RSP received, ACK lost, acknowledged classes, example 2 .....	104
Figure C.13 - Lost write data, last frame of Sequence, unacknowledged classes .....	105
Figure C.14 - Lost write data, last frame of Sequence, acknowledged classes .....	106
Figure C.15 - Lost write data, not last frame of Sequence, unacknowledged classes .....	107
Figure C.16 - Lost write data, not last frame of Sequence, acknowledged classes .....	108
Figure C.17 - Lost read data, last frame of Sequence, unacknowledged classes .....	109
Figure C.18 - Lost read data, last frame of Sequence, acknowledged classes .....	110
Figure C.19 - Lost read data, not last frame of Sequence, unacknowledged classes .....	111
Figure C.20 - Lost read data, not last frame of Sequence, acknowledged classes .....	112
Figure C.21 - ACK lost on read (acknowledged classes) .....	113
Figure C.22 - ACK lost on write (acknowledged classes) .....	114
Figure C.23 - FCP_CONF lost, unacknowledged classes .....	115
Figure C.24 - FCP_CONF lost, acknowledged classes .....	116
Figure C.25 - ACK lost on FCP_CONF, acknowledged classes .....	117
Figure C.26 - REC ELS request or REC ELS response lost, unacknowledged classes .....	118
Figure C.27 - REC ELS lost, acknowledged classes .....	119
Figure C.28 - REC ELS response lost, acknowledged classes .....	120
Figure C.29 - Two REC ELSs Lost, Unacknowledged Classes, Abort the original Exchange .....	121
Figure C.30 - SRR FCP_LS request lost, unacknowledged classes, abort original Exchange .....	122
Figure C.31 - SRR FCP_LS response lost, unacknowledged classes .....	123
Figure C.32 - SRR FCP_LS request lost, acknowledged classes .....	124
Figure C.33 - SRR FCP_LS response lost, acknowledged classes .....	125

## Foreword

(This foreword is not part of BSR INCITS [insert number here].)

The Fibre Channel Protocol for SCSI, Fourth Version (FCP-4) defines a Fibre Channel mapping layer (FC-4) that uses the services defined by INCITS Project 1861-D, *Fibre Channel Framing and Signaling Interface - 3 (FC-FS-3)* to transmit SCSI command, data, and status information between a SCSI initiator and a SCSI target. The use of the standard enables the transmission of standard SCSI command formats, the transmission of standard SCSI data and parameter strings, and the receipt of SCSI status and sense information across the Fibre Channel using only the standard Fibre Channel frame and sequence formats. The Fibre Channel Protocol operates with Fibre Channel Classes of Service 1, 2, and 3 and operates across Fibre Channel fabrics and arbitrated loops.

Requests for interpretation, suggestions for improvement and addenda, or defect reports are welcome. They should be sent to the INCITS Secretariat, Information Technology Industry Council (ITI), 1250 Eye Street, NW, Suite 200, Washington, DC 20005.

This standard was processed and approved for submittal to ANSI by International Committee for Information Technology Standards (INCITS). Committee approval of the standard does not necessarily imply that all committee members voted for its approval. At the time it approved this standard, INCITS had the following members:

The INCITS Technical Committee T10 on Lower-Level Interfaces, that developed and reviewed this standard, had the following members:

John B. Lohmeyer, Chair

Mark S. Evans, Vice-Chair

Ralph O. Weber, Secretary

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The INCITS Technical Committee T11 on Device Level Interfaces contributed a great deal of analysis, review, and content to this standard. It had the following members:

Robert Snively, Chair

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Robert Nixon, Secretary

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## Introduction

The Small Computer System Interface (SCSI) command set is widely used and applicable to a wide variety of device types. The transmission of SCSI command set information across Fibre Channel links allows the large body of SCSI application and driver software to be successfully used in the high performance Fibre Channel environment.

This standard describes the protocol for transmitting SCSI commands, data, and status using Fibre Channel FC-FS-3 Exchanges and Information Units. Fibre Channel is a high speed serial architecture that allows either optical or electrical connections. The topologies supported by Fibre Channel include point-to-point, fabric switched, and arbitrated loop. All Fibre Channel connections use the same standard frame format and standard hierarchy of transmission units to transmit the Information Units that carry SCSI information.

This standard is divided into the following clauses:

Clause 1 is the scope of this standard.

Clause 2 enumerates the normative references that apply to this standard.

Clause 3 describes the definitions, abbreviations, and conventions used in this standard.

Clause 4 provides an overview of the protocol for transmitting SCSI information over Fibre Channel.

Clause 5 describes the Information Units used to transfer SCSI commands, data, and status across a Fibre Channel connection.

Clause 6 describes the Basic Link Services and Extended Link Services used by the protocol for transmitting SCSI information over Fibre Channel.

Clause 7 describes the FC-GS-6 Name Server objects defined for FCP-4.

Clause 8 describes the FCP FC-4 Link Service definitions for the protocol for transmitting SCSI information over Fibre Channel.

Clause 9 describes the details of the Information Unit formats.

Clause 10 defines the SCSI management features for Fibre Channel, including the SCSI mode pages used by the protocol for transmitting SCSI information over Fibre Channel.

Clause 11 defines the timers used for FCP-4 error recovery algorithms.

Clause 12 defines the error recovery algorithms for FCP-4.

The Fibre Channel Protocol for SCSI, Fourth Version (FCP-4) standard has the following annexes:

Annex A is a normative description of the relationship between the services defined by SAM-4 and the corresponding functions defined by this standard.

Annex B is an informative annex that provides examples of the protocol for transmitting SCSI information over Fibre Channel.

Annex C is an informative annex providing examples of the FCP-4 error recovery mechanisms.

Annex D is an informative annex describing techniques for discovering SCSI device capabilities over Fibre Channel.

Annex E is an informative annex providing examples of the content of ELSs used during FCP-4 recovery operations.

This standard is part of the SCSI family of standards developed by T10 to facilitate the use of the SCSI command sets for many different types of devices across many different types of physical interconnects. The architectural model for the family of standards is INCITS Project 1683-D, *Information Technology - SCSI Architecture Model - 4 (SAM-4)*.



# American National Standard for Information Technology – **Fibre Channel Protocol for SCSI, Fourth Version (FCP-4)**

## **1 Scope**

This standard defines a fourth version of the SCSI Fibre Channel Protocol (FCP). This standard is a mapping protocol for applying the SCSI command set to Fibre Channel. This standard defines how the Fibre Channel services and the defined Information Units (IUs) are used to perform the services defined by the SCSI Architecture Model - 4 (SAM-4). This fourth version includes additions and clarifications to the third version, removes information that is now contained in other standards, and describes additional error recovery capabilities for the Fibre Channel Protocol.

## **2 Normative references**

### **2.1 Qualification and availability of references**

The following standards and technical reports contain provisions that, through reference in this standard, constitute provisions of this standard. At the time of publication, the editions indicated were valid. All standards and technical reports are subject to revision, and parties to agreements based on this standard are encouraged to investigate the possibility of applying the most recent editions or revisions of the standards and technical reports listed below.

Copies of the following standards and technical reports may be obtained from ANSI: Approved ANSI standards, approved and draft international and regional standards (ISO, IEC, CEN/CENELEC, ITUT), and approved and draft foreign standards (including BSI, JIS, and DIN). For further information, contact ANSI Customer Service Department at 212-642-4900 (phone), 212-302-1286 (fax) or via the World Wide Web at <http://www.ansi.org>.

Reference is made to these standards and technical reports by their standard designations.

Additional availability contact information is provided below as needed.

### **2.2 Published standard and technical report references**

ANSI NCITS 332:1999, *Fibre Channel Arbitrated Loop - 2 (FC-AL-2)*

ANSI/INCITS 402-2005, *SCSI Architecture Model - 3 (SAM-3)*

INCITS TR-36-2004, *Fibre Channel - Device Attach (FC-DA)*

### **2.3 References under development**

At the time of publication, the following referenced standards and technical reports were still under development by INCITS T10 and INCITS T11. For information on the current status of the standard or technical report, or regarding availability, contact the INCITS Secretariat, Information Technology Industry Council (ITI) at 202-737-8888 (phone) or by mail at 1250 Eye Street NW, Suite 200, Washington, DC 20005-3922.

INCITS Project 1861-D, *Fibre Channel Framing and Signaling Interface - 3 (FC-FS-3)*

INCITS Project 1620-D, *Fibre Channel - Link Services (FC-LS)*

INCITS Project 1833-D, *Fibre Channel Generic Services - 6 (FC-GS-6)*

INCITS Project 1870-DT, *Fibre Channel - Device Attach - 2 (FC-DA-2)*

INCITS Project 1683-D, *SCSI Architecture Model - 4 (SAM-4)*

INCITS Project 1731-D, *SCSI Primary Commands - 4 (SPC-4)*

Copies of these INCITS T10 and T11 draft standards and technical reports are available for purchase from Global Engineering Documents. For further information, contact Global Engineering Documents at 800-854-7179 (phone) or 303-792-2181 (phone) or by mail at 15 Inverness Way East, Englewood, CO 80122-5704. The INCITS T10 draft standards are also available on the web site [www.t10.org](http://www.t10.org). The INCITS T11 draft standards and technical reports are also available on the web site [www.t11.org](http://www.t11.org).

## 2.4 Other references

The following references are the product of the SFF committee. For information on the current status and availability of the documents, contact the SFF committee at 408-867-6630 (phone) or by mail at 14426 Black Walnut Court, Saratoga, CA 95070.

SFF document SFF-8067, *40-pin SCA-2 Connector w/Bidirectional ESI*

## 3 Definitions, abbreviations and conventions

### 3.1 Definitions

**3.1.1 acknowledged class:** Any class of service that acknowledges transfers (e.g., Class 1, Class 2, and Class 4) See FC-FS-3.

**3.1.2 address identifier:** An address value used to identify the source (S\_ID) or destination (D\_ID) of a frame. See FC-FS-3.

**3.1.3 application client:** An object that is the source of SCSI commands. See SAM-4.

**3.1.4 application client buffer offset:** Offset in bytes from the beginning of the application client's buffer (data-in or data-out) to the location for the transfer of the first byte of a data delivery service request. See SAM-4.

**3.1.5 autosense data:** Sense data (see 3.1.50) that is returned in the FCP\_RSP IU payload. See SAM-4.

**3.1.6 command:** A request describing a unit of work to be performed by a device server. See SAM-4.

**3.1.7 command descriptor block:** A structure used to communicate a command from an application client to a device server. See SAM-4.

**3.1.8 data buffer size:** Upper limit on the extent of the data (data-in or data-out) to be transferred by the SCSI command. See SAM-4.

**3.1.9 Data-In delivery service:** A confirmed service used by the device server to request the transfer of data to the application client. See SAM-4.

**3.1.10 Data-Out delivery service:** A confirmed service used by the device server to request the transfer of data from the application client. See SAM-4.

**3.1.11 Data frame:** An FC-4 Device\_Data frame, an FC-4 Video\_Data frame, or a Link\_Data frame. See FC-FS-3.

**3.1.12 data overlay:** The use of random buffer access capability where data is transmitted using the same application client buffer offset more than one time during the set of delivery actions performed by a single command. See SAM-4.

**3.1.13 Destination\_Identifier (D\_ID):** The address identifier used to indicate the destination of the transmitted frame. See FC-FS-3.

**3.1.14 device server:** An object within the logical unit that processes SCSI tasks and enforces the rules for

task management. See SAM-4.

**3.1.15 discard:** The term used in FC-FS-3 to describe removing a frame or sequence from the destination buffer without making use of the frame or sequence and without notifying upper layers of the receipt of the frame or sequence. See FC-FS-3.

**3.1.16 Exchange:** The basic mechanism that transfers information consisting of one or more related non-concurrent Sequences that may flow in the same or opposite directions. The Exchange is identified by an Originator Exchange\_ID (OX\_ID) and a Responder Exchange\_Identifier (RX\_ID). See FC-FS-3.

**3.1.17 Execute Command service:** A peer-to-peer, confirmed service requested by the application client to perform a SCSI Command. See SAM-4.

**3.1.18 FCP Exchange:** A SCSI I/O operation for the Fibre Channel FC-2 layer. The SCSI I/O operation for Fibre Channel is contained in a Fibre Channel Exchange. See FC-FS-3 and 4.1.

**3.1.19 FCP I/O operation:** A SCSI I/O operation for the Fibre Channel FC-4 layer, as defined in this standard.

**3.1.20 FCP\_Port:** An N\_Port or NL\_Port that supports the SCSI Fibre Channel Protocol.

**3.1.21 fully qualified exchange identifier:** A set of addresses and values used to uniquely identify an FCP I/O operation. See 4.16.

**3.1.22 image pair:** The originating and responding processes related by a Process Login operation. For the Fibre Channel Protocol, the image pair is composed of one initiator FCP\_Port and one target FCP\_Port. See FC-LS.

**3.1.23 I\_T nexus loss:** A condition resulting from the events defined by SAM-4 in which the SCSI device performs the operations described in SAM-4 and this standard.

**3.1.24 I\_T nexus loss event:** A SCSI transport protocol specific event that triggers I\_T nexus loss as described in SAM-4.

**3.1.25 Information Unit (IU):** An organized collection of data specified by the Fibre Channel Protocol to be transferred as a single Sequence by the Fibre Channel service interface (see FC-FS-3).

**3.1.26 initiator:** A SCSI device containing application clients that originate device service requests and task management functions to be processed by a target SCSI device. In this standard, the word initiator also refers to an FCP\_Port using the Fibre Channel Protocol to perform the SCSI initiator functions defined by SAM-4.

**3.1.27 initiator port identifier:** A value by which a SCSI initiator port is referenced within a domain. See SAM-4.

**3.1.28 initiator port name:** A SCSI port name of a SCSI initiator port or of a SCSI target/initiator port when operating as a SCSI initiator port. See SAM-4.

**3.1.29 interconnect tenancy:** The period of time that an FCP device owns or may access a shared Fibre Channel link such as an FC-AL-2 loop. See 10.2.1.

**3.1.30 logical unit:** A SCSI target device object, containing a device server and task manager, that implements a device model and manages tasks to process commands sent by an application client. See SAM-4.

**3.1.31 logical unit number:** An encoded 64-bit identifier for a logical unit. See SAM-4.

**3.1.32 loop initialization primitive:** A primitive used in Fibre Channel arbitrated loops to start loop initialization. See FC-AL-2.

**3.1.33 Name\_Identifier:** A 64-bit identifier, with a 60-bit value preceded with a 4 bit Network\_Address\_Authority Identifier, used to identify entities in Fibre Channel such as N\_Port, Node, F\_Port, or Fabric.

See FC-FS-3.

**3.1.34 Name Server:** A Fibre Channel service accessed through a well-known address identifier that uses the Common Transfer (CT) protocol as defined in FC-GS-6 to allow a client to determine the address identifier and properties of devices attached to a Fibre Channel switching fabric. See FC-GS-6.

**3.1.35 Node\_Name:** A Name\_Identifier associated with a Node. See FC-FS-3.

**3.1.36 NL\_Port:** An N\_Port that contains arbitrated loop functions associated with the Fibre Channel arbitrated loop topology. See FC-AL-2.

**3.1.37 N\_Port:** A hardware entity that supports the FC-FS-3 FC-2 layer. It may act as an Originator, a Responder, or both. See FC-FS-3.

**3.1.38 Originator:** The logical function associated with an N\_Port responsible for originating an Exchange. See FC-FS-3.

**3.1.39 Originator Exchange Identifier:** An identifier assigned by an Originator to identify an Exchange. See 3.1.49 and FC-FS-3.

**3.1.40 Port Identifier:** An address identifier (see 3.1.2) assigned to an N\_Port or NL\_Port during implicit or explicit fabric login (see FC-LS).

**3.1.41 Port (N\_Port) Login (PLOGI):** The Fibre Channel Extended Link Service (ELS) that exchanges identification and operation parameters between an originating N\_Port and a responding N\_Port. See FC-LS.

**3.1.42 Port\_Name:** A Name\_Identifier associated with an N\_Port or an NL\_Port.

**3.1.43 private loop:** A loop operating with no attached fabric loop ports. See FC-DA-2.

**3.1.44 public loop:** A loop operating with an attached fabric loop port. See FC-DA-2.

**3.1.45 random buffer access:** The occurrence of device server data transfer requests that request data transfers to or from segments of the application client's buffer with an arbitrary offset and extent. See SAM-4.

**3.1.46 read operation:** An operation that uses the Data-In action, IU I3 (see 9.1).

**3.1.47 request byte count:** The number of bytes to be moved by a data delivery service request. See SAM-4.

**3.1.48 Responder:** The logical function in an N\_Port responsible for supporting the Exchange initiated by the Originator in another N\_Port. See FC-FS-3.

**3.1.49 Responder Exchange Identifier:** An identifier assigned by a Responder to identify an Exchange and meaningful only to the Responder. See FC-FS-3.

**3.1.50 sense data:** Data returned to an application client as a result of an autosense operation or REQUEST SENSE command. See SPC-4.

**3.1.51 Sequence:** A set of one or more Data frames with a common Sequence\_ID (SEQ\_ID), transmitted unidirectionally from one N\_Port to another N\_Port with a corresponding response, if applicable, transmitted in response to each Data frame. See FC-FS-3.

**3.1.52 Source\_Identifier (S\_ID):** The address identifier used to indicate the source port of the transmitted frame. See FC-FS-3.

**3.1.53 SCSI device:** A device that originates or services SCSI commands. See SAM-4.

**3.1.54 SCSI I/O operation:** An operation defined by a SCSI command, a series of linked SCSI commands, or a task management function. See SAM-4.

**3.1.55 SCSI initiator port:** A SCSI initiator device object that acts as the connection between application

clients and the service delivery subsystem through which requests, indications, responses, and confirmations are routed (see SAM-4). In all cases when this term is used it refers to an initiator port or a SCSI target/initiator port operating as a SCSI initiator port. In this standard, the term SCSI initiator port also refers to an FCP\_Port using the Fibre Channel protocol to perform the SCSI initiator port functions defined by SAM-4.

**3.1.56 SCSI target port:** A SCSI target device object that contains a task router and acts as the connection between device servers and task managers and the service delivery subsystem through which indications and responses are routed (see SAM-4). In this standard, the term SCSI target port also refers to an FCP\_Port using the Fibre Channel protocol to perform the SCSI target port functions defined by SAM-4.

**3.1.57 status:** A single byte returned by the device server to the application client to indicate the completion and completion state of a command. See SAM-4.

**3.1.58 command identifier:** The information that uniquely identifies a command. See A.1 and SAM-4.

**3.1.59 target port identifier:** An address identifier (see 3.1.2) that a SCSI initiator port uses to identify the SCSI target port. See SAM-4.

**3.1.60 target port name:** A SCSI port name of a SCSI target port or of a SCSI target/initiator port when operating as a SCSI target port. See SAM-4.

**3.1.61 task:** An object within the logical unit representing the work associated with a command or group of linked commands. See SAM-4.

**3.1.62 task attribute:** The queuing specification for a task (e.g., SIMPLE, ORDERED, HEAD OF QUEUE, ACA). See SAM-4.

**3.1.63 task management function:** A peer-to-peer confirmed service provided by a task manager that may be invoked by an application client to affect the processing of one or more tasks. See SAM-4.

**3.1.64 unacknowledged class:** Any class of service that does not acknowledge transfers (e.g., Class 3). See FC-FS-3.

**3.1.65 Worldwide\_Name:** A Name\_Identifier that is worldwide unique, and represented by a 64-bit unsigned binary value. See FC-FS-3.

**3.1.66 word:** A string of four contiguous bytes occurring on boundaries that are zero modulo 4 from a specified reference. See FC-FS-3.

**3.1.67 write operation:** An operation that uses the Data-Out action, IU T6 (see 9.1).

## 3.2 Abbreviations

<b>ABTS</b>	Abort Sequence Basic Link Service (see FC-FS-3).
<b>ABTS-LS</b>	ABTS with the PARAMETER field bit 0 set to zero (i.e., Abort Exchange) (see FC-FS-3).
<b>BA_ACC</b>	Basic Link Service Accept (Basic_Accept) (see FC-FS-3).
<b>BA_RJT</b>	Basic Link Service Reject (Basic_Reject) (see FC-FS-3).
<b>BLS</b>	Basic Link Service (see FC-FS-3).
<b>CRN</b>	Command Reference Number (see 4.4 and 9.2.2.2).
<b>D_ID</b>	Destination_Identifier (see 3.1.13).
<b>ELS</b>	Extended Link Service (see FC-LS).
<b>FC</b>	Fibre Channel (see FC-FS-3).
<b>FC-AL-2</b>	INCITS 332:1999, <i>Fibre Channel Arbitrated Loop - 2</i> (see 2.2).

<b>FC-FS-3</b>	INCITS Project 1861-D, <i>Fibre Channel Framing and Signaling Interface - 3</i> (see 2.3).
<b>FC-GS-6</b>	INCITS Project 1833-D, <i>Fibre Channel Generic Services - 6</i> (see 2.3).
<b>FC-LS</b>	INCITS Project 1620-D, <i>Fibre Channel - Link Services</i> (see 2.3).
<b>FCP</b>	Refers to this standard.
<b>FCP_ACC</b>	FCP FC-4 Link Service Accept.
<b>FCP_LS</b>	FCP FC-4 Link Service.
<b>FCP_RJT</b>	FCP FC-4 Link Service Reject (see 8.3).
<b>FCP-4</b>	This standard.
<b>FC-4</b>	Fibre Channel Layer 4 mapping layer (see FC-FS-3).
<b>FLOGI</b>	Fabric Login (see FC-LS).
<b>FQXID</b>	fully qualified exchange identifier (see 3.1.21).
<b>ID</b>	identifier
<b>IU</b>	Information Unit (see 3.1.25).
<b>LIFA</b>	Loop Initialization Fabric Assigned (see FC-AL-2).
<b>LIHA</b>	Loop Initialization Hard Assigned (see FC-AL-2).
<b>LIP</b>	Loop Initialization Primitive (see FC-AL-2).
<b>LIPA</b>	Loop Initialization Previously Assigned (see FC-AL-2).
<b>LISA</b>	Loop Initialization Soft Assigned (see FC-AL-2).
<b>LISM</b>	Loop Initialization Select Master (see FC-AL-2).
<b>LOGO</b>	Logout (see FC-LS).
<b>LS</b>	Link Service
<b>LS_ACC</b>	Link Service Accept reply Sequence
<b>LS_RJT</b>	Link Service Reject reply Sequence
<b>NA</b>	Not Applicable
<b>OX_ID</b>	Originator Exchange Identifier (see FC-FS-3).
<b>PLOGI</b>	Port (N_Port) Login (see FC-LS).
<b>PRLI</b>	Process Login (see 6.3 and FC-LS).
<b>PRLO</b>	Process Logout (see 6.4 and FC-LS).
<b>REC</b>	Read Exchange Concise (see 6.5 and FC-LS).
<b>RRQ</b>	Reinstate Recovery Qualifier (see 6.5 and FC-LS).
<b>RX_ID</b>	Responder Exchange Identifier (see FC-FS-3).
<b>SAM-4</b>	INCITS Project 1683-D, <i>SCSI Architecture Model - 4</i> (see 2.3).
<b>SCSI</b>	Small Computer System Interface, any revision.
<b>S_ID</b>	Source_Identifier (see 3.1.52).
<b>SPC-4</b>	INCITS Project 1731-D, <i>SCSI Primary Commands - 4</i> (see 2.3).

<b>SRR</b>	Sequence Retransmission Request (see 8.2).
<b>TPRLO</b>	Third Party Process Logout (see FC-LS).
<b>ULP</b>	upper layer protocol (see FC-FS-3).

### 3.3 Keywords

**3.3.1 expected:** A keyword used to describe the behavior of the hardware or software in the design models assumed by this standard. Other hardware and software design models may also be implemented.

**3.3.2 invalid:** A keyword used to describe an illegal or unsupported bit, byte, word, field or code value. Receipt of an invalid bit, byte, word, field or code value shall be reported as error.

**3.3.3 ignored:** A keyword used to describe a bit, byte, word, field or code value that shall not be examined by the receiving SCSI device. The bit, byte, word, field or code value has no meaning in the specified context.

**3.3.4 mandatory:** A keyword indicating an item that is required to be implemented as defined in this standard.

**3.3.5 may:** A keyword that indicates flexibility of choice with no implied preference (equivalent to “may or may not”).

**3.3.6 may not:** A keyword that indicates flexibility of choice with no implied preference (equivalent to “may or may not”).

**3.3.7 obsolete:** A keyword indicating that an item was defined in a prior SCSI standard but has been removed from this standard.

**3.3.8 optional:** A keyword that describes features that are not required to be implemented by this standard. However, if any optional feature defined by this standard is implemented, then it shall be implemented as defined in this standard.

**3.3.9 reserved:** A keyword referring to bits, bytes, words, fields and code values that are set aside for future standardization. A reserved bit, byte, word or field shall be set to zero, or in accordance with a future extension to this standard. Recipients are not required to check reserved bits, bytes, words or fields for zero values. Receipt of reserved code values in defined fields shall be reported as an error.

**3.3.10 restricted:** A keyword referring to bits, bytes, words, and fields that are set aside for use in other SCSI standards. A restricted bit, byte, word, or field shall be treated as a reserved bit, byte, word or field for the purposes of the requirements defined in this standard.

**3.3.11 shall:** A keyword indicating a mandatory requirement. Designers are required to implement all such mandatory requirements to ensure interoperability with other products that conform to this standard. This standard prescribes no specific response by a component if it receives information that violates a mandatory behavior.

**3.3.12 should:** A keyword indicating flexibility of choice with a strongly preferred alternative; equivalent to the phrase “it is strongly recommended”.

### 3.4 Editorial conventions

Certain words and terms used in this standard have a specific meaning beyond the normal English meaning. These words and terms are defined either in 3.1 or in the body of the standard where they first appear. Names of commands, statuses, task management functions, task attributes, Information Units, sense keys, additional sense codes, and additional sense code qualifiers are in all uppercase (e.g., REQUEST SENSE). Lowercase is used for words having the normal English meaning.

The names of fields are in small uppercase (e.g., ALLOCATION LENGTH). When a field name is a concatenation of acronyms, uppercase letters may be used for readability (e.g., NORMACA). Normal case is used when the contents of a field are being discussed. Fields containing only one bit are usually referred to as the NAME bit instead of the NAME field. Where fields defined in another standard are referenced in this standard, the capitalization conventions of the originating standard are used.

Numbers that are not immediately followed by lower-case b or h are decimal values.

Numbers immediately followed by lower-case b (0101b) are binary values.

Numbers or upper case letters immediately followed by lower-case h (FA23h) are hexadecimal values.

In all of the figures, tables, and text of this standard, the most significant bit of a binary quantity is shown on the left side. Bit order and byte order are as specified in FC-FS-3.

The ISO convention of numbering is used (i.e., the thousands and higher multiples are separated by a space). A dot is used as the decimal point. A comparison of the American and ISO conventions is shown below:

<b>ISO</b>	<b>American</b>
0.6	0.6
1 000	1,000
1 323 462.9	1,323,462.9

Lists sequenced by letters (e.g., a-red, b-blue, c-green) show no ordering relationship between the listed items.

Numbered lists (e.g., 1-red, 2-blue, 3-green) show an ordering relationship between the listed items.

If a conflict arises between text, tables, or figures, the order of precedence to resolve the conflicts is text, then tables, and finally figures. Exceptions to this convention are indicated in the appropriate subclauses. Not all tables or figures are fully described in the text. Tables show data format and values. Notes do not constitute any requirements for implementors.



## 4 General

### 4.1 Structure and concepts

Fibre Channel (FC) is logically a point-to-point serial data channel. The architecture has been designed so that it may be implemented with high performance hardware that requires little real-time software management. The Fibre Channel Physical layer (FC-2 layer) described by FC-FS-3 performs those functions required to transfer data from one N\_Port or NL\_Port to another. In this standard, N\_Ports and NL\_Ports capable of supporting Fibre Channel Protocol transactions are collectively referred to as FCP\_Ports. The FC-2 layer may be treated as a very powerful delivery service with information grouping and several defined classes of service.

A switching fabric allows communication among more than two FCP\_Ports.

Fibre Channel Arbitrated Loop-2 (FC-AL-2) is an alternative multiple port topology that allows communication between two ports on the loop or between a port on the loop and a port on a switching fabric attached to the loop.

An FC-4 mapping layer uses the services provided by FC-FS-3 to perform the functions defined by the FC-4. The protocol is described in terms of the stream of FC IUs and Exchanges generated by a pair of FCP\_Ports that support the FC-4.

The detailed implementation that supports that stream is not defined by this standard. Originator and Responder FCP\_Ports are assumed to have a common service interface, for use by all FC-4s, that is similar in characteristics to the service interface defined in FC-FS-3. The requirements for the service interface for SCSI are contained in SAM-4.

This standard defines four kinds of functional management:

- a) device management;
- b) task management;
- c) Process Login and Process Logout management; and
- d) link management.

The Fibre Channel Protocol device and task management protocols define the mapping of the SCSI functions defined in SAM-4 to the Fibre Channel interface defined by FC-FS-3. Link control is performed by standard FC-FS-3 protocols. The task management functions defined by SAM-4 are mapped as described in 4.9 of this standard. The I/O operation defined by SAM-4 is mapped into a Fibre Channel Exchange. A Fibre Channel Exchange carrying information for a SCSI I/O operation is an FCP Exchange. The request and response primitives of an I/O operation are mapped into Information Units (IUs) as shown in table 1.

**Table 1 - SCSI and Fibre Channel Protocol functions**

SCSI function	FCP equivalent
I/O operation	Exchange
Protocol Service request and response	Sequence
Send SCSI Command request	Unsolicited command IU (FCP_CMND)
Data delivery request	Data descriptor IU (FCP_XFER_RDY)
Data delivery action	Solicited data IU (FCP_DATA)
Send Command Complete response	Command status IU (FCP_RSP)
REQ/ACK for Command Complete	Confirmation IU (FCP_CONF)

The number of Exchanges that may simultaneously be open between an initiator FCP\_Port and a target FCP\_Port is defined by the FC-FS-3 implementation. The architectural limit for this value is 65 535. The maximum number of active Sequences that may simultaneously be open between an initiator FCP\_Port and a target FCP\_Port is restricted by the allowable range of values of the Sequence ID to 256, as defined in FC-FS-3. To allow task management Exchanges to be originated, a certain number of extra Exchange IDs and at least one extra Sequence\_ID should always be available.

#### **4.2 FCP I/O operations**

An application client begins an FCP I/O operation when it invokes a Send SCSI Command SCSI transport protocol service request or a Send Task Management request SCSI transport protocol service request (see SAM-4). The Send SCSI Command SCSI transport protocol service request conveys a single request or a list of linked requests from the application client to the FCP service delivery subsystem. Each request contains all the information necessary for the processing of one SCSI command or task management function, including the local storage address and characteristics of data to be transferred by the SCSI command. The Fibre Channel Protocol then performs the following actions using FC-FS-3 services to perform the SCSI command or task management function. The processing of the individual steps of the protocol is consistent with the SCSI architectural model as defined by SAM-4.

The FCP\_Port that is the initiator for the command starts an Exchange by transmitting an unsolicited command IU containing the FCP\_CMND IU payload, including some command controls, addressing information, and the SCSI command descriptor block (CDB). The initiator FCP\_Port transmits the FCP\_CMND IU payload to invoke the Send SCSI Command SCSI transport protocol service request (see SAM-4) and start the FCP I/O operation. The Exchange that is started is identified by its fully qualified exchange identifier (FQXID) during the remainder of the FCP I/O operation and is used only for the IUs associated with that FCP I/O operation. See 4.16.

When the device server has interpreted the command, has determined that a write operation is required, and is prepared to request the data delivery service, it transmits a data descriptor IU containing the FCP\_XFER\_RDY IU payload to the initiator indicating which portion of the data is to be transferred. The initiator FCP\_Port then transmits a solicited data IU to the target containing the FCP\_DATA IU payload requested by the FCP\_XFER\_RDY IU. The FCP\_XFER\_RDY IU and FCP\_DATA IU payloads constitute the Receive Data-Out protocol service request and Data-Out Received service confirmation described in SAM-4. Data delivery requests containing FCP\_XFER\_RDY IU and returning FCP\_DATA IU payloads continue until the data transfer

requested by the SCSI command is complete. One FCP\_DATA IU shall follow each FCP\_XFER\_RDY IU. If the initiator and target have negotiated to disable the initial FCP\_XFER\_RDY IU (see 6.3.4), a first burst shall be transferred (see 10.2.10).

When the device server has interpreted the command and has determined that a read operation is required, the target FCP\_Port transmits a solicited data IU to the initiator FCP\_Port. The solicited data IU shall contain the FCP\_DATA IU payload. The FCP\_DATA IU constitutes the Send Data-In protocol service request described in SAM-4. Data deliveries containing FCP\_DATA IU payloads continue until all data described by the SCSI command is transferred.

When the device server has interpreted the command and has determined that bidirectional transfer is required, it selects the first FCP\_DATA IU to be transferred. The IU may be either a Data-In or a Data-Out transfer. If the device server chooses to request a Data-Out transfer first, it transmits a data descriptor IU containing the FCP\_XFER\_RDY IU payload to the initiator to indicate which portion of the data is to be transferred. The initiator FCP\_Port then transmits the solicited data IU to the target FCP\_Port. The solicited data IU shall contain the FCP\_DATA IU payload requested by the FCP\_XFER\_RDY IU. The FCP\_XFER\_RDY IU and FCP\_DATA IU payloads constitute the Receive Data-Out protocol service request and Data-Out Received service confirmation described in SAM-4. If the device server chooses to transmit a Data-In transfer first, the target FCP\_Port transmits a solicited data IU to the initiator FCP\_Port. The solicited data IU shall contain the FCP\_DATA IU payload. The FCP\_DATA IU constitutes the Send Data-In protocol service request described in SAM-4. The device server then selects the next FCP\_DATA IU to be transmitted and performs the appropriate procedure to transmit. Data deliveries continue until all data described by the SCSI command is transferred. This standard places no restrictions on the order that the device server performs Data-In and Data-Out transfer operations. If the initiator and target have negotiated to disable the initial FCP\_XFER\_RDY IU (see 6.3.4), a first burst shall be transferred (see 10.2.10).

After all the data has been transferred, the device server transmits the Send Command Complete protocol service response (see SAM-4) by requesting the transmission of an IU containing the FCP\_RSP IU payload. That payload contains the SCSI status and, if the SCSI status is CHECK CONDITION, the autosense data describing the condition. The FCP\_RSP IU indicates completion of the SCSI command. If no command linking, error recovery, or confirmed completion is requested, the FCP\_RSP IU is the final sequence of the Exchange. The device server determines whether additional linked commands are to be performed in the FCP I/O operation. If this is the last or only command processed in the FCP I/O operation, the FCP I/O operation and the Exchange are terminated. If an FCP protocol error occurred during processing of the command, the FCP\_RSP IU payload carries the FCP response information instead of the SCSI status and autosense data.

When the command is completed, returned information is used to prepare and return the Command Complete Received protocol service confirmation to the application client that requested the operation. The returned status indicates whether or not the command was successful. The successful completion of the command indicates that the SCSI device performed the requested operations with the transferred data and that the information was successfully transferred to or from the initiator. Status other than successful completion indicates that either SCSI sense data or warnings about unexpected FCP behaviors are being provided. In this case, the sense data or warning is interpreted to determine whether the desired operation was successfully completed. The device server may request a protocol service indication that confirms delivery of the FCP\_RSP IU payload as described in 4.5.

If the command is linked to another command, the FCP\_RSP IU payload shall contain the proper status (i.e., INTERMEDIATE or INTERMEDIATE-CONDITION MET) indicating that another command shall be processed. The target FCP\_Port shall present the FCP\_RSP using the IU that allows command linking, I5 (see 9.1). The initiator FCP\_Port shall continue the same Exchange with an FCP\_CMND IU, beginning the next SCSI

command. All SCSI commands linked in the FCP I/O operation except the last are processed in the manner described above. SAM-4 defines the cases that interrupt and terminate a series of linked commands. In those cases, the FCP\_RSP IU of the last command in the set of linked commands shall be transmitted using the IU that does not allow command linking, I4 (see 9.1). See 4.5.

The number of FCP I/O operations that may be active at one time depends on the queuing capabilities of the FCP device. If command queueing resources are unavailable in the logical unit when a command is received, the device server returns TASK SET FULL status or BUSY status in the FCP\_RSP IU as specified by SAM-4.

The Fibre Channel Protocol takes full advantage of the multiplexing and shared bandwidth capabilities provided by various Fibre Channel classes of service. The protocol is designed to operate with any class of service and to provide options for reliable error detection and error recovery independent of the class of service.

SCSI allows the SCSI initiator port function in any FCP\_Port and the SCSI target port function in any FCP\_Port. For FCP I/O operations between a host and a peripheral subsystem, the host typically takes on the SCSI initiator port role and the peripheral subsystem typically takes on the SCSI target port role. For host to host communications, either one of the communicating pair may take on the SCSI initiator port role. For device to device communications, typically used to implement extended copy and other third-party operations, the SCSI initiator port role is adopted by the managing FCP device.

#### **4.3 Bidirectional and unidirectional commands and FCP\_RSP IU format**

A device server that supports bidirectional commands may implement both unidirectional and bidirectional commands. Two FCP\_RSP IU formats are defined. For commands that set both the RDDATA and WRDATA bits to one, the bidirectional FCP\_RSP IU payload shall be used for presenting all status and error conditions. For commands that set either the RDDATA or WRDATA bit or both to zero, the unidirectional FCP\_RSP IU payload shall be used for presenting all status and error conditions. The format of the FCP\_RSP IU that is returned depends only on the state of the RDDATA and WRDATA bits.

A device server that does not support bidirectional commands shall use the unidirectional FCP\_RSP IU payload for presenting all status and error conditions. If a device server that does not support bidirectional commands receives a command that requests read and write operations by setting both the RDDATA and WRDATA bits to one, the device server may return CHECK CONDITION status with the sense key set to ILLEGAL REQUEST and an additional sense code of INVALID FIELD IN COMMAND INFORMATION UNIT.

#### **4.4 Precise delivery of SCSI commands**

In applications where SCSI communications between an application client and a device server are stateless, verification of the delivery and processing of SCSI commands is often not critical. Any changes in processing sequence caused by link failures or switch latencies are not important and the recovery and retry mechanisms may be performed while other activities are continued by the application client and the device server.

SAM-4 defines a mechanism to assure ordering of commands. If the initiator transmits a single command and waits for GOOD status before transmitting the next command, the commands are guaranteed to be processed in order.

This standard defines a second optional mechanism called precise delivery to assure ordering of commands. This may be used by any FCP device, but may be useful for devices performing ordered command queuing where device state is preserved from one command to the next. An application client may determine if a device server supports the precise delivery function by using the MODE SENSE and MODE SELECT commands to examine and set the enable precise delivery checking (EPDC) bit in the Fibre Channel Logical Unit Control mode page. See 10.3.

If a SCSI command requires precise delivery and the device server has the EPDC bit set to one, the application client provides the Command Reference Number (CRN) argument to the Send SCSI Command protocol service. The initiator FCP\_Port then places the CRN value in the COMMAND REFERENCE NUMBER field in the FCP\_CMND IU.

The CRN is a one byte unsigned integer that starts at the reset value of one and shall be incremented by one for each command requiring precise delivery for that device server. Separate increment counters are maintained for each I\_T\_L nexus (i.e., each initiator maintains a separate counter for each device server using precise delivery). After the number of precisely delivered commands causes the integer to increment to 255, the integer wraps back to a value of one. The value of zero is reserved and shall be used for those commands that do not require precise delivery and for task management functions.

The following rules specify how the application client and device server use the CRN to determine that each command requiring precise delivery has been properly received and processed:

- a) see table 7 and table 8 for the actions that cause the CRN to be transmitted by the initiator FCP\_Port to be set to one and the CRN expected by the device server to be set to one;
- b) the CRN shall be equal to one for the first FCP\_CMND IU requiring precise delivery between the application client and device server and shall be incremented by one for each subsequent command requiring precise delivery;
- c) the CRN shall wrap from 255 to one (i.e. a value of zero in the CRN field is not valid for an Exchange using precise delivery);
- d) the initiator shall not transmit the same CRN again until delivery of the first FCP\_CMND IU transmitted with that CRN has been confirmed by receipt of an FCP\_XFER\_RDY IU, the first Data frame of an FCP\_DATA IU, an FCP\_RSP IU, an ACK, or a response to an REC ELS;
- e) the device server shall not accept a command with a nonzero CRN into the dormant or enabled state until after all commands with a previous CRN have been received by the device server. The commands shall be assumed to be received in the order of increasing CRN, and accounting for a wrap from 255 to one, the highest CRN last. The order of processing of the commands shall be managed by the normal task set management algorithms;
- f) the device server shall accept any valid command with a CRN of zero into the dormant or enabled state regardless of whether or not all commands with a nonzero CRN have been received. The processing order of the commands shall be managed by the normal task set management algorithms. See SAM-4; and
- g) task management functions shall have the CRN set to zero and shall not be tested for precise delivery by the device server.

Any command may use a CRN of zero if precise delivery is not required for that command (e.g., commands such as INQUIRY, TEST UNIT READY, REPORT LUNS, MODE SENSE, and MODE SELECT that used for booting and initialization may use a CRN of zero).

#### **4.5 Confirmed completion of FCP I/O operations**

Some FCP devices require an acknowledgment of successful delivery of FCP\_RSP information. Such an acknowledgment is provided by the optional confirmed completion function. The CONFIRMED COMPLETION ALLOWED bit in the PRLI ELS request FCP Service Parameter page (see 6.3.4) and PRLI ELS accept FCP Service Parameter page (see 6.3.5) is used to negotiate the use of confirmed completion function.

If the CONFIRMED COMPLETION ALLOWED bit is set to one in the PRLI ELS accept FCP Service Parameter page, the target FCP\_Port may request the confirmed completion function by setting the FCP\_CONF\_REQ bit to one in the FCP\_RSP IU. Upon receiving the request in the FCP\_RSP IU, the initiator FCP\_Port shall transmit an FCP\_CONF IU to the target FCP\_Port, indicating to the target FCP\_Port that the FCP\_RSP IU has been received by the initiator FCP\_Port.

The confirmed completion function allows the retry of unsuccessful notifications of errors and confirms that the initiator FCP\_Port and the target FCP\_Port both agree upon the state of a state dependent device. Retry mechanisms for unsuccessful transmission of FCP\_RSP IUs and FCP\_CONF IUs are defined in this standard.

Target FCP\_Ports shall not request confirmed completion for FCP\_RSP IUs responding to task management requests.

If confirmed completion is not enabled, the FCP\_CONF IU shall not be requested by the FCP\_RSP IU.

If command linking is being performed, the target FCP\_Port shall not request confirmed completion for an FCP\_RSP IU containing INTERMEDIATE or INTERMEDIATE-CONDITION MET status. The target FCP\_Port may request confirmed completion:

- a) when providing the FCP\_RSP IU for the last command of the set of linked commands; or
- b) when providing the FCP\_RSP IU for a command that terminates linking because of an error or CHECK CONDITION status.

Confirmed completion may assist initiators and targets in many environments. Particular examples include:

- a) the confirmed completion function may be used to confirm that an initiator FCP\_Port has received an FCP\_RSP IU reporting a SCSI CHECK CONDITION status, together with accompanying autosense data. Upon receiving the FCP\_CONF IU, the target FCP\_Port may discard its copy of the autosense data;
- b) the confirmed completion function may be used to confirm that a queued SCSI command has been completed and that the completion information has been successfully transferred to the initiator FCP\_Port. That allows subsequent queued state dependent operations to be performed, since the FCP\_CONF IU confirms that the FCP\_RSP IU has been received by the initiator FCP\_Port; and
- c) the confirmed completion function may be used to confirm that an initiator FCP\_Port has received the FCP\_RSP IU for target FCP\_Ports that require state dependent synchronization with initiator FCP\_Ports.

#### **4.6 Retransmission of unsuccessfully transmitted IUs**

Error detection and IU retransmission algorithms are defined in clause 12.

The Read Exchange Concise (REC) ELS may be used by the initiator FCP\_Port to determine the state of an ongoing Exchange. See 6.5.

Support for the REC ELS by both the initiator FCP\_Port and target FCP\_Port is indicated by the REC\_SUPPORT bit in the PRLI ELS request FCP Service Parameter page (see 6.3.4) and the PRLI ELS accept FCP Service Parameter page (see 6.3.5).

If the target FCP\_Port responds with the REC\_SUPPORT bit set to one and an error is identified by any of the detection mechanisms defined in clause 12, then the initiator FCP\_Port may use the REC ELS to determine the nature of the error.

Target FCP\_Ports that do not support the REC\_SUPPORT bit indicate they do not support the REC ELS by returning a Link Service Reject (LS\_RJT) with a reason code of "Command not supported" in response to an REC ELS. See 8.3.

If an error is identified by any of the mechanisms defined in clause 12 and if the data retransmission capability is supported by both the initiator FCP\_Port and target FCP\_Port as indicated by the RETRY bit in the PRLI ELS request FCP Service Parameter page and PRLI ELS accept FCP Service Parameter page (see 6.3.4 and 6.3.5):

- a) the initiator FCP\_Port may request retransmission using the Sequence Retransmission Request (SRR) FCP\_LS request. See 8.2; and
- b) the initiator FCP\_Port and target FCP\_Port shall support the REC ELS and task retry identification (see 4.7).

#### **4.7 Task retry identification**

Task retry identification provides an additional mechanism for relating commands that are being retried to the requests that are sensing the requirement for recovery (i.e., REC ELS request) and performing the recovery (i.e., SRR FCP\_LS request). The particular case that has been identified as a problem is related to the recovery procedure diagrammed in figure C.7. It is possible that initiator FCP\_Ports may re-use OX\_ID field values rapidly enough to create an ambiguous situation where the status being preserved in the target FCP\_Port for possible retransmission and the new command being presented to the target FCP\_Port may have the same OX\_ID field values. When recovery of a transmission failure for the new command is attempted, the target FCP\_Port instead indicates that the recovery is related to the previous command's status and the initiator FCP\_Port is provided status for the completed command. That information is mistakenly interpreted as status for the failed command. Many small variations on this scenario may exist.

FCP\_Ports that agree to perform recovery shall support task retry identification. If the initiator FCP\_Port and target FCP\_Port agree to support task retry identification, a task retry identifier shall be provided in the PARAMETER field of each FCP\_CMND IU frame. The Link Services associated with retransmission of IUs (i.e., REC ELS and SRR FCP\_LS) each contain the same task retry identifier, unambiguously relating them to the particular command. If the initiator FCP\_Port and target FCP\_Port do not agree to support task retry identification, the PARAMETER field shall be zero for the FCP\_CMND IU, REC ELS, and SRR FCP\_LS frames.

## 4.8 Discovery of FCP capabilities

A number of Fibre Channel Protocol capabilities require the knowledge and agreement of both the target FCP\_Port and the initiator FCP\_Port that such capabilities may or shall be used. Table 2 provides references to the discovery process for each of the Fibre Channel Protocol capabilities.

**Table 2 - Discovery of FCP-4 capabilities**

Capability	Discovery mechanism	Reference
Initiator FCP_Port	Process Login	6.3
Target FCP_Port	Process Login	6.3
Initiator FCP_Port accepts data overlay	Process Login	6.3.4
Target FCP_Port performs data overlay	Disconnect-Reconnect mode page EMDP bit	10.2.8
Initiator FCP_Port transmits FCP_CONF IU	Process Login	6.3
Target FCP_Port requests FCP_CONF IU	Process Login	6.3
Initiator FCP_Port transmits REC ELS request	None required, Process Login allowed	4.6 and 6.3
Target FCP_Port accepts REC ELS request	Process Login <sup>a</sup>	4.6 and 6.3
Initiator FCP_Port transmits SRR FCP_LS request	Process Login	6.3
Target FCP_Port accepts SRR FCP_LS request	Process Login	6.3
Initiator FCP_Port provides CRN	Fibre Channel Logical Unit Control mode page EPDC bit	4.4 and 10.3
Target FCP_Port accepts CRN	Fibre Channel Logical Unit Control mode page EPDC bit	4.4 and 10.3
Task Retry Identification	Process Login	6.3
a) If the target FCP_Port does not support the REC SUPPORT bit in the PRLI ELS, then the target FCP_Port may return an LS_RJT in response to an REC ELS (see 4.6).		

## 4.9 Task management functions

### 4.9.1 Task management functions overview

An application client requests a task management function (see SAM-4) to control explicitly the processing of one or more FCP I/O operations (see 9.2.2.5).



The task management function mappings are specified in table 3.

**Table 3 - Task management functions, SAM-4 to FCP-4**

<b>SAM-4 task management function</b>	<b>FCP-4 implementation</b>
ABORT TASK	ABTS-LS (see FC-FS-3) <sup>a</sup>
ABORT TASK SET	FCP_CMND IU with TASK MANAGEMENT FLAGS field set to FCP_ABORT_TASK_SET
CLEAR TASK SET	FCP_CMND IU with TASK MANAGEMENT FLAGS field set to FCP_CLEAR_TASK_SET
CLEAR ACA	FCP_CMND IU with TASK MANAGEMENT FLAGS field set to FCP_CLEAR_ACA
LOGICAL UNIT RESET	FCP_CMND IU with TASK MANAGEMENT FLAGS field set to FCP_LOGICAL_UNIT_RESET
QUERY TASK	REC ELS (see FC-LS)
QUERY TASK SET	FCP_CMND IU with TASK MANAGEMENT FLAGS field set to FCP_QUERY_TASK_SET
QUERY UNIT ATTENTION	FCP_CMND IU with TASK MANAGEMENT FLAGS field set to FCP_QUERY_UNIT_ATTENTION
a) FC-FS-3 BLSSs are used to perform the ABORT TASK task management function.	

Task management functions that use the FCP\_CMND IU are transmitted as the first IU in a new Exchange. A task management function that uses the FCP\_CMND IU ends with an FCP\_RSP IU that indicates the completion status of the function. If the addressed logical unit is not supported or is not available (e.g., not connected or not configured) the target FCP\_Port:

- a) should end the Exchange with an FCP\_RSP IU completion status of 09h (i.e., Task Management function incorrect logical unit number) (see table 27); and
- b) may end the Exchange with an FCP\_RSP IU completion status of 00h (i.e., Task Management function complete) (see table 27).

The FCP\_CDB field in FCP\_CMND IUs that perform task management functions is ignored.

FC-FS-3 BLSSs and FC-LS ELSs are used to perform the ABORT TASK task management function, to perform the QUERY TASK task management function, to recover Exchange resources, and to re-establish other initial conditions.

Table 4 specifies the SCSI Service Response mappings for an initiator FCP\_Port for FCP\_CMND delivered task management functions.

**Table 4 - SCSI Service Response mapping for FCP\_CMND delivered task management functions**

SCSI Service Response	Response to the FCP_CMND IU
FUNCTION_COMPLETE	FCP_RSP IU with the RSP_CODE field set to TMF_COMPLETE
FUNCTION_REJECTED	FCP_RSP IU with the RSP_CODE field set to TMF_REJECTED
INCORRECT LOGICAL UNIT NUMBER	FCP_RSP IU with the RSP_CODE field set to TMF_INCORRECT_LUN
SERVICE DELIVERY OR TARGET FAILURE	All other responses, including: a) timeout; and b) FCP_RSP IU with the RSP_CODE field set to TMF_FAILED

#### 4.9.2 ABORT TASK task management function

The ABORT TASK task management function causes the device server to abort the specified task, if it exists, using ABTS-LS (see FC-FS-3).

To be compliant with FC-FS-3, the ABORT TASK task management function may not immediately release all Exchange resources, since a Recovery\_Qualifier may be established to allow for the management of information that may already have been delivered to the fabric.

In addition to recovering Exchange resources that may have been left unavailable while processing task management functions, ABTS-LS is used to recover Exchange resources left in an undefined state by any of the task abort events defined in SAM-4 or by any similar events.

Table 5 specifies the SCSI Service Response mappings for an initiator FCP\_Port for the ABORT TASK task management functions.

**Table 5 - SCSI Service Response mapping for ABORT TASK**

SCSI Service Response	Response to the ABTS-LS
FUNCTION_COMPLETE	Either: a) BA_ACC; or b) BA_RJT with Reason Code set to 03h (i.e., Logical error) and Reason Code Explanation set to 03h (i.e., Invalid OX_ID-RX_ID combination).
FUNCTION_REJECTED	BA_RJT with Reason Code set to 01h (i.e., Invalid command code) or 09h (i.e., Unable to perform command request).
INCORRECT LOGICAL UNIT NUMBER	No responses map to this SCSI Service Response.
SERVICE DELIVERY OR TARGET FAILURE	All other responses, including timeout.

### 4.9.3 QUERY TASK task management function

The QUERY TASK task management function maps to the REC ELS. The REC ELS shall be transmitted in a new Exchange.

Table 6 specifies the SCSI Service Response mappings for an initiator FCP\_Port for the QUERY TASK task management functions.

**Table 6 - SCSI Service Response mapping for QUERY TASK**

SCSI Service Response	Response to the REC ELS
FUNCTION_COMPLETE	Either: a) LS_ACC with E_STAT (i.e., word 5) bit 29 (i.e., Completion) set to one (i.e., complete); or b) LS_RJT with Reason Code set to 03h (i.e., Logical error) or 09h (i.e., Unable to perform command request) and Reason Code Explanation set to 17h (i.e., Invalid OX_ID-RX_ID combination).
FUNCTION_SUCCEEDED	LS_ACC with E_STAT (i.e., word 5) bit 29 (i.e., Completion) set to zero (i.e., open).
FUNCTION_REJECTED	LS_RJT with Reason Code set to: a) 01h (i.e., Invalid ELS command code); b) 0Bh (i.e., Command not supported).
INCORRECT LOGICAL UNIT NUMBER	No responses map to this SCSI Service Response.
SERVICE DELIVERY OR TARGET FAILURE	All other responses, including: a) timeout; and b) LS_RJT with Reason Code set to 09h (i.e., Unable to perform command request) and Reason Code Explanation set to 15h (i.e., Invalid Originator S_ID).

### 4.10 Clearing effects of task management, FCP, FC-FS-3, FC-LS, and FC-AL-2 actions

Tables 7 and 8 summarize the clearing effects resulting from Fibre Channel link actions and SCSI operations, respectively. A 'Y' in the corresponding column of either table indicates the clearing effect upon successful completion of the specified action. The clearing effects are applicable only to Sequences and Exchanges associated with Fibre Channel Protocol actions. Sequences and Exchanges associated with other actions follow rules specified in FC-FS-3 or other relevant protocol standards. An 'N' in the corresponding column indicates the clearing effect is not performed by the specified action. A '-' in the column indicates that the clearing effect is not applicable. Rows indicating a clearing effect for all initiator FCP\_Ports have the specified clearing effect on all initiator FCP\_Ports, regardless of the link that attaches the initiator FCP\_Port to the target FCP\_Port.

**Table 7 - Clearing effects of link related functions**

Clearing effect	FC link action						
	Target Power Cycle	Reset LIP(y,x) <sup>2</sup>	LOGO ELS <sup>5</sup> , PLOGI ELS	PRLI ELS <sup>4</sup> , PRLO ELS <sup>5</sup>	TPRLO ELS <sup>3</sup>	ABTS-LS	ABTS (Sequence)
PLOGI ELS parameters set to default values (see FC-LS) For all logged-in initiator FCP_Ports Only for initiator FCP_Port associated with the action	Y -	Y -	N Y	N N	N N	N N	N N
Open FCP Exchanges terminated For all initiator FCP_Ports Only for initiator FCP_Port associated with the action Only for FCP Exchange associated with ABTS	Y - -	Y - -	N Y -	N Y -	Y - -	N N Y	N N -
FCP Sequence associated with ABTS terminated	-	-	-	-	-	-	Y
Login BB_Credit_CNT set to login value (see FC-FS-3) For all Logged-In NL_Ports For transmitting NL_Port only	Y - -	Y - -	N Y -	N N -	N N -	N N -	N N -
Hard Address Acquisition Attempted	Y <sup>1</sup>	Y <sup>1</sup>	N	N	N	N	N
Process Login parameters cleared <sup>6</sup> For all logged-in initiator FCP_Ports Only for FCP_Port associated with the action	Y -	Y -	N Y	N Y	Y -	N N	N N
CRN set to one For all initiator FCP_Ports Only for initiator FCP_Port associated with the action	Y -	Y -	N Y	N Y	Y -	N N	N N
NOTES:  1 If the NL_Port has an AL_PA different than its hard address and the NL_Port experiences a power cycle or recognizes LIP(AL_PD,AL_PS), the NL_Port shall relinquish its current AL_PA and attempt to acquire its hard address. 2 This is also known as LIP(AL_PD,AL_PS). If the destination recognizes a selective hard reset LIP where the AL_PD matches the AL_PA of the receiving NL_Port, the receiving NL_Port shall perform the behavior described in this column. 3 For a TPRLO ELS, the actions listed shall be performed when the GLOBAL bit is set to one. If the GLOBAL bit is set to zero, then the actions listed under PRLI ELS/PRLO ELS shall be performed for the designated initiator FCP_Port. See FC-LS. 4 The target FCP_Port shall clear the object only if ESTABLISH IMAGE PAIR is set to one and if the referenced image pair is FCP type. See 6.2. 5 Logout and Process Logout may be either implicit or explicit. Implicit logout and Process Logout are specified in FC-LS. 6 A target FCP_Port should transmit a PRLO ELS to all logged-in initiator FCP_Ports that are logged out as a result of processing a TPRLO ELS with the GLOBAL bit set to one. The PRLO ELS(s) may be transmitted before or after transmitting the LS_ACC for the TPRLO ELS.							

**Table 8 - Clearing effects of initiator FCP\_Port actions**

Clearing effect	Initiator FCP_Port action		
	LOGICAL UNIT RESET <sup>2</sup>	CLEAR TASK SET <sup>2</sup>	ABORT TASK SET <sup>2</sup>
PLOGI ELS parameters set to default values (see FC-LS) For all logged-in initiator FCP_Ports Only for initiator FCP_Port associated with the action	N N	N N	N N
Open FCP Sequences Terminated For all initiator FCP_Ports with open FCP Sequences Only for initiator FCP_Port associated with the action Only for FCP Sequences associated with Aborted FCP Exchanges	Y <sup>1</sup> - -	Y <sup>1</sup> - -	N Y <sup>1</sup> -
Login BB_Credit_CNT set to login value (see FC-FS-3) For all Logged-In NL_Ports For transmitting NL_Port only	N N	N N	N N
Hard Address Acquisition Attempted	N	N	N
Process Login parameters cleared For all logged-in initiator FCP_Ports Only for FCP_Port associated with the action	N N	N N	N N
CRN set to one For all initiator FCP_Port Only for initiator FCP_Port associated with the action	Y -	Y -	N Y
<b>NOTES:</b>  1 Exchanges are cleared internally within the target FCP_Port, but open FCP Sequences shall be individually aborted by the initiator FCP_Port using ABTS-LS that also has the effect of aborting the associated FCP Exchange. See 12.3. 2 For multiple-logical unit SCSI target devices, CLEAR TASK SET, ABORT TASK SET, and LOGICAL UNIT RESET affect only the addressed logical unit.			

#### 4.11 I\_T nexus loss notification events

An FCP\_Port shall deliver an I\_T nexus loss notification (see SAM-4) for the following:

- transmitting or receiving a LOGO ELS (explicit or implicit);
- transmitting or receiving a PRLO ELS (explicit or implicit);
- receiving a TPRLO ELS;
- transmitting a TPRLO ELS with a Third Party Originator N\_Port\_ID (see FC-LS) that matches the N\_Port\_ID of the transmitting FCP\_Port; or
- transmitting a TPRLO ELS with the GLOBAL bit set to one to a target FCP\_Port that has an I\_T nexus with the transmitting initiator FCP\_Port.

#### 4.12 Transport Reset notification events

An NL\_Port shall deliver a Transport Reset notification (see SAM-4) for a Reset LIP(y,x) (see FC-AL-2) FC link event if the AL\_PD matches the AL\_PA of the receiving NL\_Port.

#### 4.13 Port Login/Logout

The N\_Port Login (PLOGI) ELS is optionally used to establish the Fibre Channel operating parameters between any two Fibre Channel ports, including FCP\_Ports. Implicit login functions are allowed.

If a target FCP\_Port receives a PLOGI ELS request and it finds there are not enough login resources to complete the login, the target FCP\_Port responds to the PLOGI ELS with LS\_RJT and reason code "Unable to perform command request" and reason code explanation "Insufficient resources to support Login" as defined in

FC-LS. By means outside the scope of this standard, the target FCP\_Port may select another initiator FCP\_Port and release some login resources by performing an explicit logout of the other initiator FCP\_Port, thus freeing resources for a future PLOGI ELS.

#### **4.14 Process Login and Process Logout**

The Process Login (PRLI) ELS request is used to establish the FCP operating relationships between two FCP\_Ports (see 6.3). The Process Logout (PRLO) ELS request is used to de-establish the FCP operating relationships between two FCP\_Ports (see 6.4). Implicit Process Login and Process Logout parameters may be defined for FCP\_Ports. Such definitions are outside the scope of this standard.

#### **4.15 Link management**

FC-FS-3 allows management protocols above the FC-FS-3 interface to perform link data functions. The standard primitive sequences, link management protocols, BLSs, and ELSs are used as required by FCP devices (see FC-FS-3 and FC-LS).

#### **4.16 FCP addressing and Exchange identification**

The address of each FCP\_Port is defined by its address identifier as described in FC-FS-3. Each FCP I/O operation is identified by the FCP I/O operation's fully qualified exchange identifier (FQXID). The FQXID is composed of the initiator port identifier, the target port identifier, the `OX_ID` field value, and the `RX_ID` field value. Other definitions of FQXID are outside the scope of this standard. The method used to identify FCP I/O operations internal to the application client and the device server is not defined by this standard.

Addressability of logical units uses the `FCP_LUN` field provided in the `FCP_CMND` IU. Subsequent identification of the FCP I/O operation and the Exchange that carries the protocol interactions for the FCP I/O operation uses the FQXID. FCP devices do not use the `Process_Associator`.

The target FCP\_Port uses the `OX_ID` field value, and, if it has been assigned, the `RX_ID` field value to perform error recovery and task management functions. The task retry identifier is used as a supplemental task identifier if task retry identification is supported and enabled.

#### **4.17 Use of World Wide Names**

As specified in FC-FS-3, each Fibre Channel node and each Fibre Channel port shall have a `Worldwide_Name`. The `Worldwide_Name` shall be a unique name using one of the formats defined by FC-FS-3. See Annex A for a description of the mapping of FCP-4 terminology to SAM-4 terminology.

Each target FCP\_Port and its associated logical units has knowledge of the `Port_Name` of each initiator FCP\_Port through the Fibre Channel login process. As a result, the relationship between address identifier of the initiator FCP\_Port and a persistent reservation for a logical unit may be adjusted (see SPC-4) during those reconfiguration events that may change the address identifier of the initiator FCP\_Port. If a target FCP\_Port receives a PRLI ELS or a PLOGI ELS from an initiator FCP\_Port with a previously known `Worldwide_Name`, but with a changed initiator port identifier, the device server shall assign the new initiator port identifier to the existing registration and reservation to the initiator FCP\_Port having the same `Worldwide_Name`.

Each logical unit shall be able to present a `Worldwide_Name` through the INQUIRY command Device Identification VPD page (see SPC-4). For devices compliant with this standard and having a LUN 0, the `Worldwide_Name` of the logical unit having a LUN of 0 may be the same as the `Node_Name` of the SCSI target device. The `Worldwide_Name` for the FCP\_Port shall be different from the `Worldwide_Name` for the node.

## 5 FC-FS-3 frame header

### 5.1 FC-FS-3 frame header overview

The format of the standard FC-FS-3 header as used by the Fibre Channel Protocol is defined in table 9.

**Table 9 - FCP frame header**

Bits	31– 24	23–16	15–08	07–00
Word				
0	R_CTL	D_ID		
1	CS_CTL	S_ID		
2	TYPE	F_CTL		
3	SEQ_ID	DF_CTL	SEQ_CNT	
4	OX_ID		RX_ID	
5	PARAMETER			

All fields in the FCP frame header use the standard FC-FS-3 definitions. The following explanations of the fields provide information about the use of those fields to implement FCP functionality.

### 5.2 FC-FS-3 frame header fields

#### 5.2.1 R\_CTL field

The values in the R\_CTL field identify the frame as part of an FCP I/O operation and identify the information category. All Sequences containing FCP command, data, response, and data descriptor information shall be composed of Device\_Data frames.

The information category associated with each IU is defined in table 19 and table 20.

#### 5.2.2 D\_ID field

The value in the D\_ID field is the D\_ID of the frame. For FCP FC-4 Device\_Data frames, the D\_ID transmitted by the Exchange Originator is the address identifier of the target FCP\_Port. The D\_ID transmitted by the Exchange Responder is the address identifier of the initiator FCP\_Port.

#### 5.2.3 CS\_CTL field

The values in the CS\_CTL field are defined by FC-FS-3 for class specific control information and do not interact with the Fibre Channel Protocol.

#### 5.2.4 S\_ID field

The value in the S\_ID field is the S\_ID of the frame. For FCP FC-4 Device\_Data frames, the S\_ID transmitted by the Exchange Originator is the address identifier of the initiator FCP\_Port. The S\_ID transmitted by the Exchange Responder is the address identifier of the target FCP\_Port.

#### 5.2.5 TYPE field

The value in the TYPE field shall be 08h for all frames of SCSI FCP Exchanges.

### 5.2.6 F\_CTL field

The bits in the F\_CTL field manage the beginning and normal or abnormal termination of Sequences and Exchanges. The bits and definitions shall be as defined by FC-FS-3. See 5.2.12.

### 5.2.7 SEQ\_ID field

The value in the SEQ\_ID field identifies each Sequence between a particular Exchange Originator and Exchange Responder with a unique value as defined by FC-FS-3.

### 5.2.8 DF\_CTL field

The bits in the DF\_CTL field indicate any optional headers that may be present. The DF\_CTL field shall be set to 00h (i.e., no optional headers) or 40h (i.e., Encapsulating Security Payload).

### 5.2.9 SEQ\_CNT field

The value in the SEQ\_CNT field indicates the frame order within the Sequence as defined by FC-FS-3.

### 5.2.10 OX\_ID field

The value in the OX\_ID field is the Originator Exchange Identifier and is one of the identifiers contained in the FQXID. The OX\_ID field shall be assigned and shall have a value other than FFFFh.

### 5.2.11 RX\_ID field

The value in the RX\_ID field is the Responder Exchange Identifier and is one of the identifiers contained in the FQXID. The RX\_ID field shall have the unassigned value of FFFFh until the Exchange Responder assigns a different value in its response to the Exchange Originator. The Exchange Originator shall use the value assigned by the Exchange Responder for subsequent frames.

### 5.2.12 PARAMETER field

The PARAMETER field has two definitions for Device\_Data frames with the FCP type (i.e., 08h).

For frames of the solicited data category (i.e., FCP\_DATA IUs) (see 9.1 and 9.4), the PARAMETER field shall contain a relative offset. The RELATIVE OFFSET PRESENT bit of the F\_CTL field shall be set to one, indicating that the PARAMETER field value is a relative offset. For the solicited data category (FCP\_DATA IUs), the relative offset is the application client buffer offset as described by SAM-4. For solicited data category frames, the relative offset shall have a value that is a multiple of 4 (i.e., each frame of each FCP\_DATA IU shall begin on a word boundary).

For frames of the unsolicited control category (i.e., FCP\_CMND IUs) (see 9.1 and 9.2), the PARAMETER field value depends on whether task retry identification (see 4.7) is active. If the target FCP\_Port and initiator FCP\_Port have agreed upon performing task retry identification, the PARAMETER field shall contain the task retry identifier. If the target FCP\_Port and initiator FCP\_Port have not agreed upon performing task retry identification, the PARAMETER field shall contain a value of zero. In both cases, the RELATIVE OFFSET PRESENT bit of the F\_CTL field shall be set to zero.

For all other Device\_Data frames with the FCP type (i.e., 08h), the RELATIVE OFFSET PRESENT bit of the F\_CTL field shall be set to zero and the PARAMETER field shall contain a value of zero.

For FCP FC-4 Link Service frames, the PARAMETER field is specified in the description of the individual link services.



## **6 FCP link service definitions**

### **6.1 Overview of link service requirements**

The FCP link-level protocol includes the BLSs (see FC-FS-3) and ELSs defined by FC-LS. The protocol also includes the PRLI ELS and PRLO ELS specified in FC-LS, the PRLI FCP Service Parameter pages specified in 6.3, and the REC ELS with usage as defined in this standard.

Link-level protocols are used to configure the FC environment, including the establishment of configuration information and address information. FCP devices introduced into a configuration or modifications in the addressing or routing of the configuration may require the login and discovery procedures to be performed again.

### **6.2 Overview of Process Login and Process Logout**

Process Login allows for a process at one FCP\_Port to be related to a corresponding process at another FCP\_Port as an image pair. In addition, the PRLI ELS allows one or more FC-4 capabilities to be reported by the initiating FCP\_Port to the recipient FCP\_Port. The recipient FCP\_Port indicates its acceptance or rejection of the capabilities in its response to the PRLI ELS request.

Since implicit login may be established by configuration conventions outside the scope of this standard, Process Login is optional except in the case where an initiator FCP\_Port is not using implicit login and is operating in a point-to-point topology. In this case, the initiator FCP\_Port shall always transmit an explicit PRLI ELS.

Note 1 - The requirement to transmit a PRLI ELS for an initiator FCP\_Port that is not using implicit login and operating in a point-to-point topology is to remove a deadlock condition. Consider the case where the target FCP\_Port WWPN is larger than the initiator FCP\_Port WWPN. In this case the target FCP\_Port PLOGI ELS request will be processed, but the target FCP\_Port is prohibited from transmitting a PRLI ELS. If the initiator FCP\_Port does not transmit a PRLI ELS, a deadlock occurs.

PRLI ELS requests shall only be initiated by devices having the initiator FCP\_Port capability. Devices having only target FCP\_Port capability shall not perform a PRLI ELS request.

An initiator FCP\_Port shall have successfully completed Process Login with a target FCP\_Port that establishes an image pair before any FCP IUs are exchanged. An image pair may also be established by an implicit Process Login established by methods outside the scope of this standard. An image pair is removed by an implicit Process Logout or explicit PRLO ELS (see 6.4). If an image pair is not established by an initiator FCP\_Port to a target FCP\_Port, the initiator FCP\_Port and target FCP\_Port shall not exchange any FCP IUs. Any FCP IUs received by a target FCP\_Port from an Nx\_Port that does not have an image pair with that target FCP\_Port shall be discarded. In addition, a target FCP\_Port that receives an FCP\_CMND IU from an Nx\_Port that has successfully completed PLOGI ELS, but does not have an image pair with that target FCP\_Port, shall discard the FCP\_CMND IU and respond with an explicit PRLO ELS (see 12.6). Reasons why the Nx\_Port does not have an image pair with the target FCP\_Port include:

- a) the Nx\_Port has not established an image pair with that target FCP\_Port;
- b) the target FCP\_Port performed an implicit Process Logout of the Nx\_Port; or
- c) the target FCP\_Port processed a TPRLO ELS that effected the Nx\_Port.

Process\_Associators shall not be used in initiator FCP\_Ports and target FCP\_Ports. If multiple images are required in an initiator FCP\_Port, they shall be provided by transparent aliasing of the N\_Port Identifier of the initiator FCP\_Port. If multiple images are required in a target FCP\_Port, they shall be provided by SCSI logical units.

The creation of image pairs behind an FCP\_Port has no effect on the Fibre Channel Protocol.

The FC-4 Service Parameter pages for the Fibre Channel Protocol are defined in 6.3.4 and 6.3.5.

Processing of a PRLI ELS or PRLO ELS request performs the clearing actions defined in 4.10.

Process Login has two actions that may be performed, selected by the ESTABLISH IMAGE PAIR bit (see 6.3.4):

- a) informative action - service parameter information is exchanged during the Process Login enabling subsequent negotiation for image pair establishment; or
- b) binding action - service parameter information is exchanged that establishes an image pair relationship between processes in the communicating N\_Port or NL\_Ports. The relationship does not allow any communication types or paths other than those established by the PRLI ELS.

### **6.3 PRLI ELS**

#### **6.3.1 Use of PRLI ELS by the Fibre Channel Protocol**

The PRLI ELS request is transmitted from an Originator FCP\_Port to a Responder FCP\_Port to identify to the destination the capabilities that the Originator FCP\_Port expects to use with the Responder FCP\_Port and to determine the capabilities of the Responder (see FC-LS).

If the PRLI ELS is requesting an informative action by setting the ESTABLISH IMAGE PAIR bit to zero, the PRLI ELS accept reports the capabilities of the Responder to the Originator.

If the PRLI ELS is requesting a binding action by setting the ESTABLISH IMAGE PAIR bit to one, the PRLI ELS accept reports the capabilities of the responder to the Originator and establishes an image pair. An image pair shall be established only if the FCP devices have complementary initiator FCP\_Port and target FCP\_Port capabilities. If both FCP devices have both initiator FCP\_Port and target FCP\_Port capabilities, a single image pair allows both initiator FCP\_Ports to access the complementary target FCP\_Port capabilities of the other device in the pair. Some capabilities require support by both the Originator and Responder before they may be used (see 6.3.4). The IMAGE PAIR ESTABLISHED bit in the PRLI ELS accept indicates that an image pair was successfully established.

An accept response code indicating other than REQUEST EXECUTED (see 6.3.5 and FC-LS) shall be provided if the PRLI ELS FCP Service Parameter page is incorrect or if a requested image pair is not established.

A Link Service Reject (LS\_RJT) indicates that the PRLI ELS request is not supported or is incorrectly formatted.

The PRLI ELS common service parameters and accept response codes are defined in FC-LS. FC-4 service parameters for mappings other than the Fibre Channel Protocol are defined in other FC-4 standards.

#### **6.3.2 Process\_Associator requirements**

Operation of the Process Login depends on the Originator's and Responder's requirements for Process\_Associators as specified in FC-LS. Process\_Associators are not used in the Fibre Channel Protocol and shall not be used by FCP devices.

The Fibre Channel Protocol assumes that the Originator has knowledge of the capabilities of the Responder. That information may be obtained by transmitting a PRLI ELS requesting an informative action or by other mechanisms outside the scope of this standard.

#### **6.3.3 New or repeated Process Login**

After the completion of any new or repeated binding Process Login, all clearing actions specified in 4.10 shall be performed.

After the completion of any new or repeated informative Process Login, the state of the Originator and Responder remains unchanged.

FCP devices may have default Process Login information provided in a manner outside the scope of this standard. Such devices do not require the processing of a PRLI ELS to perform normal FCP I/O operations. If

default Process Login information is complete enough so that login (i.e., PLOGI ELS) is sufficient to perform an implicit Process Login, then the PLOGI ELS shall perform the same clearing actions and establish the same Unit Attention condition that would normally be performed and established by Process Login.

#### 6.3.4 PRLI ELS request FCP Service Parameter page format

The FCP Service Parameter page for the PRLI ELS request is shown in table 10.

**Table 10 - PRLI ELS request FCP Service Parameter page**

FCP Service Parameter	Word	Bit
SCSI FCP (08h)	0	31–24
Reserved for TYPE code extension	0	23–16
ORIGINATOR PROCESS_ASSOCIATOR VALID	0	15
RESPONDER PROCESS_ASSOCIATOR VALID	0	14
ESTABLISH IMAGE PAIR	0	13
Reserved	0	12–0
ORIGINATOR PROCESS_ASSOCIATOR	1	31–0
RESPONDER PROCESS_ASSOCIATOR	2	31–0
Reserved	3	31–12
ENHANCED DISCOVERY	3	11
REC_SUPPORT	3	10
TASK RETRY IDENTIFICATION REQUESTED	3	9
RETRY	3	8
CONFIRMED COMPLETION ALLOWED	3	7
DATA OVERLAY ALLOWED	3	6
INITIATOR FUNCTION	3	5
TARGET FUNCTION	3	4
OBSOLETE	3	3
OBSOLETE	3	2
READ FCP_XFER_RDY DISABLED (shall be one)	3	1
WRITE FCP_XFER_RDY DISABLED	3	0

**Word 0, Bits 31–24: FCP specific code:** The value of 08h in this byte indicates that this Service Parameter page is defined for the Fibre Channel Protocol. (See FC-FS-3.)

**Word 0, Bit 15: ORIGINATOR PROCESS\_ASSOCIATOR VALID:** The ORIGINATOR PROCESS\_ASSOCIATOR VALID bit is defined in FC-FS-3. For the Fibre Channel Protocol, the ORIGINATOR PROCESS\_ASSOCIATOR VALID bit shall be zero, indicating that the ORIGINATOR PROCESS\_ASSOCIATOR is not valid.

**Word 0, Bit 14: RESPONDER PROCESS\_ASSOCIATOR VALID:** The RESPONDER PROCESS\_ASSOCIATOR VALID bit is defined in FC-FS-3. For the Fibre Channel Protocol, the RESPONDER PROCESS\_ASSOCIATOR VALID bit shall be zero, indicating that the RESPONDER PROCESS\_ASSOCIATOR is not valid.

**Word 0, Bit 13: ESTABLISH IMAGE PAIR:** If the ESTABLISH IMAGE PAIR bit is set to zero, the PRLI ELS only exchanges service parameters as defined in FC-LS.

If the ESTABLISH IMAGE PAIR bit is set to one, the PRLI ELS exchanges service parameters and attempts to establish an image pair as defined in FC-LS.

**Word 1: ORIGINATOR PROCESS\_ASSOCIATOR:** The ORIGINATOR PROCESS\_ASSOCIATOR field is the Originator Process\_Associator as defined by FC-FS-3.

**Word 2: RESPONDER PROCESS\_ASSOCIATOR:** The RESPONDER PROCESS\_ASSOCIATOR field is the Responder Process\_Associator as defined by FC-FS-3.

**Word 3, Bit 11: ENHANCED DISCOVERY:** If the ESTABLISH IMAGE PAIR bit is set to zero, the ENHANCED DISCOVERY bit shall be ignored. If the ENHANCED DISCOVERY bit is set to one, the Originator is requesting, as an initiator FCP\_Port, that an image pair be established only if the initiator FCP\_Port has been authorized to access one or more logical units, not including default logical units, that are addressed through the target FCP\_Port. If the initiator FCP\_Port is not authorized to access one or more logical units, not including default logical units, the image pair shall not be established, the PRLI ELS accept response code shall be set to THE EXCHANGE RECIPIENT HAS A PREDEFINED CONFIGURATION THAT PRECLUDES ESTABLISHING THIS IMAGE PAIR (i.e., 0101b), and the IMAGE PAIR ESTABLISHED bit shall be set to zero. If the ENHANCED DISCOVERY bit is set to zero by either the Originator or the Responder to the PRLI ELS, then accessibility of logical units shall not affect establishment of the image pair.

**Word 3, Bit 10: REC\_SUPPORT:** When the REC ELS supported (REC\_SUPPORT) bit is set to one, the Originator is indicating that it supports, as an initiator FCP\_Port, the transmission of the REC ELS. The capability of the initiator FCP\_Port to retransmit unsuccessfully transmitted data is determined by the RETRY bit (i.e., a REC\_SUPPORT bit set to one does not indicate the initiator FCP\_Port supports retransmission of data). When the REC\_SUPPORT bit is set to zero, the Originator is providing no information about whether it supports transmission of the REC ELS.

**Word 3, Bit 9: TASK RETRY IDENTIFICATION REQUESTED:** When the TASK RETRY IDENTIFICATION REQUESTED bit is set to one, the Originator of the PRLI ELS requests that task retry identification (see 4.7) be used. If both the Originator of the PRLI ELS and the Responder to the PRLI ELS request that task retry identification be used, then it shall be used between the initiator FCP\_Port and all logical units addressed through the target FCP\_Port. The PARAMETER field for each FCP\_CMND IU shall be set to a unique non-zero value. The PARAMETER field for any REC ELS request or SRR FCP\_LS request for that command shall be set to the same value.

When the TASK RETRY IDENTIFICATION REQUESTED bit is set to zero by either the Originator or the Responder to the PRLI ELS, task retry identification shall not be used. The PARAMETER fields for FCP\_CMND IUs, for REC ELS requests, and for SRR FCP\_LS requests shall be zero.

**Word 3, Bit 8: RETRY:** When the RETRY bit is set to one, the Originator or Responder is indicating that it supports as an initiator FCP\_Port the capability of requesting a retransmission of unsuccessfully transmitted data or as a target FCP\_Port the capability of performing a requested retransmission. When the RETRY bit is set

to zero, the Originator or Responder is indicating that it does not support the capability of requesting or performing retransmissions of unsuccessfully transmitted data.

If the process has both initiator FCP\_Port and target FCP\_Port capabilities, the RETRY bit shall apply to both. The SRR FCP\_LS request may be both transmitted by and accepted by the process.

An initiator FCP\_Port and target FCP\_Port shall use the retransmission capability only if the RETRY bit is set in both the request payload and in the accept payload. If the RETRY bit is set to zero in either the request payload or the accept payload, the SRR FCP\_LS request shall not be transmitted by the initiator FCP\_Port. If an SRR FCP\_LS request is received by a target FCP\_Port that has set the RETRY bit to zero, the SRR FCP\_LS request shall be rejected with an FCP\_RJT.

If the image pair is allowed to use the retransmission capability, overlay of data as defined for retransmission shall be allowed regardless of the state of the DATA OVERLAY ALLOWED bit.

**Word 3, Bit 7: CONFIRMED COMPLETION ALLOWED:** When the CONFIRMED COMPLETION ALLOWED bit is set to one, the Originator's or Responder's initiator FCP\_Port function has the capability of supporting confirmed completion. When the CONFIRMED COMPLETION ALLOWED bit is set to zero, the initiator FCP\_Port function does not have the capability of supporting confirmed completion. The CONFIRMED COMPLETION ALLOWED bit shall be zero for FCP devices having only target FCP\_Port function. If the initiator FCP\_Port function supports confirmed completion, then a target FCP\_Port may request an FCP\_CONF IU by setting the FCP\_CONF\_REQ bit to one as specified by 4.5. If the initiator FCP\_Port function does not have the capability of supporting confirmed completion, the target FCP\_Port shall not set the FCP\_CONF\_REQ bit to one.

**Word 3, Bit 6: DATA OVERLAY ALLOWED:** When the DATA OVERLAY ALLOWED bit is set to one, the Originator or Responder is indicating that its initiator FCP\_Port function has the capability of supporting data overlay. When the DATA OVERLAY ALLOWED bit is set to zero, the initiator FCP\_Port function does not have the capability of performing data overlay. The DATA OVERLAY ALLOWED bit shall be zero for FCP devices having only target FCP\_Port function. If the initiator FCP\_Port function supports data overlay, then a target FCP\_Port may perform random buffer access that performs a transfer to or from the same offset in the application client buffer more than once during processing of a command.

Data transmission requested by the initiator FCP\_Port during the optional retry procedures defined by this standard is managed by the initiator FCP\_Port. Such data retransmissions are not considered data overlays, even if retransmission occurs to the same offset in the application client buffer.

**Word 3, Bit 5: INITIATOR FUNCTION:** When the INITIATOR FUNCTION bit is set to one, the Originator or Responder is indicating it has the capability of operating as an initiator FCP\_Port. When the INITIATOR FUNCTION bit is set to zero, the process does not have the capability of operating as an initiator FCP\_Port.

**Word 3, Bit 4: TARGET FUNCTION:** When the TARGET FUNCTION bit is set to one, the Originator or Responder is indicating that it has the capability of operating as a target FCP\_Port. When the TARGET FUNCTION bit is set to zero, the process does not have the capability of operating as a target FCP\_Port. Both the INITIATOR FUNCTION and the TARGET FUNCTION bits may be set to one. If neither the INITIATOR FUNCTION nor the TARGET FUNCTION bit is set to one, the service parameters for the FCP Service Parameter page are assumed to be invalid. A Responder receiving such an invalid FCP Service Parameter page shall notify the Originator with a PRLI ELS accept response code of SERVICE PARAMETERS ARE INVALID and the IMAGE PAIR ESTABLISHED bit set to zero. An Originator receiving such an invalid FCP Service Parameter page shall not perform Fibre Channel Protocol operations with the Responder.

**Word 3, Bit 1: READ FCP\_XFER\_RDY DISABLED:** The READ FCP\_XFER\_RDY DISABLED bit shall be set to one. Target FCP\_Ports shall not transmit FCP\_XFER\_RDY on read operations.

**Word 3, Bit 0: WRITE FCP\_XFER\_RDY DISABLED:** When the WRITE FCP\_XFER\_RDY DISABLED bit is set to zero, FCP\_XFER\_RDY IUs shall be transmitted by the target FCP\_Port to request each of the SCSI write FCP\_DATA IUs from the initiator FCP\_Port. When the WRITE FCP\_XFER\_RDY DISABLED bit is set to one, FCP\_XFER\_RDY IUs shall not be used before the first FCP\_DATA IU to be transferred in the SCSI write operation. If both the Originator and Responder choose to disable write FCP\_XFER\_RDY IUs, then all FCP I/O operations performing SCSI writes between the FCP\_Ports shall operate without using the FCP\_XFER\_RDY IU before the first FCP\_DATA IU. The FCP\_XFER\_RDY IU shall be transmitted to request each additional FCP\_DATA IU, if any. If either the Originator or the Responder requires the use of FCP\_XFER\_RDY IUs during SCSI writes, then the Exchange Responder shall transmit an FCP\_XFER\_RDY IU requesting each FCP\_DATA IU, including the first, from the Exchange Originator.

### 6.3.5 PRLI ELS accept FCP Service Parameter page format

The FCP Service Parameter page for the PRLI ELS accept is shown in table 11.

**Table 11 - PRLI ELS accept FCP Service Parameter page**

FCP Service Parameter	Word	Bit
SCSI FCP (08h)	0	31–24
Reserved for TYPE Code Extension	0	23–16
ORIGINATOR PROCESS_ASSOCIATOR VALID	0	15
RESPONDER PROCESS_ASSOCIATOR VALID	0	14
IMAGE PAIR ESTABLISHED	0	13
Reserved	0	12
ACCEPT RESPONSE CODE	0	11–8
Reserved	0	7–0
Originator Process_Associator	1	31–0
Responder Process_Associator	2	31–0
Reserved	3	31–12
ENHANCED DISCOVERY	3	11
REC_SUPPORT	3	10
TASK RETRY IDENTIFICATION REQUESTED	3	9
RETRY	3	8
CONFIRMED COMPLETION ALLOWED	3	7
DATA OVERLAY ALLOWED	3	6
INITIATOR FUNCTION	3	5
TARGET FUNCTION	3	4
OBSOLETE	3	3
OBSOLETE	3	2
READ FCP_XFER_RDY DISABLED (shall be one)	3	1
WRITE FCP_XFER_RDY DISABLED	3	0

With the following exceptions, the service parameter definitions are identical for the PRLI ELS request (see table 10) and accept FCP Service Parameter pages.

**Word 0, Bit 13: IMAGE PAIR ESTABLISHED:** The IMAGE PAIR ESTABLISHED bit is defined in FC-LS. If the IMAGE PAIR ESTABLISHED bit is set to zero, the image pair was not established. The ACCEPT RESPONSE CODE has additional information.

If the IMAGE PAIR ESTABLISHED bit is set to one, the image pair was established.

**PRLI ELS ACCEPT RESPONSE CODE:** The PRLI ELS ACCEPT RESPONSE CODE field is defined in FC-LS. The values of the PRLI ELS ACCEPT RESPONSE CODE field indicate whether the image pair was successfully created. If the image pair was not created, the value of the PRLI ELS ACCEPT RESPONSE CODE indicates why the request failed or was rejected.

**Word 3, Bit 11: ENHANCED DISCOVERY:** When the ENHANCED DISCOVERY bit is set to one, the Responder is indicating that it supports, as a target FCP\_Port, enhanced discovery (i.e., an image pair is established only if the initiator FCP\_Port is authorized to access logical units, other than default logical units, that are addressed through the target FCP\_Port). When the ENHANCED DISCOVERY bit is set to zero, the Responder is indicating that it does not support, as a target FCP\_Port, enhanced discovery.

**Word 3, Bit 10: REC\_SUPPORT:** When the REC ELS supported (REC\_SUPPORT) bit is set to one, the Responder is indicating that it supports, as a target FCP\_Port, the receipt of the REC ELS. The capability of the target FCP\_Port to retransmit unsuccessfully transmitted data is determined by the RETRY bit (i.e., a REC\_SUPPORT bit set to one does not indicate the target FCP\_Port supports retransmission of data). When the REC\_SUPPORT bit is set to zero, the Responder is indicating that it may not support receipt of the REC ELS.

#### 6.4 PRLO ELS

The format for the PRLO ELS request and PRLO ELS accept is specified in FC-LS.

The PRLO ELS request is transmitted from an Originator FCP\_Port to a Responder FCP\_Port to indicate to the Responder that the image pair specified in the FCP Service Parameter pages of the PRLO ELS is being discontinued by the Originator. If the PRLO ELS logs out the image pair between an initiator FCP\_Port and a target FCP\_Port, then all clearing actions specified in 4.10 shall be performed and an I\_T nexus loss notification shall be delivered (see 4.11).

For the Fibre Channel Protocol, the PRLO ELS FCP Service Parameter page identifies an image pair where neither the Originator or Responder supports Process\_Associators by marking the Originator Process\_Associator and Responder Process\_Associator as invalid.

The PRLO ELS accept is returned to the Originator FCP\_Port to indicate that the Responder FCP\_Port recognizes that the image pair is being discontinued. The accept shall present a response FCP Service Parameter page for the request FCP Service Parameter page. It is not an error to perform Process Logout for an image pair that does not exist.

A Link Service Reject (LS\_RJT) indicates that the PRLO ELS request is invalid and not accepted.

After Process Logout, no further Fibre Channel Protocol communication is possible between those N\_Ports or NL\_Ports.

The PRLO ELS accept response codes are defined in FC-LS.

#### 6.5 Read Exchange Concise (REC) ELS

See FC-LS for a description of the REC ELS. FCP-4 specific usage of the REC ELS is as follows:

- a) if task retry identification is active for the Originator and the Responder, the PARAMETER field of the request Sequence shall contain the task retry identifier for the task specified by the OX\_ID field value and RX\_ID field value;
- b) if the destination FCP\_Port of the REC ELS request determines that the ORIGINATOR S\_ID, OX\_ID, or RX\_ID fields, or task retry identifier are inconsistent, then it shall respond with an LS\_RJT Sequence with the Reason Code set to 03h (i.e., Logical error) or 09h (i.e., Unable to process command request), and the Reason Code Explanation set to 17h (i.e., Invalid OX\_ID-RX\_ID combination);



- c) the REC ELS shall be sent in a new Exchange. The Exchange shall be ended by the response to the REC ELS;
- d) if the RX\_ID field value in the REC ELS request payload was FFFFh, the RX\_ID field value in the REC ELS LS\_ACC payload may be set to the value selected by the Responder when the first frame of the Exchange was received; and
- e) the FC4VALUE field in the REC ELS LS\_ACC payload shall be set to:
  - A) for a write command, the number of bytes successfully received by the device server. Data that has been retransmitted or overlaid shall be counted only once;
  - B) for a read command, the number of bytes transmitted by the target FCP\_Port. Data that has been retransmitted or overlaid shall be counted only once;
  - C) for a non-data command, 00000000h;
  - D) for a bidirectional SCSI command, 00000000h; and
  - E) for any other Exchange specified in this standard (e.g., a task management function, an SRR ELS or another REC ELS), 00000000h.

## 7 FC-4 specific Name Server registration and objects

### 7.1 Overview of FC-4 specific objects for the Fibre Channel Protocol

The Name Server for a Fibre Channel fabric is defined by FC-GS-6. FCP specific objects are defined in this clause for use by the Name Server. FC-GS-6 provides complete descriptions of the operations that are performed to register objects with a Name Server and to query the Name Server for the value of the objects.

### 7.2 FC-4 TYPEs object

The FC-4 TYPEs object (see FC-GS-6) indicates a set of supported data structure type values for Device\_Data and FC-4 Link\_Data frames (see FC-FS-3). An FCP\_Port shall register the FCP TYPE (08h) with the Name Server using the RFT\_ID request CT\_IU. This registration shall precede registration of the FC-4 Features object.

### 7.3 FC-4 Features object

The FC-4 Features object (see FC-GS-6) defines a 4-bit field for each possible FC TYPE code. The object is a 32-word array of 4-bit values. The 4-bit FC-4 Feature field for FCP is inserted in bits 3 - 0 of word 1. The format of the 4-bit FC-4 Feature field for FCP is shown in table 12.

**Table 12 - FCP definition of FC-4 Feature bits**

Word 1 bit	Description of bit
3	Reserved
2	Contains one or more peripheral devices that are not peripheral device type 00h (i.e., direct access block device).
1	FCP initiator function supported
0	FCP target function supported

An FCP\_Port shall register its FC-4 Features object with a Name Server using the RFF\_ID request CT\_IU.

The FC-4 Features object may be obtained by any N\_Port or NL\_Port from a Name Server using a GFF\_ID request CT\_IU, which requests the FC-4 Features object for a specified Port Identifier. The object is provided in the GFF\_ID accept CT\_IU.

A list of all the Port Identifiers matching the domain and area addressing and a specified FC-4 Features object may be obtained by any N\_Port or NL\_Port from a Name Server using the GID\_FF request CT\_IU. The FC-4 Features object is a parameter in the GID\_FF request CT\_IU.

## 8 FCP FC-4 Link Service (FCP\_LS) definitions

### 8.1 FCP\_LS overview

FC-4 Link Service functionality is specified in FC-LS. For FCP FC-4 Link Services, the FCP frame header fields (see 5.2) shall be set as follows:

- a) R\_CTL bits 31-28 (Word 0) shall be set to 0011b (i.e., an FC-4 Link\_Data frame);
- b) the TYPE field shall be set to 08h (i.e., FCP FC-4 Link Service frame); and
- c) the R\_CTL Information Category bits 27-24 shall be set to 0010b (i.e., unsolicited control) for request Sequences and 0011b (i.e., solicited control) for response Sequences.

The FCP\_LS requests and responses defined in this standard are specified in table 13.

**Table 13 - FCP\_LS requests and responses**

Encoded value word 0 of payload (bits 31-24)	Description	Abbr.	Request/ Response	Reference
14h	Sequence Retransmission Request	SRR	Request	8.2
02h	FCP_LS Accept	FCP_ACC	Response	8.2
01h	FCP_LS Reject	FCP_RJT	Response	8.3

### 8.2 Sequence Retransmission Request (SRR) FCP\_LS request

The SRR FCP\_LS request Sequence is transmitted by an initiator FCP\_Port to request that a target FCP\_Port retransmit information or request retransmission of information for the specified Exchange.

If task retry identification (see 4.7) is active for the Originator and the Responder, the PARAMETER field of the request Sequence shall contain the task retry identifier for the task specified by the OX\_ID and RX\_ID field values.

If the target FCP\_Port determines that the ORIGINATOR S\_ID, OX\_ID, or RX\_ID field values, or task retry identifier are inconsistent, then it shall respond with an FCP\_RJT (see 8.3) Sequence with a reason code of "Unable to perform the command request" and a reason code explanation of "Invalid OX\_ID-RX\_ID combination".

If the target FCP\_Port is unable to retransmit the Sequence or data at the requested Relative Offset, the target FCP\_Port shall respond with an FCP\_RJT Sequence with a reason code of "Unable to perform the command request" and a reason code explanation of "Unable to supply requested data".

If the initiator FCP\_Port receives an FCP\_RJT response, the initiator FCP\_Port shall terminate the Exchange referenced by the SRR FCP\_LS request using ABTS-LS (see 12.3.2).

The SRR FCP\_LS request shall be sent in a new Exchange. The Exchange shall be ended by the response to the SRR FCP\_LS request.

Sequence Initiative for the Exchange referenced by the SRR FCP\_LS request shall be transferred to the target FCP\_Port to retransmit the requested Sequence.

For unacknowledged classes, the Sequence Count for a retransmitted FCP\_DATA IU may start at zero, even if continuously increasing sequence count is being used. For acknowledged classes, the Sequence Count for a retransmitted FCP\_DATA IU shall start at one higher than the last Sequence Count used in the Exchange to prevent it from being within the range of the Recovery\_Qualifier.

**Addressing:**

The S\_ID field designates the initiator FCP\_Port requesting the information retransmission. The D\_ID field designates the target FCP\_Port that is to receive the request. In the event that the target FCP\_Port responds to the SRR FCP\_LS request with an FCP\_RJT, the target FCP\_Port shall return CHECK CONDITION status with the sense key set to HARDWARE ERROR and the additional sense code set to INITIATOR DETECTED ERROR MESSAGE RECEIVED. A target FCP\_Port that has agreed during Process Login to support retransmission should not reject requests for retransmission of the requested frames unless unusual conditions make the retransmission impossible. SRR FCP\_LS requests for exchanges involving logical units that do not support retransmission on a target FCP\_Port that supports retransmission for other logical units shall be rejected with an FCP\_RJT containing a reason code of "Unable to support command request" and a reason code explanation of "Unable to supply requested data".

**SRR FCP\_LS request payload:**

The format of the SRR FCP\_LS request payload is specified in table 14.

**Table 14 - SRR FCP\_LS request payload**

Word	Bits	Bits 31-24	Bits 23-16	Bits 15-8	Bits 7-0
0		14000000h			
1		OX_ID		RX_ID	
2		RELATIVE OFFSET			
3		R_CTL FOR IU	Reserved		

The R\_CTL FOR IU field encoding is as described in FC-FS-3 (i.e., Data Descriptor (FCP\_XFER\_RDY IU), Command Status (FCP\_RSP IU), Solicited Data (FCP\_DATA IU)).

The RELATIVE OFFSET parameter is only valid if the R\_CTL FOR IU field is set to 01h for Solicited Data or to 05h for Data Descriptor. The RELATIVE OFFSET field contains the Relative Offset of the lowest byte the initiator FCP\_Port has identified as requiring retransmission. The two low-order bits of the RELATIVE OFFSET field shall be zero, such that the data to be retransmitted begins on a four-byte boundary.

The amount of data to transfer is implicitly the remainder of that for the Exchange.

**Possible responses to the SRR FCP\_LS request:**

FCP\_ACC

Specifies acceptance of the SRR FCP\_LS request.

FCP\_RJT

Specifies rejection of the SRR FCP\_LS request.

**FCP\_ACC payload:**

The payload for the FCP\_ACC is specified in table 15.

**Table 15 - FCP\_ACC payload**

Word	Bits	Bits 31-24	Bits 23-16	Bits 15-8	Bits 7-0
0		02000000h			

**8.3 FCP\_LS Reject (FCP\_RJT)**

FCP\_RJT notifies the originator of an FCP\_LS request that the FCP\_LS request Sequence has been rejected. A four-byte reason code shall be contained in the Data\_Field (see table 16).

An FCP\_RJT may be a response Sequence to any FCP\_LS request.

**Addressing:**

The D\_ID field specifies the source of the FCP\_LS request being rejected. The S\_ID field specifies the destination of the FCP\_LS request being rejected.

**FCP\_RJT payload:**

The first word of the FCP\_RJT payload shall contain the FCP\_RJT code (i.e., 01000000h). The next four bytes of the FCP\_RJT payload shall contain a reason code and reason code explanation for rejecting the request. The format of the FCP\_RJT payload is shown in table 16.

**Table 16 - FCP\_RJT payload**

Word	Bits	Bits 31-24	Bits 23-16	Bits 15-8	Bits 7-0
0		01000000h			
1		Reserved	REASON CODE	REASON CODE EXPLANATION	VENDOR SPECIFIC

The reason codes for FCP\_RJT are specified in table 17.

**Table 17 - FCP\_RJT reason codes**

Encoded Value (Bits 23-16)	Description	Meaning
01h	Invalid FCP_LS command code	The FCP_LS command code in the Sequence being rejected is invalid.
03h	Logical error	The request identified by the FCP_LS command code and payload content is invalid or logically inconsistent for the conditions present.
05h	Logical busy	The Link Service is logically busy and unable to process the request at this time.
07h	Protocol error	This indicates that an error has been detected that violates the rules of the FC-FS-3 signaling protocol, but that is not specified by other error codes.
09h	Unable to perform command request	The Recipient of a Link Service command is unable to perform the request at this time.
0Bh	Command not supported	The Recipient of a Link Service command does not support the command requested.
FFh	Vendor Specific Error (See Bits 7-0)	The Vendor Specific Error bits may be used by vendors to specify additional reason codes.
other	Reserved	

The first error condition encountered shall be the error reported.

#### FCP\_RJT reason code explanations

Table 18 lists the reason code explanations for FCP\_LS requests.

**Table 18 - FCP\_RJT reason code explanations**

Encoded Value (Bits 15-8)	Description	Applicable FCP_LS requests
00h	No additional explanation	SRR
17h	Invalid OX_ID-RX_ID combination	SRR
2Ah	Unable to supply requested data	SRR

## 9 FCP Information Unit (IU) usage and formats

### 9.1 FCP Information Unit (IU) usage

The IUs used by the Fibre Channel Protocol and their characteristics are shown in table 19 for IUs sent to target FCP\_Ports, and in table 20 for IUs sent to initiator FCP\_Ports. Each IU shall be contained in a single Sequence (see 3.1.51). Each Sequence carrying an FCP IU shall contain only one IU. Examples of typical Fibre Channel Protocol operations using these IUs are included in Annex B.

**Table 19 - FCP Information Units (IUs) sent to target FCP\_Ports**

IU	SCSI primitive	Data block		F/M/L	SI	M/O																										
		CAT	Content																													
T1	Command / Task Mgmt Rqst	6	FCP_CMND	F	T	M																										
T2	Command request	6	FCP_CMND	F	H	O																										
T3	Command request (Linked)	6	FCP_CMND	M	T	O																										
T4	Command request (Linked)	6	FCP_CMND	M	H	O																										
T6	Data-Out action	1	FCP_DATA	M	T	M																										
T12	Confirm	3	none	L	T	O																										
<p>Notes:</p> <p>T5, T7, T8, T9, T10, and T11 are obsolete.</p> <p>T2 and T4 are only permitted when transfer ready IUs are disabled (see table 10).</p> <p>T3 and T4 are only permitted for linked SCSI commands.</p> <p>T2 and T4 allow optional sequence streaming during write operations.</p> <p>T12 is only permitted in response to an I5 frame requesting the confirmed completion protocol.</p> <p>See table 20</p> <p>Key:</p> <table><tr><td>IU</td><td>Information Unit identifier</td></tr><tr><td>CAT</td><td>Information category of Device_Data frames carrying the data block (see FC-FS-3)</td></tr><tr><td>Content</td><td>Contents (payload) of data block</td></tr><tr><td>F/M/L</td><td>First/Middle/Last Sequence of Exchange (FC-FS-3)</td></tr><tr><td></td><td>F First</td></tr><tr><td></td><td>M Middle</td></tr><tr><td></td><td>L Last</td></tr><tr><td>SI</td><td>Sequence Initiative: Held or Transferred (FC-FS-3)</td></tr><tr><td></td><td>H Held</td></tr><tr><td></td><td>T Transferred</td></tr><tr><td>M/O</td><td>Mandatory/Optional Sequence</td></tr><tr><td></td><td>M Mandatory</td></tr><tr><td></td><td>O Optional</td></tr></table>							IU	Information Unit identifier	CAT	Information category of Device_Data frames carrying the data block (see FC-FS-3)	Content	Contents (payload) of data block	F/M/L	First/Middle/Last Sequence of Exchange (FC-FS-3)		F First		M Middle		L Last	SI	Sequence Initiative: Held or Transferred (FC-FS-3)		H Held		T Transferred	M/O	Mandatory/Optional Sequence		M Mandatory		O Optional
IU	Information Unit identifier																															
CAT	Information category of Device_Data frames carrying the data block (see FC-FS-3)																															
Content	Contents (payload) of data block																															
F/M/L	First/Middle/Last Sequence of Exchange (FC-FS-3)																															
	F First																															
	M Middle																															
	L Last																															
SI	Sequence Initiative: Held or Transferred (FC-FS-3)																															
	H Held																															
	T Transferred																															
M/O	Mandatory/Optional Sequence																															
	M Mandatory																															
	O Optional																															

**Table 20 - FCP Information Units (IUs) sent to initiator FCP\_Ports**

IU	SCSI primitive	Data block		F/M/L	SI	M/O
		CAT	Content			
I1	Data delivery request	5	FCP_XFER_RDY (Write)	M	T	M
I3	Data-In action	1	FCP_DATA	M	H	M
I4	Command/Task Mgmt response	7	FCP_RSP	L	T	M
I5	Response (Linked or confirm request)	7	FCP_RSP	M	T	O
<p>Notes:</p> <p>I2, I6, and I7 are obsolete.</p> <p>I5 is permitted for linked SCSI commands or to request the confirm completion protocol.</p> <p>I3 allows optional sequence streaming to I3, I4, or I5.</p> <p><b>Key:</b></p> <p>IU                    Information Unit identifier</p> <p>CAT                  Information category of Device_Data frames carrying the data block (FC-FS-3)</p> <p>Content              Contents (payload) of data block</p> <p>F/M/L                First/Middle/Last Sequence of Exchange (FC-FS-3)</p> <p>                      F            First</p> <p>                      M            Middle</p> <p>                      L            Last</p> <p>SI                    Sequence Initiative: Held or Transferred (FC-FS-3)</p> <p>                      H            Held</p> <p>                      T            Transferred</p> <p>M/O                  Mandatory/Optional Sequence</p> <p>                      M            Mandatory</p> <p>                      O            Optional</p>						

## 9.2 FCP\_CMND IU

### 9.2.1 Overview and format of FCP\_CMND IU

The FCP\_CMND IU carries either a SCSI Command or a task management request. If an invalid combination of bits is set in the FCP\_CMND IU, the target FCP\_Port shall respond with an FCP\_RSP IU with the RSP\_CODE



field set to INVALID\_FIELD (see table 27). The FCP\_CMND IU shall contain the values and control fields defined in table 21 in its payload.

**Table 21 - FCP\_CMND IU payload**

Bit Byte	7	6	5	4	3	2	1	0
0	FCP_LUN							
7								
8	COMMAND REFERENCE NUMBER							
9	Reserved	PRIORITY				TASK ATTRIBUTE		
10	TASK MANAGEMENT FLAGS							
11	ADDITIONAL FCP_CDB LENGTH = (N-27)/4						RDDATA	WRDATA
12	FCP_CDB							
27								
28	ADDITIONAL FCP_CDB (if any)							
n								
n+1	(MSB)	FCP_DL						
n+2								
n+3								
n+4								(LSB)
n+5	(MSB)	FCP_BIDIRECTIONAL_READ_DL (if any)						
n+6								
n+7								
n+8								(LSB)

## 9.2.2 FCP\_CMND IU field descriptions

### 9.2.2.1 FCP\_LUN field

The FCP logical unit number (FCP\_LUN) field contains the address of the logical unit (i.e., the logical unit number) in the SCSI target device. See SAM-4.

Each target FCP\_Port shall accept an INQUIRY command addressed to LUN 0. If LUNs other than zero are supported by the SCSI target device, LUN 0 shall implement the REPORT LUNS command. See SPC-4.

If the FCP\_LUN field contains a valid logical unit address the command or task management function shall be routed to the addressed logical unit. If the addressed logical unit does not exist, the SCSI target device shall follow the rules for selection of incorrect logical units as specified in SAM-4.

### 9.2.2.2 COMMAND REFERENCE NUMBER field

The COMMAND REFERENCE NUMBER (CRN) field contains the number sent by the initiator FCP\_Port to assist in performing precise delivery checking for FCP commands. If precise delivery is enabled, a nonzero value in the CRN field shall be treated as a command reference number in determining the receipt and ordering of commands from a particular initiator FCP\_Port to the particular logical unit as described in 4.4. If precise delivery is enabled, a zero value in the CRN field indicates that command shall not be verified for precise delivery. If precise delivery checking is not enabled, the COMMAND REFERENCE NUMBER field shall be ignored by the device server. If the FCP\_CMND IU specifies a task management function, the CRN field shall be reserved and set to zero and the FCP\_CMND IU shall not be verified for precise delivery.

### 9.2.2.3 PRIORITY field

The PRIORITY field specifies the relative scheduling of this task in relation to other tasks already in the task set for processing by the device server (see SAM-4). If the TASK ATTRIBUTE field contains a value other than SIMPLE, then this field is reserved.

### 9.2.2.4 TASK ATTRIBUTE field

The TASK ATTRIBUTE field contains values that specify the task attribute (see SAM-4) associated with the CDB, as shown in table 22.

**Table 22 - TASK ATTRIBUTE field**

Task attribute code	Task attribute	Description
000b	SIMPLE	Requests that the task be managed according to the rules for a simple task attribute (see SAM-4).
		Requests that the task be managed according to the rules for a simple task attribute and priority (see SAM-4).
001b	HEAD OF QUEUE	Requests that the task be managed according to the rules for a head of queue task attribute (see SAM-4).
010b	ORDERED	Requests that the task be managed according to the rules for an ordered task attribute (see SAM-4). Mechanisms to assure delivery of commands to a device server in the correct order are described in 4.4.
011b	Reserved	
100b	ACA	Requests that the task be managed according to the rules for an automatic contingent allegiance task attribute (see SAM-4).
101b	Obsolete	
110b-111b	Reserved	

### 9.2.2.5 TASK MANAGEMENT FLAGS field

The TASK MANAGEMENT FLAGS field specifies the task management function to be performed.

Task management functions shall be requested by the initiator FCP\_Port (Exchange Originator) using a new Exchange. If the TASK MANAGEMENT FLAGS field is set to a nonzero value, the FCP\_CDB field, the FCP\_DL field, the TASK ATTRIBUTE field, the RDDATA bit, and the WRDATA bit shall be ignored and the FCP\_BIDIRECTIONAL\_READ\_DL field shall not be included in the FCP\_CMND IU payload. If the TASK MANAGEMENT FLAGS field is set to a reserved value, the target FCP\_Port shall return an FCP\_RSP IU containing the FCP\_CODE field set to INVALID\_FIELD.

The clearing actions performed by task management functions are specified in table 8 (see 4.10).

The TASK MANAGEMENT FLAGS field is specified in table 23.

**Table 23 - TASK MANAGEMENT FLAGS field**

Code	Name	Task management function <sup>a</sup>	Support
00h	None <sup>b</sup>	None <sup>b</sup>	Mandatory
01h	FCP_QUERY_TASK_SET	QUERY TASK SET	Optional
02h	FCP_ABORT_TASK_SET	ABORT TASK SET	Mandatory
04h	FCP_CLEAR_TASK_SET	CLEAR TASK SET	Mandatory
08h	FCP_QUERY_UNIT_ATTENTION	QUERY UNIT ATTENTION	Optional
10h	FCP_LOGICAL_UNIT_RESET	LOGICAL UNIT RESET	Mandatory
20h	Obsolete	Obsolete	
40h	FCP_CLEAR_ACA	CLEAR ACA	See <sup>c</sup>
80h	Obsolete	Obsolete	
All others	Reserved	Reserved	
a) The ABORT TASK and QUERY TASK task management functions are specified in 4.9. b) The FCP_CDB field is honored instead. c) The CLEAR ACA task management function is mandatory in the Fibre Channel Protocol if the FCP device sets the NORMACA bit to one in the standard INQUIRY data (see SPC-4) and it shall not be sent to a logical unit with a NORMACA bit equal to zero in the standard INQUIRY data.			

The QUERY TASK SET task management function is specified in SAM-4.

The ABORT TASK SET task management function is specified in SAM-4. The ABORT TASK SET task management function resets internal states of the target FCP\_Port as shown in 4.10. Exchange resources may be cleared by an ABTS-LS (see 12.3) transmitted by the initiator FCP\_Port that sent the ABORT TASK SET task management function for each task known to the initiator FCP\_Port.

The CLEAR TASK SET task management function is specified in SAM-4. The CLEAR TASK SET task management function resets internal states of the target FCP\_Port as shown in 4.10. Exchange resources to be cleared may be cleared by one or more of the following mechanisms:

- a) an ABTS-LS (see 12.3) may be transmitted by the initiator FCP\_Port that sent the CLEAR TASK SET for each task known to that initiator FCP\_Port;

- b) a task, if any, for an initiator FCP\_Port other than the initiator FCP\_Port that sent the CLEAR TASK SET is ended in the logical unit. The initiator FCP\_Port for that task shall determine by a timeout that the task did not finish. Subsequent retries fail because the task resources have been cleared in the logical unit, so the initiator FCP\_Port shall clear the Exchange resources by transmitting an ABTS-LS. See 12.3; or
- c) a task for an initiator FCP\_Port other than the initiator FCP\_Port that sent the CLEAR TASK SET may be completed by returning CHECK CONDITION status with the sense key set to UNIT ATTENTION and the additional sense code set as specified in SAM-4.

NOTE 3 - SAM-4 has defined the TASK ABORTED status for tasks terminated by a CLEAR TASK SET task management function if the Control mode page (see SPC-4) indicates that the TASK ABORTED status is supported.

The QUERY UNIT ATTENTION task management function is specified in SAM-4.

The LOGICAL UNIT RESET task management function is specified in SAM-4. The LOGICAL UNIT RESET task management function resets the internal states of the target FCP\_Port and logical unit as shown in 4.10. Exchange resources to be cleared may be cleared by the following mechanisms:

- a) an ABTS-LS (see 12.3) may be transmitted by the initiator FCP\_Port that sent the LOGICAL UNIT RESET task management function for each task in the logical unit known to that initiator FCP\_Port;
- b) a task, if any, for an initiator FCP\_Port other than the initiator FCP\_Port that sent the LOGICAL UNIT RESET task management function is ended in the logical unit. The initiator FCP\_Port for that task shall determine by a timeout that the task did not finish. Subsequent retries fail because the task resources have been cleared in the logical unit, so the initiator FCP\_Port shall clear the Exchange resources by transmitting an ABTS-LS. See 12.3; or
- c) a task for an initiator FCP\_Port other than the initiator FCP\_Port that sent the LOGICAL UNIT RESET task management function may be completed by returning CHECK CONDITION status with the sense key set to UNIT ATTENTION and the additional sense code set as specified in SAM-4.

NOTE 4 - SAM-4 has defined the TASK ABORTED status for tasks terminated by a LOGICAL UNIT RESET task management function if the Control mode page (see SPC-4) indicates that the TASK ABORTED status is supported.

The CLEAR ACA task management function is specified in SAM-4.

When the task manager clears the ACA condition, any task within that task set may be completed subject to the rules for task management specified by SAM-4. If there is no ACA condition present, the CLEAR ACA task management function shall be accepted and the FCP\_RSP IU shall contain a RSP\_CODE field set to TMF\_COMPLETE (see table 27).

The use of the ACA bit in the CDB control field and the implementation of ACA is described in SAM-4.

Depending on the mode page parameters that have been established (see SPC-4), additional FCP I/O operations may have to be aborted by transmitting an ABTS-LS as part of the process of clearing the automatic contingent allegiance.

#### **9.2.2.6 ADDITIONAL FCP\_CDB LENGTH field**

The ADDITIONAL FCP\_CDB LENGTH field contains the length in 4-byte words of the ADDITIONAL FCP\_CDB field. The value of the ADDITIONAL FCP\_CDB LENGTH field shall be set to zero for task management requests.

#### **9.2.2.7 RDDATA and WRDATA bits**

If the RDDATA bit is set to one, the initiator FCP\_Port expects to receive FCP\_DATA IUs from the target FCP\_Port. This is a SCSI read operation.

If the WRDATA bit is set to one, the initiator FCP\_Port expects to transmit FCP\_DATA IUs to the target FCP\_Port. This is a SCSI write operation.

If the RDDATA bit and WRDATA bit are both set to one, the initiator FCP\_Port expects both a SCSI read operation and a SCSI write operation. This is a bidirectional SCSI command. The FCP\_BIDIRECTIONAL\_READ\_DL field shall be included in the FCP\_CMND IU payload. The initiator FCP\_Port shall not set both the RDDATA bit and the WRDATA bit to one except for a bidirectional SCSI command.

If the RDDATA bit and WRDATA bit are both set to zero, there shall be no FCP\_DATA IUs and the FCP\_DL field shall be set to zero.

The device server shall return CHECK CONDITION status with the sense key set to ILLEGAL REQUEST and the additional sense code set to INVALID FIELD IN COMMAND INFORMATION UNIT if the following protocol errors are detected:

- a) a read operation has the RDDATA bit set to zero or the WRDATA bit set to one;
- b) a write operation has the WRDATA bit set to zero or the RDDATA bit set to one;
- c) a bidirectional SCSI command has either the RDDATA bit set to zero or the WRDATA bit set to zero; or
- d) the RDDATA bit and WRDATA bit are both set to zero and the FCP\_DL value is not zero.

NOTE 5 - Device servers compliant to previous versions of this standard may terminate the command and return an FCP\_RSP IU with the RSP\_CODE field set to INVALID\_FIELD (see table 27) for some protocol errors.

#### **9.2.2.8 FCP\_CDB field**

The FCP\_CDB field contains the CDB to be sent to the addressed logical unit. The maximum CDB length is 16 bytes unless the ADDITIONAL\_FCP\_CDB\_LENGTH field has specified that there is an ADDITIONAL\_FCP\_CDB field. The FCP\_CDB field shall be ignored if the TASK MANAGEMENT FLAGS field is set to a nonzero value.

The CDB format is defined by SAM-4 and SPC-4 and the contents of the CDB are defined in the SCSI command standards. Bytes between the end of a CDB and the end of the FCP\_CDB field or, if applicable, the ADDITIONAL\_FCP\_CDB field shall be reserved.

#### **9.2.2.9 ADDITIONAL\_FCP\_CDB field**

The ADDITIONAL\_FCP\_CDB field contains any CDB bytes beyond those contained within the 16 byte FCP\_CDB field.

The ADDITIONAL\_FCP\_CDB field shall not be present if the TASK MANAGEMENT FLAGS field is set to a nonzero value. The contents of the field shall be those bytes of an extended CDB beyond the first 16 bytes of the CDB as defined in the SCSI command standards.

#### **9.2.2.10 FCP\_DL field**

For a SCSI read operation, the FCP\_DL field contains a count of the maximum number of all bytes to be transferred to the application client buffer in FCP\_DATA IU payloads by the SCSI command. The FCP\_DL field is the Data-In Buffer Size defined by SAM-4.

For a SCSI write operation, the FCP\_DL field contains a count of the maximum number of all bytes to be transferred from the application client buffer in FCP\_DATA IU payloads by the SCSI command. The FCP\_DL field is the Data-Out Buffer Size defined by SAM-4.

For a bidirectional SCSI command, the FCP\_DL field contains a count of the maximum number of all bytes to be transferred from the application client buffer in FCP\_DATA IU payloads by the SCSI command. The FCP\_DL field is the Data-Out Buffer Size defined by SAM-4.

An FCP\_DL value of zero indicates that no data transfer is expected regardless of the state of the RDDATA and WRDATA bits and that no FCP\_XFER\_RDY or FCP\_DATA IUs shall be transferred.

### 9.2.2.11 FCP\_BIDIRECTIONAL\_READ\_DL field

For a bidirectional SCSI command, the FCP\_BIDIRECTIONAL\_READ\_DL field contains a count of the maximum number of all bytes to be transferred to the application client buffer in FCP\_DATA IU payloads by the SCSI command. The FCP\_BIDIRECTIONAL\_READ\_DL field is the Data-In Buffer Size defined by SAM-4.

An FCP\_BIDIRECTIONAL\_READ\_DL value of zero indicates that no read operation is expected regardless of the state of the RDDATA bit and that no FCP\_DATA IUs shall be transferred for read data.

If either RDDATA or WRDATA is set to zero, the FCP\_BIDIRECTIONAL\_READ\_DL field is not included in the FCP\_CMND IU payload.

## 9.3 FCP\_XFER\_RDY IU

### 9.3.1 Overview and format of FCP\_XFER\_RDY IU

The FCP\_XFER\_RDY IU indicates that the target FCP\_Port is prepared to receive part or all of the data for a write operation. The FCP\_XFER\_RDY IU contains those parameters of the SAM-4 data delivery service required by the initiator FCP\_Port, including the length and beginning relative offset of the FCP\_DATA IU that is requested. Since the target FCP\_Port has established buffering and caching resources based on the requested data, the initiator FCP\_Port shall provide the described data in the requested FCP\_DATA IU. The initiator FCP\_Port shall be ready to transmit any part or all of the number of bytes indicated in the FCP\_DL field if requested.

An FCP\_XFER\_RDY IU shall be transmitted preceding each write FCP\_DATA IU when the WRITE FCP\_XFER\_RDY DISABLED bit is set to zero by Process Login. If the target FCP\_Port and initiator FCP\_Port have negotiated write FCP\_XFER\_RDY disabled, FCP\_XFER\_RDY IUs shall be transmitted to request each write FCP\_DATA IU after the first FCP\_DATA IU of the command. The first FCP\_DATA IU is transmitted without a preceding FCP\_XFER\_RDY IU (see 6.3.4).

The first 8 bytes of the FCP\_XFER\_RDY IU payload are defined in FC-FS-3 for all IUs of category 5, the data descriptor category. The fields defined in FC-FS-3 are given FCP names for use in this standard. The format of the FCP\_XFER\_RDY IU payload is shown in table 24.

**Table 24 - FCP\_XFER\_RDY IU payload**

Bit Byte	7	6	5	4	3	2	1	0
0	(MSB)							
3	FCP_DATA_RO							(LSB)
4	(MSB)							
7	FCP_BURST_LEN							(LSB)
8	Reserved							
11								

### 9.3.2 FCP\_DATA\_RO field

The FCP\_DATA\_RO field contains a value specifying the relative offset in the PARAMETER field for the first data byte of the requested FCP\_DATA IU (see 5.2.12). The FCP\_DATA\_RO field is the "Offset of the data being transferred" field specified in FC-FS-3.

The FCP\_DATA\_RO field may be used by the target FCP\_Port to request data out of order on writes if allowed by the EMDP bit in the Disconnect-Reconnect mode page (see 10.2.8). This is the same as the SAM-4 application client buffer offset.

The FCP\_DATA\_RO field shall have a value that is a multiple of 4 (i.e., each FCP\_DATA IU shall begin on a word boundary).

### 9.3.3 FCP\_BURST\_LEN field

The FCP\_BURST\_LEN field contains a value indicating the amount of buffer space prepared for all bytes to be transferred in the next FCP\_DATA IU and requests the transfer of an FCP\_DATA IU of that length from the initiator FCP\_Port. The FCP\_BURST\_LEN field is the "Length of the data being transferred" field specified in FC-FS-3 and the value in the FCP\_BURST\_LEN field is the same as the SCSI data delivery request byte count (see SAM-4).

The value in the FCP\_BURST\_LEN field shall not exceed the MAXIMUM BURST SIZE field value defined in the Disconnect-Reconnect mode page (see 10.2.7). The sum of the value of FCP\_BURST\_LEN field and the value of FCP\_DATA\_RO shall not exceed the value of FCP\_DL. The value in the FCP\_BURST\_LEN field shall not be zero.

## 9.4 FCP\_DATA IU

### 9.4.1 FCP\_DATA IU overview

The data associated with a particular FCP I/O operation is transmitted in the same Exchange that sent the FCP\_CMND IU requesting the transfer.

SCSI data transfers may be performed by one or more data delivery requests, each one performing a transfer no longer than:

- a) the FIRST BURST SIZE field value (see 10.2.10) if the WRITE FCP\_XFER\_RDY DISABLED bit is set to one; or
- b) THE MAXIMUM BURST SIZE field value (see 10.2.7) if a FCP\_XFER\_RDY IU was received.

If more than one FCP\_DATA IU is used to transfer the data, the relative offset value in the PARAMETER field is used to ensure that the SCSI data is reassembled in the proper order (see 5.2.12). If an FCP\_XFER\_RDY IU is used to describe a data transfer and the first frame of the requested FCP\_DATA IU has a relative offset that differs from the value in the FCP\_DATA\_RO field of the FCP\_XFER\_RDY IU, the target FCP\_Port shall return an FCP\_RSP IU with the RSP\_CODE field set to FCP\_DATA\_RO\_MISMATCH (see table 27).

If required by the PRLI FCP Service Parameters, each Data-Out action FCP\_DATA IU shall be preceded by an FCP\_XFER\_RDY IU containing a standard data descriptor payload that indicates the location and length of the data delivery. If the WRITE FCP\_XFER\_RDY DISABLED bit is set to one in the PLRI FCP Service Parameter page (see 6.3), the first FCP\_DATA IU shall be transmitted without a preceding FCP\_XFER\_RDY IU.

If the DATA OVERLAY ALLOWED bit is set to one in the PLRI FCP Service Parameter page (see 6.3) for the initiator FCP\_Port, the target FCP\_Port may request that data be overlaid. If the DATA OVERLAY ALLOWED bit is set to zero in the PLRI FCP Service Parameter page (see 6.3) for the initiator FCP\_Port, the target FCP\_Port shall not request that data be overlaid. If data overlay is not allowed and the target FCP\_Port attempts to overlay data, the initiator FCP\_Port may not be able to guarantee data integrity and may indicate service delivery failure. Data retransmission as part of an error recovery process is not considered data overlay, even if retransmission occurs to the same offset in the application client buffer.

The target FCP\_Port may request data bursts in any order if allowed by the EMDP bit in the Disconnect-Reconnect mode page (see 10.2). By the time data transfer has been terminated, all data between the offset of zero and the highest offset shall have been transferred. If error conditions occur that prevent the transfer of data in the middle of a data transfer, the FCP\_SNS\_INFO shall indicate that only data from the offset of zero up to the

first byte of missing data has been transferred. Even if data of a higher offset was successfully transferred, it shall not be considered valid.

FC-FS-3 specifies the mechanisms used to transfer an IU. The mechanisms vary with the class of service being used and the service parameters that are in effect.

#### **9.4.2 FCP\_DATA IUs for read and write operations**

During any data transfer, the initiator FCP\_Port shall have available a buffer of length FCP\_DL. The buffer contains data to be transferred to the target FCP\_Port if the operation is a write operation (i.e., an operation that uses the Data-Out action, IU T6). The buffer receives the data if the operation is a read operation (i.e., an operation that uses the Data-In action, IU I3). The target FCP\_Port shall not request or deliver data outside the buffer length defined by FCP\_DL.

If the command requested that data beyond FCP\_DL be transferred, the device server shall set the FCP\_RESID\_OVER bit (see 9.5.8) to one in the FCP\_RSP IU and:

- a) process the command normally except that data beyond the FCP\_DL count shall not be requested or transferred;
- b) transfer no data and return CHECK CONDITION status with the sense key set to ILLEGAL REQUEST and the additional sense code set to INVALID FIELD IN COMMAND INFORMATION UNIT; or
- c) may transfer data and return CHECK CONDITION status with the sense key set to ABORTED COMMAND and the additional sense code set to INVALID FIELD IN COMMAND INFORMATION UNIT.

During a write operation that is not using FCP\_XFER\_RDY IUs, the initiator FCP\_Port indicates that it has transferred all the required data by transferring Sequence Initiative to the target FCP\_Port.

The initiator FCP\_Port shall not transfer data outside the buffer length defined by FCP\_DL. If the write operation requires a total amount of data less than the amount of data provided by the initiator FCP\_Port, the target FCP\_Port shall discard the excess bytes. Because there were fewer bytes provided than required by FCP\_DL, the FCP\_RESID\_UNDER bit (see 9.5.7) shall be set to one in the FCP\_RSP IU. The command is completed according to the rules specified by the SCSI command set for that command.

If the amount of data requested or transferred does not match the number of bytes of data calculated from the value of the FCP\_DL field and the value of the FCP\_RESID field (see 9.5.12), the error detection and recovery procedure described in clause 12 may be invoked or the FCP I/O operation may be terminated with an ABTS-LS (see 12.3) or other failure indication. The mechanism an initiator FCP\_Port uses to determine that the correct amount of data has been returned is vendor specific. Data that has been retransmitted and overlaid shall be counted only once for the purposes of calculating residual values.

#### **9.4.3 FCP\_DATA IUs for bidirectional commands**

During a bidirectional command, the initiator FCP\_port shall always have available a buffer with the length specified by the FCP\_DL field to transfer data to the target FCP\_Port. The target FCP\_Port shall not request data outside the buffer length specified by the FCP\_DL field.

During a bidirectional command, the initiator FCP\_Port shall always have available a buffer with the length specified by the FCP\_BIDIRECTIONAL\_DL field to receive data from the target FCP\_Port. The target FCP\_Port shall not transfer data outside the buffer length specified by the FCP\_BIDIRECTIONAL\_DL field.

If a bidirectional command requested that data beyond FCP\_DL be transferred, the device server shall set the FCP\_RESID\_OVER bit (see 9.5.8) to one in the FCP\_RSP IU and:

- a) process the command normally except that data beyond the FCP\_DL count shall not be transferred; or



- b) transfer no data in either direction and return CHECK CONDITION status with the sense key set to ILLEGAL REQUEST and the additional sense code set to INVALID FIELD IN COMMAND INFORMATION UNIT.
- c) may transfer data in either direction and return CHECK CONDITION status with the sense key set to ABORTED COMMAND and the additional sense code set to INVALID FIELD IN COMMAND INFORMATION UNIT.

If a bidirectional command requests that data beyond the value specified in the FCP\_BIDIRECTIONAL\_READ\_DL field be transferred, the device server shall set the FCP\_BIDI\_READ\_RESID\_OVER bit (see 9.5.5) to one in the FCP\_RSP IU and:

- a) process the command normally except that data beyond the FCP\_BIDIRECTIONAL\_READ\_DL count shall not be transferred; or
- b) transfer no data in either direction and return CHECK CONDITION with the sense key set to ILLEGAL REQUEST and the additional sense code set to INVALID FIELD IN COMMAND INFORMATION UNIT.

If the amount of data requested or transferred does not match the number of bytes of data calculated from the value of the FCP\_DL field and the value of the FCP\_RESID field (see 9.5.12) for the write data operation of a bidirectional SCSI command or the number of bytes of data calculated from the value of the FCP\_BIDIRECTIONAL\_READ\_DL field and the value of the FCP\_BIDIRECTIONAL\_READ\_RESID field (see 9.5.13) for the read operation of a bidirectional SCSI command, the FCP I/O operation may be terminated with an ABTS-LS (see 12.3) or other failure indication. The mechanism an initiator FCP\_Port uses to determine that the correct amount of data has been returned is vendor specific. Data that has been retransmitted and overlaid shall be counted only once for the purposes of calculating residual values.

#### **9.4.4 FCP\_DATA IU use of fill bytes**

During transfer of data in response to an FCP\_CMND\_IU with the RDDATA bit set to one and the WRDATA bit set to zero, all frames of FCP\_DATA\_IUs except the frame with the highest relative offset within the Data-In Buffer shall have no fill bytes.

During transfer of data in response to an FCP\_CMND\_IU with the WRDATA bit set to one and the RDDATA bit set to zero, all frames of FCP\_DATA\_IUs except the frame with the highest relative offset within the Data-Out Buffer shall have no fill bytes.

During transfer of data in response to an FCP\_CMND\_IU with the WRDATA bit set to one and the RDDATA bit set to one, all frames of FCP\_DATA\_IUs except the frame with the highest relative offset within the Data-In Buffer and the frame with the highest relative offset within the Data-Out Buffer shall have no fill bytes.

### **9.5 FCP\_RSP IU**

#### **9.5.1 Overview and format of FCP\_RSP IU**

The FCP\_RSP IU provides completion information for FCP I/O operations. The information includes SCSI status, protocol verification, and any applicable autosense data. The target FCP\_Port shall transmit an FCP\_RSP IU for each task management function delivered with an FCP\_CMND IU, indicating the completion status of the task management function in the RSP\_CODE field (see table 27).

The bits and fields in bytes 10 and 11 summarize the completion status of an FCP I/O operation and indicate the meaning and validity of other fields in the FCP\_RSP IU. Bytes 10 and 11 shall be zero upon successful completion of an FCP I/O operation, indicating that no other information is present in the FCP\_RSP IU. A nonzero value in either byte 10 or byte 11 should cause the application client to examine the fields in FCP\_RSP IU to determine whether a failure, a retryable temporary condition, or an expected response occurred.

If command linking is being performed, an FCP\_RSP IU is provided for each command. For linked commands, INTERMEDIATE status or INTERMEDIATE - CONDITION MET status indicates successful completion of a command with no other information valid if all other fields are zero. If command linking is requested, the use of the INTERMEDIATE or INTERMEDIATE-CONDITION MET status indicates that linking shall be performed. The LINKED COMMAND COMPLETE or LINKED COMMAND COMPLETE (WITH FLAG) Service Response defined by SAM-4 is implicit in the presentation of INTERMEDIATE or INTERMEDIATE-CONDITION MET status in the FCP\_RSP IU.

If data retransmission is enabled and a Sequence error is detected, a target shall not transmit an FCP\_RSP IU with CHECK CONDITION status. See 12.3.5 for additional target error recovery.

If a SCSI device error is detected by a target FCP\_Port while the target FCP\_Port has Sequence Initiative for the Exchange associated with the error, the target FCP\_Port should complete any Sequence that has already been started, keep Sequence Initiative, and transmit an FCP\_RSP IU with CHECK CONDITION status and sense data that describes the error. If a SCSI device error is detected by a device server while the target FCP\_Port does not have Sequence Initiative for the Exchange associated with the error, the target FCP\_Port shall wait until Sequence Initiative has been returned and then transmit an FCP\_RSP IU with CHECK CONDITION status and sense data that describes the error.

In the event that Sequence Initiative is not received within  $RR\_TOV_{SEQ\_INIT}$  (see 11.4), the target FCP\_Port may implicitly terminate the affected Exchange.

The content of the FCP\_RSP IU is indicated in table 25.

**Table 25 - FCP\_RSP IU payload**

Bit Byte	7	6	5	4	3	2	1	0
0	Reserved							
7								
8	(MSB)							
9	RETRY DELAY TIMER (LSB)							
10	FCP_BIDI_RSP	FCP_BIDI_READ_RESID_UNDER	FCP_BIDI_READ_RESID_OVER	FCP_CONF_REQ	FCP_RESID_UNDER	FCP_RESID_OVER	FCP_SNS_LEN_VALID	FCP_RSP_LEN_VALID
11	SCSI STATUS CODE							
12	(MSB)							
15	FCP_RESID (LSB)							
16	(MSB)							
19	FCP_SNS_LEN (= n) (LSB)							
20	(MSB)							
23	FCP_RSP_LEN (= m) (LSB)							
24	FCP_RSP_INFO (m bytes long)(if any)(see table 26)							
23+m								
24+m	FCP_SNS_INFO (n bytes long)(if any)							
23+m+n								
24+m+n	(MSB)							
27+m+n	FCP_BIDIRECTIONAL_READ_RESID (if any) (LSB)							

### 9.5.2 RETRY DELAY TIMER field

The RETRY DELAY TIMER field contains the retry delay timer code (see SAM-4).

### 9.5.3 FCP\_BIDI\_RSP bit

If the FCP\_BIDI\_RSP bit is set to one, the FCP\_BIDIRECTIONAL\_READ\_RESID field is present, and the FCP\_BIDI\_READ\_RESID\_OVER and FCP\_BIDI\_READ\_RESID\_UNDER bits are valid. If the FCP\_BIDI\_RSP bit is set to zero, the FCP\_BIDIRECTIONAL\_READ\_RESID field is not present, and the FCP\_BIDI\_READ\_RESID\_OVER and the FCP\_BIDI\_READ\_RESID\_UNDER bits are not valid.

#### **9.5.4 FCP\_BIDI\_READ\_RESID\_UNDER bit**

If the FCP\_BIDI\_READ\_RESID\_UNDER bit is set to one, the FCP\_BIDIRECTIONAL\_READ\_RESID field is valid and contains the count of bytes that were expected to be transferred, but were not transferred. The application client shall examine the FCP\_BIDIRECTIONAL\_READ\_RESID FIELD field in the context of the command to determine whether or not an error condition occurred.

#### **9.5.5 FCP\_BIDI\_READ\_RESID\_OVER bit**

If the FCP\_BIDI\_READ\_RESID\_OVER bit is set to one, the FCP\_BIDIRECTIONAL\_READ\_RESID field is valid and contains the count of bytes that were not transferred because the FCP\_BIDIRECTIONAL\_READ\_DL value was not large enough. The application client shall examine the FCP\_BIDIRECTIONAL\_READ\_RESID FIELD field in the context of the command to determine whether or not an error condition occurred.

#### **9.5.6 FCP\_CONF\_REQ bit**

If the FCP\_CONF\_REQ bit is set to one, the initiator FCP\_Port shall transmit an FCP\_CONF IU to confirm receipt of the FCP\_RSP Sequence. If the FCP\_CONF\_REQ bit is set to zero, the initiator FCP\_Port shall not transmit an FCP\_CONF IU.

#### **9.5.7 FCP\_RESID\_UNDER bit**

If the FCP\_RESID\_UNDER bit is set to one, the FCP\_RESID field is valid and contains the count of bytes that were expected to be transferred, but were not transferred. The application client shall examine the FCP\_RESID field in the context of the command to determine whether or not an error condition occurred.

#### **9.5.8 FCP\_RESID\_OVER bit**

If the FCP\_RESID\_OVER bit is set to one, the FCP\_RESID field is valid and contains the count of bytes that could not be transferred because the FCP\_DL was not sufficient. The application client shall examine the FCP\_RESID field in the context of the command to determine whether or not an error condition occurred.

#### **9.5.9 FCP\_SNS\_LEN\_VALID bit**

If the FCP\_SNS\_LEN\_VALID bit is set to one, the FCP\_SNS\_INFO field contains valid information, the FCP\_SNS\_LEN field is valid and non-zero and contains the count of bytes in the FCP\_SNS\_INFO field. The application client shall examine the FCP\_SNS\_INFO field to determine whether or not an error condition occurred.

If the FCP\_SNS\_LEN\_VALID bit is set to zero, the FCP\_SNS\_LEN field is not valid and shall be treated as if its value were zero. See 9.5.14.

#### **9.5.10 FCP\_RSP\_LEN\_VALID bit**

If the FCP\_RSP\_LEN\_VALID bit is set to one, the FCP\_RSP\_INFO field contains valid information, the FCP\_RSP\_LEN field is valid and non-zero and contains the count of bytes in the FCP\_RSP\_INFO field. The application client shall examine the FCP\_RSP\_INFO field to determine whether or not an error condition occurred. When the FCP\_RSP\_LEN\_VALID bit is set to one, the content of the SCSI STATUS CODE field is not reliable and shall be ignored by the application client.

For task management functions transmitted to the logical unit using an FCP\_CMND IU, the FCP\_RSP\_LEN\_VALID bit shall be set to one, the FCP\_RSP\_LEN field shall be set to the specified value, and the information in the RSP\_CODE field (see table 27) shall indicate the completion status of the task management function.

If the FCP\_RSP\_LEN\_VALID bit is set to zero, the FCP\_RSP\_LEN field is not valid and shall be treated as if its value were zero. When the FCP\_RSP\_LEN\_VALID bit is set to zero, the FCP\_RSP\_INFO field shall have a length of zero and shall not be present.

### 9.5.11 SCSI STATUS CODE field

The SCSI STATUS CODE field contains the status code for the SCSI command being completed, as defined by SAM-4.

### 9.5.12 FCP\_RESID field

For read operations and write operations, if the FCP\_RESID\_UNDER bit is set to one, the FCP\_RESID field contains a count of the number of residual data bytes that were not transferred in FCP\_DATA IUs.

For read operations and write operations, if the FCP\_RESID\_OVER bit is set to one, the FCP\_RESID field contains the excess of the number of bytes required by the SCSI command to be transferred over the number of bytes specified by the FCP\_DL field.

For bidirectional SCSI commands, if the FCP\_RESID\_UNDER bit is set to one, the FCP\_RESID field contains a count of the number of residual data bytes that were not transferred in the Data-Out FCP\_DATA IUs for the command.

For bidirectional SCSI commands, if the FCP\_RESID\_OVER bit is set to one, the FCP\_RESID field contains the excess of the number of bytes required to be transferred in the Data-Out FCP\_DATA IUs by the command over the number of bytes specified in the FCP\_DL field.

For bidirectional SCSI commands, the FCP\_BIDIRECTIONAL\_READ\_RESID field (see 9.5.13) contains the corresponding count for Data-In FCP\_DATA IUs.

Upon successful completion of an FCP I/O operation, the residual value is normally zero and the FCP\_RESID value is not valid. FCP devices having indeterminate data lengths may have a nonzero residual byte count after completing valid operations. There is no requirement to verify that the data length implied by the contents of the CDB does not cause an overrun or underrun before beginning the processing of a SCSI command.

If the FCP\_RESID\_UNDER bit is set to one, a transfer that did not fill the buffer to the expected displacement FCP\_DL was performed and the value of FCP\_RESID is defined as follows:

$$\text{FCP\_RESID} = \text{FCP\_DL} - (\text{highest offset of any byte transmitted} + 1)$$

A condition of FCP\_RESID\_UNDER may not be an error for some FCP devices and some commands.

If the FCP\_RESID\_OVER bit is set to one, refer to 9.4.2 or 9.4.3. The FCP\_RESID value is defined as follows:

$$\text{FCP\_RESID} = (\text{transfer length required by command}) - \text{FCP\_DL}$$

If the FCP\_RESID\_UNDER and the FCP\_RESID\_OVER bits are set to zero, the FCP\_RESID field is not meaningful and may have any value. The FCP\_RESID field is always included in the FCP\_RSP IU.

NOTE 6 - Some early implementations presented the FCP\_RSP IU without the FCP\_RESID, FCP\_SNS\_LEN, and FCP\_RSP\_LEN fields if the FCP\_RESID\_UNDER, FCP\_RESID\_OVER, FCP\_SNS\_LEN\_VALID, and FCP\_RSP\_LEN\_VALID bits were all set to zero. This non-standard behavior should be tolerated.

### 9.5.13 FCP\_BIDIRECTIONAL\_READ\_RESID field

The FCP\_BIDIRECTIONAL\_READ\_RESID field is included in the FCP\_RSP IU for all bidirectional SCSI commands.

For bidirectional commands, if the FCP\_BIDI\_READ\_RESID\_UNDER bit is set to one, the FCP\_BIDIRECTIONAL\_READ\_RESID field contains a count of the number of residual data bytes that were not transferred in Data-In FCP\_DATA IUs.

For bidirectional commands, if the FCP\_BIDI\_READ\_RESID\_OVER bit is set to one, the FCP\_BIDIRECTIONAL\_READ\_RESID field contains the excess of the number of bytes required by the command to be transferred in Data-In FCP\_DATA IUs over the number of bytes specified by the FCP\_BIDIRECTIONAL\_READ\_DL field.

Upon successful completion of an FCP I/O operation, the residual value is normally zero and the FCP\_BIDIRECTIONAL\_READ\_RESID value is not valid. FCP devices having indeterminate data lengths may have a nonzero residual byte count after completing valid operations. There is no requirement to verify that the data length implied by the contents of the CDB does not cause an overrun or underrun before beginning the processing of a SCSI command.

If the FCP\_BIDI\_READ\_RESID\_UNDER bit is set to one, a transfer that did not fill the buffer to the expected displacement FCP\_BIDIRECTIONAL\_READ\_DL was performed and the value of FCP\_BIDIRECTIONAL\_READ\_RESID is defined as follows:

$$\text{FCP\_BIDIRECTIONAL\_READ\_RESID} = \text{FCP\_BIDIRECTIONAL\_READ\_DL} - (\text{highest offset of any byte transmitted} + 1)$$

A condition of FCP\_BIDI\_READ\_RESID\_UNDER may not be an error for some FCP devices and some commands.

If the FCP\_BIDI\_READ\_RESID\_OVER bit is set to one, refer to 9.4.3. The FCP\_BIDIRECTIONAL\_READ\_RESID value is defined as follows:

$$\text{FCP\_BIDIRECTIONAL\_READ\_RESID} = (\text{read transfer length required by command}) - \text{FCP\_BIDIRECTIONAL\_READ\_DL}$$

If the FCP\_BIDI\_READ\_RESID\_UNDER and the FCP\_RESID\_OVER bits are both set to zero, the FCP\_BIDIRECTIONAL\_READ\_RESID field is not meaningful and may have any value.

#### **9.5.14 FCP\_SNS\_LEN field**

If the FCP\_SNS\_LEN\_VALID bit is one, the FCP\_SNS\_LEN field specifies the number of valid bytes of FCP\_SNS\_INFO.

If the FCP\_SNS\_LEN\_VALID bit is zero, the FCP\_SNS\_LEN field is not valid and shall be treated as if its value were zero. No FCP\_SNS\_INFO is provided.

The FCP\_SNS\_LEN field is always included in the FCP\_RSP IU.

#### **9.5.15 FCP\_RSP\_LEN field**

If the FCP\_RSP\_LEN\_VALID bit is one, the FCP\_RSP\_LEN field specifies the number of valid bytes of FCP\_RSP\_INFO. The number shall be 00000004h, or 00000008h.

If the FCP\_RSP\_LEN\_VALID bit is zero, the FCP\_RSP\_LEN field is not valid and shall be treated as if its value were zero. No FCP\_RSP\_INFO is provided.

The FCP\_RSP\_LEN field is always included in the FCP\_RSP IU.

#### **9.5.16 FCP\_RSP\_INFO field**

The FCP\_RSP\_INFO field contains information describing only the protocol failures detected during the processing of an FCP I/O operation. If none of the specified protocol failures have occurred, the FCP\_RSP\_INFO field shall not be included in the FCP\_RSP IU and the FCP\_RSP\_LEN\_VALID bit shall be zero. The FCP\_RSP\_INFO does not contain link error information, since FC-FS-3 provides the mechanisms for presenting such errors. The FCP\_RSP\_INFO field does not contain SCSI logical unit error information, since that is contained in the FCP\_SNS\_INFO field as described in 9.5.17. The FCP\_RSP\_INFO field shall contain valid information if the target

FCP\_Port detects any of the conditions indicated by an FCP\_RSP\_CODE. The format of the FCP\_RSP\_INFO field is specified in table 26.

**Table 26 - FCP\_RSP\_INFO field format**

Bit Byte	7	6	5	4	3	2	1	0
0	ADDITIONAL_RSP_INFO							
2								
3	RSP_CODE							
4	Reserved (if any)							
7								

The ADDITIONAL\_RSP\_INFO FIELD contains additional response information for certain task management functions (e.g., QUERY UNIT ATTENTION) as defined in SAM-4. If the task management function does not specify additional response information or the logical unit does not support additional response information, the target FCP\_Port shall set the ADDITIONAL\_RSP\_INFO field to 000000h.

The RSP\_CODE field is specified in table 27.

**Table 27 - RSP\_CODE field**

Code	Name	Description
00h	TMF_COMPLETE	Task Management function complete
01h	DATA_LENGTH_MISMATCH	FCP_DATA length different than FCP_BURST_LEN
02h	INVALID_FIELD	FCP_CMND fields invalid
03h	FCP_DATA_RO_MISMATCH	FCP_DATA parameter mismatch with FCP_DATA_RO
04h <sup>a</sup>	TMF_REJECTED	Task Management function rejected
05h <sup>a</sup>	TMF_FAILED	Task Management function failed
08h <sup>a</sup>	TMF_SUCCEEDED	Task Management function succeeded
09h <sup>a</sup>	TMF_INCORRECT_LUN	Task Management function incorrect logical unit number
06h – 07h 0Ah – FFh	Reserved	
a) Only valid when responding to task management functions.		

The completion status of the task management function is indicated by the RSP\_CODE field. If the Exchange is aborted before the FCP\_RSP IU is returned, the completion status is unknown. If the RSP\_CODE field is set to TMF\_FAILED, then the state of the logical unit is unknown.

Activities started by a task management function may continue after the FCP\_RSP IU for the task management has been delivered.

#### **9.5.17 FCP\_SNS\_INFO field**

The FCP\_SNS\_INFO field contains the autosense data specified by SPC-4. The proper FCP\_SNS\_INFO shall be presented when the SCSI status byte of CHECK CONDITION is presented as specified by SAM-4. If no conditions requiring the presentation of SCSI sense data have occurred, the FCP\_SNS\_INFO field shall not be included in the FCP\_RSP IU and the FCP\_SNS\_LEN\_VALID bit shall be zero. FCP devices shall perform autosense.

#### **9.6 FCP\_CONF IU**

The FCP\_CONF IU has no payload. It is used as described in 4.5 for an initiator FCP\_Port to confirm the receipt of the FCP\_RSP IU from a target FCP\_Port. The frame shall be transmitted by an initiator FCP\_Port when the confirmed completion protocol is supported by both the target FCP\_Port and the initiator FCP\_Port and when the confirmation has been requested by the FCP\_CONF\_REQ bit in the FCP\_RSP IU.



## 10 SCSI mode parameters for the Fibre Channel Protocol

### 10.1 Overview of mode pages for the Fibre Channel Protocol

This clause describes the mode pages used with the MODE SELECT and MODE SENSE commands to control and report the behavior of the Fibre Channel Protocol. All mode parameters not defined in this standard shall control the behavior of the FCP devices as specified in the appropriate command set standard. The mode pages are addressed to the device server of a logical unit. The logical unit shall provide the appropriate control parameters, if any, to the state machine implementing the connection to the Fibre Channel loop or link in a vendor-specific manner. The mode pages associated with Fibre Channel Protocol operation are listed in table 28.

**Table 28 - Mode pages for FCP**

Page code	Description	Reference
02h	Disconnect-Reconnect mode page	10.2
18h	Fibre Channel Logical Unit Control mode page	10.3
19h	Fibre Channel Port Control mode page	10.4
3Fh	Return all mode pages (valid only for the MODE SENSE command)	SPC-4

### 10.2 Disconnect-Reconnect mode page

#### 10.2.1 Overview and format of Disconnect-Reconnect mode page for FCP

The Disconnect-Reconnect mode page (see table 29) allows the application client to modify the behavior of the service delivery subsystem. This subclause specifies the parameters defined by SPC-4 that are used by FCP devices and defines how FCP devices interpret the parameters. The application client communicates with the device server to determine what values are most appropriate for a device server. The device server communicates the parameter values in this mode page to the target FCP\_Port, normally the Fibre Channel interface circuitry. This communication is internal to the SCSI target device and FCP device and is outside the scope of this standard. If a field or bit contains a value that is not supported by the FCP device, the device server shall return CHECK CONDITION status. The sense key shall be set to ILLEGAL REQUEST and the additional sense code set to ILLEGAL FIELD IN PARAMETER LIST.

**Table 29 - Disconnect-Reconnect mode page (02h)**

Bit Byte	7	6	5	4	3	2	1	0
0	PS	Reserved	PAGE CODE (02h)					
1	PAGE LENGTH (0Eh)							
2	BUFFER FULL RATIO							
3	BUFFER EMPTY RATIO							
4	(MSB)	BUS INACTIVITY LIMIT						(LSB)
5								
6	(MSB)	DISCONNECT TIME LIMIT						(LSB)
7								
8	(MSB)	CONNECT TIME LIMIT						(LSB)
9								
10	(MSB)	MAXIMUM BURST SIZE						(LSB)
11								
12	EMDP	FAA	FAB	FAC	RESTRICTED	RESTRICTED		
13	Reserved							
14	(MSB)	FIRST BURST SIZE						(LSB)
15								

An interconnect tenancy is the period of time when an FCP device owns or may access a shared Fibre Channel interconnect. For arbitrated loops (see FC-AL-2) and Fibre Channel Class 1 connections, a tenancy typically begins when an FCP device successfully opens the connection and ends when the FCP device releases the connection for use by other device pairs. Data and other information transfers take place during interconnect tenancies.

Point-to-point or fabric-attached Class 2 or Class 3 links and many other configurations do not have a concept of interconnect tenancy and may perform transfers at any time.

#### 10.2.2 BUFFER FULL RATIO field

The BUFFER FULL RATIO field indicates to the device server, during read operations, how full the buffer should be prior to requesting an interconnect tenancy. Device servers that do not implement the requested ratio should round down to the nearest implemented ratio as defined in SPC-4. FCP devices attached to links that do not have the concept of interconnect tenancy shall round the ratio to zero and transmit data in a vendor specific manner.

The value contained in the BUFFER FULL RATIO field is defined by SPC-4.

### **10.2.3 BUFFER EMPTY RATIO field**

The BUFFER EMPTY RATIO field indicates to the device server, during write operations, how empty the buffer should be prior to transmitting an FCP\_XFER\_RDY IU that requests the initiator FCP\_Port to transmit data. Device servers that do not implement the requested ratio should round down to the nearest implemented ratio as defined in SPC-4.

The value contained in the BUFFER EMPTY RATIO field is defined by SPC-4.

### **10.2.4 BUS INACTIVITY LIMIT field**

The BUS INACTIVITY LIMIT field indicates the maximum time that the target FCP\_Port is permitted to maintain an interconnect tenancy without data or information transfer, measured in transmission word increments. If the bus inactivity limit is exceeded or if the bus is inactive and the target FCP\_Port holding the bus detects that the limit is going to be exceeded, the device server shall end the interconnect tenancy. This value may be rounded as defined in SPC-4. A value of zero indicates that there is no bus inactivity limit.

NOTE 7 - Because of the low overheads associated with initiating and closing bus tenancy on Fibre Channel links, device servers should end tenancies immediately upon completing the required transfers.

The BUS INACTIVITY LIMIT field is not applicable for FCP devices attached to links that do not have the concept of interconnect tenancy.

### **10.2.5 DISCONNECT TIME LIMIT field**

The DISCONNECT TIME LIMIT field indicates the minimum delay between interconnect tenancies measured in increments of 128 transmission words. Target FCP\_Ports in configurations having the concept of interconnect tenancy shall delay at least this time interval after each interconnect tenancy before beginning arbitration. The device server may round this value to any value it prefers. A value of zero indicates that the disconnect time limit does not apply.

The DISCONNECT TIME LIMIT field is not applicable for FCP devices attached to links that do not have the concept of interconnect tenancy.

### **10.2.6 CONNECT TIME LIMIT field**

The CONNECT TIME LIMIT field indicates the maximum duration of a single interconnect tenancy, measured in increments of 128 transmission words. If the connect time limit is exceeded the device server shall conclude the interconnect tenancy, within the restrictions placed on it by the applicable Fibre Channel configuration. The device server may round this value to any value it prefers. A value of zero indicates that there is no connect time limit.

The CONNECT TIME LIMIT field is not applicable for FCP devices attached to links that do not have the concept of interconnect tenancy.

### **10.2.7 MAXIMUM BURST SIZE field**

The MAXIMUM BURST SIZE field indicates the maximum size of all bytes in an FCP\_DATA IU that the target FCP\_Port shall transfer to the initiator FCP\_Port in a single Data-In FCP\_DATA IU or request from the initiator FCP\_Port in an FCP\_XFER\_RDY IU. This parameter does not affect how much data is transferred in a single interconnect tenancy. This value is expressed in increments of 512 bytes (e.g., a value of 1 means 512 bytes, two means 1024 bytes, etc.). The device server may round this value down as defined in SPC-4. A value of zero indicates there is no limit on the amount of data transferred per data transfer operation. This value shall be implemented by all FCP devices. The initiator FCP\_Port and target FCP\_Port may use the value of this parameter to adjust internal maximum buffering requirements.

### 10.2.8 EMDP bit

The enable modify data pointers (EMDP) bit indicates whether or not the target FCP\_Port may use the random buffer access capability to reorder FCP\_DATA IUs for a single SCSI command. If the EMDP bit is set to zero, the target FCP\_Port shall generate continuously increasing relative offset values for each FCP\_DATA IU for a single SCSI command. If the EMDP bit is set to one, the target FCP\_Port may transfer the FCP\_DATA IUs for a single SCSI command in any order. If the EMDP bit is set to zero, data overlay is prohibited even if it is allowed by the state of the PRLI ELS FCP Service Parameter page DATA OVERLAY ALLOWED bit. The EMDP bit does not affect the order of frames within a Sequence. The enable modify data pointers function is optional for all FCP devices.

For bidirectional commands, the EMDP bit applies independently to the read operation and write operation. If the EMDP bit is set to zero, the target FCP\_Port shall generate continuously increasing relative offset values for the read operation and the write operation, but there is no read operation to write operation or write operation to read operation ordering requirement.

### 10.2.9 FAA, FAB, FAC bits

The fairness access (FA) bits, FAA, FAB, and FAC, indicate whether a target FCP\_Port attached to an arbitrated loop (see FC-AL-2) shall use the access fairness algorithm when beginning the interconnect tenancy.

An FA bit set to one indicates that the target FCP\_Port shall use the access fairness algorithm for the specified frames. An FA bit set to zero indicates that the target FCP\_Port may choose to not use the access fairness algorithm. The FAA bit controls arbitration when the target FCP\_Port has one or more FCP\_DATA IU frames to transmit to an initiator FCP\_Port.

The FAB bit controls arbitration when the target FCP\_Port has one or more FCP\_XFER\_RDY IU frames to transmit to an initiator FCP\_Port.

The FAC bit controls arbitration when the target FCP\_Port has an FCP\_RSP IU frame to transmit to an initiator FCP\_Port. If the target FCP\_Port intends to transmit multiple frame types, it may choose to not use the access fairness algorithm if any applicable FA bit is set to zero. FCP devices attached to links that do not have the concept of interconnect tenancy shall ignore the FA bits. The FA bits are optional for all FCP devices.

### 10.2.10 FIRST BURST SIZE field

When the WRITE FCP\_XFER\_RDY DISABLED bit is negotiated as being set to one in the PRLI ELS FCP Service Parameter page (see 6.3), the FIRST BURST SIZE field indicates the maximum amount of all bytes that shall be transmitted in the first FCP\_DATA IU sent from the initiator FCP\_Port to the target FCP\_Port. If all data is transmitted in the first IU, no subsequent FCP\_XFER\_RDY IUs shall be transmitted by the target FCP\_Port. If the maximum amount of data has been transmitted, but more data remains to be transferred, the target FCP\_Port shall request that data with subsequent FCP\_XFER\_RDY IUs.

When the WRITE FCP\_XFER\_RDY DISABLED bit is negotiated as being set to zero in the PRLI ELS FCP Service Parameter page (see 6.3), the FIRST BURST SIZE field is ignored and permission to transmit data from the initiator FCP\_Port to the target FCP\_Port is managed using FCP\_XFER\_RDY IUs. For data transmissions from the target FCP\_Port to the initiator FCP\_Port, the FIRST BURST SIZE field is ignored.

The FIRST BURST SIZE field value is expressed in increments of 512 bytes (e.g., a value of one means 512 bytes, two means 1024 bytes). A value of zero indicates that there is no first burst size limit. The FIRST BURST SIZE field shall be implemented by all FCP devices that support the WRITE FCP\_XFER\_RDY DISABLED bit being set to one. The application client and device server may use the value of this parameter to adjust internal maximum buffering requirements.

### 10.3 Fibre Channel Logical Unit Control mode page

The Fibre Channel Logical Unit Control mode page (see table 30) contains those parameters that select FCP logical unit operation options. The implementation of any parameter and its associated functions is optional. The mode page follows the MODE SENSE and MODE SELECT command rules specified by SPC-4.

**Table 30 - Fibre Channel Logical Unit Control mode page (18h)**

Bit Byte	7	6	5	4	3	2	1	0
0	PS	SPF (0b)	PAGE CODE (18h)					
1	PAGE LENGTH (06h)							
2	Reserved				PROTOCOL IDENTIFIER (FCP = 0h)			
3	Reserved							EPDC
4	Reserved							
5	Reserved							
6	Reserved							
7	Reserved							

An ENABLE PRECISE DELIVERY CHECKING (EPDC) bit of one indicates that the logical unit shall use the precise delivery function defined by this standard (see 4.4). When the EPDC bit is set to zero, the logical unit shall not use the precise delivery function and shall ignore the contents of the CRN field in the FCP\_CMND IU (see 9.2.2.2). The EPDC bit is valid for all types of link connections. If the precise delivery function is not supported and the Fibre Channel Logical Unit Control mode page is supported by the logical unit, the EPDC bit shall be masked as not changeable and shall follow the MODE SENSE and MODE SELECT command rules specified by SPC-4.

If the Fibre Channel Logical Unit Control mode page is not supported by a logical unit, the initiator shall assume that the precise delivery function is not supported by that logical unit.

### 10.4 Fibre Channel Port Control mode page

#### 10.4.1 Overview and format of Fibre Channel Port Control mode page

The Fibre Channel Port Control mode page contains those parameters that select FCP\_Port operation options. The mode page policy shall be per target port (see SPC-4). The mode page shall be implemented by logical unit 0 and may be implemented by logical units other than logical unit 0. The implementation of any bit and its associated functions is optional. The mode page follows the MODE SENSE and MODE SELECT command rules specified by SPC-4.

Some of the bits defined by the Fibre Channel Port Control mode page require the FCP\_Port to violate one or more of the Fibre Channel standards. The non-standard behaviors have been identified as useful for certain specialized operating environments.

The format of the Fibre Channel Port Control mode page is shown in shown in table 31.

**Table 31 - Fibre Channel Port Control mode page (19h)**

Bit Byte	7	6	5	4	3	2	1	0
0	PS	SPF (0b)	PAGE CODE (19h)					
1	PAGE LENGTH (06h)							
2	Reserved				PROTOCOL IDENTIFIER (FCP = 0h)			
3	DTFD	PLPB	DDIS	DLM	RHA	ALWI	DTIPE	DTOLI
4	Reserved							
5	Reserved							
6	Reserved					RR_TOV UNITS		
7	SEQUENCE INITIATIVE RESOURCE RECOVERY TIMEOUT VALUE (RR_TOV <sub>SEQ_INIT</sub> )							

#### 10.4.2 DISABLE TARGET ORIGINATED LOOP INITIALIZATION (DTOLI) bit

If the DISABLE TARGET ORIGINATED LOOP INITIALIZATION (DTOLI) bit is set to one, a target FCP\_Port attached to an arbitrated loop (see FC-AL-2) shall not generate a LIP following insertion into the loop. The target FCP\_Port shall respond to a LIP when it is received. If the DTOLI bit is set to zero, the target FCP\_Port attached to an arbitrated loop shall generate LIP(F7,xx) after it enables a port into a loop. If the target FCP\_Port is attached to an arbitrated loop and detects loop failure at its input, it shall follow the error initialization process defined by FC-AL-2 regardless of the state of the DTOLI bit. Target FCP\_Ports not attached to an arbitrated loop shall ignore the DTOLI bit.

#### 10.4.3 DISABLE TARGET INITIATED PORT ENABLE (DTIPE) bit

If the DISABLE TARGET INITIATED PORT ENABLE (DTIPE) bit is set to one, a target FCP\_Port attached to an arbitrated loop (see FC-AL-2) shall wait for an initiator FCP\_Port to transmit the Loop Port Enable (LPE) primitive sequence before inserting itself into an arbitrated loop (see FC-AL-2). The target FCP\_Port shall wait in a participating state with the Port Bypass circuit, if any, set to bypass the target FCP\_Port. The target FCP\_Port uses the hard address available in the SCA-2 connector (see SFF-8067) or in device address jumpers to determine whether LPE primitive sequences are addressed to it. An LPE primitive sequence addressed to the broadcast address shall also cause the target FCP\_Port to insert itself into the loop. If the DTIPE bit is set to zero, the target FCP\_Port shall enable itself onto the loop in according to the rules specified in FC-AL-2. Target FCP\_Ports not attached to an arbitrated loop shall ignore the DTIPE bit.

#### 10.4.4 ALLOW LOGIN WITHOUT LOOP INITIALIZATION (ALWLI) bit

If the ALLOW LOGIN WITHOUT LOOP INITIALIZATION (ALWLI) bit is set to one, a target FCP\_Port attached to an arbitrated loop (see FC-AL-2) shall use the hard address available in the SCA-2 connector (see SFF-8067) or in device address jumpers, enter the monitoring state in participating mode, and accept logins without using the loop initialization procedure (see FC-AL-2). If the ALWLI bit is set to zero, the target FCP\_Port shall perform the normal loop initialization procedure before entering the monitoring mode and accepting a login ELS. Target FCP\_Ports not attached to an arbitrated loop shall ignore the ALWLI bit.

#### **10.4.5 REQUIRE HARD ADDRESS (RHA) bit**

If the REQUIRE HARD ADDRESS (RHA) bit is set to one, a target FCP\_Port attached to an arbitrated loop (see FC-AL-2) shall only attempt to obtain its hard address available in the SCA-2 connector (see SFF-8067) or device address jumpers during loop initialization. The target FCP\_Port shall not attempt to obtain an address during the LISA phase of initialization (see FC-AL-2). If there is a conflict for the hard address selection during loop initialization or the target FCP\_Port does not have a valid hard address available, the target FCP\_Port shall enter the nonparticipating state. If the target FCP\_Port detects loop initialization while in the nonparticipating state, the target FCP\_Port shall again attempt to get its hard address. If the hard address has not changed from the address obtained in a previous successful loop initialization, the target FCP\_Port shall attempt to obtain the address in the LIPA phase if a valid fabric login exists or LIPA phase of loop initialization. If the hard address has changed, the target FCP\_Port shall attempt to obtain the new address in the LIHA phase.

If the RHA bit is set to zero, the target FCP\_Port follows the normal initialization procedure, including the possibility of obtaining a soft address during the loop initialization process.

Target FCP\_Ports not attached to an arbitrated loop shall ignore the RHA bit.

#### **10.4.6 DISABLE LOOP MASTER (DLM) bit**

If the DISABLE LOOP MASTER (DLM) bit is set to one, a target FCP\_Port attached to an arbitrated loop (see FC-AL-2) shall not participate in loop master arbitration and shall not become loop master. The target FCP\_Port shall only repeat LISM frames it receives. If the DLM bit is set to zero, the target FCP\_Port may participate in loop master arbitration in the normal manner and, if successful, may become loop master during the loop initialization process. Target FCP\_Ports not attached to an arbitrated loop shall ignore the DLM bit.

#### **10.4.7 DISABLE DISCOVERY (DDIS) bit**

If the DISABLE DISCOVERY (DDIS) bit is set to one, a target FCP\_Port without a valid fabric login attached to an arbitrated loop (see FC-AL-2) shall not require receipt of Address or Port Discovery (i.e., ADISC ELS or PDISC ELSs) following loop initialization as described in FC-DA. The logical units shall resume processing tasks on completion of loop initialization. If the DDIS bit is set to zero, the target FCP\_Port shall wait to complete target discovery, as defined by FC-DA, before allowing processing of tasks to resume.

Target FCP\_Ports not attached to an arbitrated loop shall ignore the DDIS bit. A target FCP\_Port with a valid fabric login shall ignore the DDIS bit.

#### **10.4.8 PREVENT LOOP PORT BYPASS (PLPB) bit**

If the PREVENT LOOP PORT BYPASS (PLPB) bit is set to one, a target FCP\_Port attached to an arbitrated loop (see FC-AL-2) shall ignore any Loop Port Bypass (LPB) and Loop Port Enable (LPE) primitive sequences. The loop port shall always remain participating. If the PLPB bit is set to zero, the target FCP\_Port allows the Loop Port Bypass (LPB) and Loop Port Enable (PBE) primitive sequences to control the port bypass circuit and participation on the loop as specified by FC-AL-2. Target FCP\_Ports not attached to an arbitrated loop shall ignore the PLPB bit.

The DTIPE and PLPB bits shall not both be set to one at the same time. If an invalid bit combination is sent by the application client, the device server shall return CHECK CONDITION status and the sense key shall be set to ILLEGAL REQUEST and the additional sense code shall be set to INVALID FIELD IN THE PARAMETER LIST.

#### **10.4.9 DISABLE TARGET FABRIC DISCOVERY (DTFD) bit**

If the DISABLE TARGET FABRIC DISCOVERY (DTFD) bit is set to one, a target FCP\_Port attached by an arbitrated loop (see FC-AL-2) shall not recognize the presence of a fabric loop port on the loop. The target FCP\_Port shall

perform only the private loop functions defined for target FCP\_Ports defined by FC-DA. If the DTFD bit is set to zero, the target FCP\_Port attached by an arbitrated loop shall discover a fabric loop port if present on the loop and perform the public loop functions defined for target FCP\_Ports as described in FC-DA. Target FCP\_Ports not attached to an arbitrated loop shall ignore the DTFD bit.

#### 10.4.10 SEQUENCE INITIATIVE RESOURCE RECOVERY TIMEOUT VALUE (RR\_TOV<sub>SEQ\_INIT</sub>) field

The RR\_TOV<sub>SEQ\_INIT</sub> (see 11.4) timer is defined by the RR\_TOV<sub>SEQ\_INIT</sub> field and the RR\_TOV UNITS field.

The RR\_TOV UNITS field indicates the units for the RR\_TOV<sub>SEQ\_INIT</sub> field value, according to table 32.

**Table 32 - Values for RR\_TOV UNITS**

Byte 6			Units of measure for RR_TOV <sub>SEQ_INIT</sub>
bit 2	bit 1	bit 0	
0	0	0	No timer is specified
0	0	1	0.001 s
0	1	1	0.1 s
1	0	1	10 s
All other values			Reserved

The RR\_TOV<sub>SEQ\_INIT</sub> field indicates the number of time units specified by the RR\_TOV UNITS field that shall be used by the timer that performs the RR\_TOV<sub>SEQ\_INIT</sub> timeout function. If no timer is specified, the RR\_TOV<sub>SEQ\_INIT</sub> value shall be ignored by the device server and a vendor specific default value shall be used for RR\_TOV<sub>SEQ\_INIT</sub>.



## 11 Timers for FCP operation and recovery

### 11.1 Summary of timers for the Fibre Channel Protocol

This clause indicates the use of timers defined by other standards in performing the FCP-4 recovery procedures. In addition, the clause defines those timers used only by this standard.

**Table 33 - Timer summary**

Timer	Implementation Mandatory (M) or Optional (O)		Description	Default Value	Notes	Ref
	Initiator	Target				
E_D_TOV	M	O	Error_Detect_Timeout Value	2 s	2,3	11.2
R_A_TOV <sub>SEQ_QUAL</sub>	M	O	Resource_Allocation Timeout Value	Private loop = 0 s Public loop = 10 s	1,2	11.3
R_A_TOV <sub>ELS</sub>	M	M		Private loop = 2 s Public loop = 10 s	1,2	11.3
RR_TOV <sub>AUTH</sub>		M	Resource Recovery Timeout Value	2 s		11.4
RR_TOV <sub>SEQ_INIT</sub>		M		If RETRY bit is set to 0: 2 s If RETRY bit is set to 1: ≥ REC_TOV + 2xR_A_TOV <sub>ELS</sub> + 1 s		11.4
REC_TOV	M	M	REC ELS Timeout Value	≥ E_D_TOV + 1 s (minimum)	4	11.5
ULP_TOV	M		Upper Level Protocol Timeout Value	If RETRY bit is set to 0: ≥ Operation-specific timer + E_D_TOV + 1 s If RETRY bit is set to 1: ≥ Operation-specific timer + 2xRR_TOV <sub>SEQ_INIT</sub>		11.6

**NOTES:**

- 1 R\_A\_TOV is defined by FC-FS-3.  
This standard defines the default R\_A\_TOV for Sequence Qualifiers as zero for private loops and 10 s for public loops. This standard defines the default R\_A\_TOV for ELS responses as 2 s for private loops and 10 s for public loops. If ELSs are used to set R\_A\_TOV, the same value is applied for both uses. Other Fibre Channel standards may specify different default values for R\_A\_TOV for different topologies.
- 2 Target FCP\_Ports that support Class 2 delivery service shall implement this timer.
- 3 E\_D\_TOV default timeout values are defined by FC-FS-3, and FC-DA. ELSs are provided to set values other than the default value. This standard defines the default value required by the recovery protocol, deriving the value as follows:
  - a Public loop devices compliant with FC-DA use an E\_D\_TOV value of 2 s before fabric login and the value obtained in the FLOGI ELS LS\_ACC after fabric login;
  - b Private loop devices compliant with FC-DA use the default E\_D\_TOV value of 2 s; or
  - c Devices attached through a fabric or point-to-point connection use the default E\_D\_TOV value specified by FC-FS-3 before fabric login and the value obtained in the FLOGI ELS LS\_ACC after fabric login.
- 4 REC\_TOV is required by the target FCP\_Port for FCP\_CONF IU error detection.

## 11.2 Error\_Detect Timeout (E\_D\_TOV)

E\_D\_TOV is a general error detect timeout value (see FC-FS-3, FC-AL-2, FC-LS, and FC-DA). For FCP-4 Sequence recovery, it is used to time the following:

- a) the maximum time permitted for a Sequence Initiator between the transmission of consecutive Data frames within a single Sequence;
- b) the minimum time that a Sequence Recipient shall wait for the reception of the next frame within a single Sequence before recognizing a Sequence timeout; and
- c) the minimum time a Sequence Initiator shall wait for an ACK response before it considers the ACK to be missing and begins recovery actions.

Target FCP\_Ports that support Class 2 shall implement this timer for the purpose of timing out missing ACKs.

Loop attached Class 2 devices may require a complete fairness cycle plus the fabric and link delay times before an ACK is received.

## 11.3 Resource Allocation Timeout (R\_A\_TOV)

R\_A\_TOV has two separate components, labeled  $R\_A\_TOV_{SEQ\_QUAL}$  and  $R\_A\_TOV_{ELS}$ .

$R\_A\_TOV_{SEQ\_QUAL}$  is used to define the minimum amount of time that a Sequence Initiator shall wait before reusing the Sequence\_Qualifier associated with an aborted Sequence. The Sequence\_Qualifier is composed of the S\_ID, D\_ID, OX\_ID, RX\_ID, and SEQ\_ID fields. This value is also the minimum amount of time that a Sequence Initiator shall wait following receipt of the BA\_ACC reply Sequence to an ABTS before transmitting a Reinstate Recovery Qualifier (RRQ) ELS.

Using a value of zero for this timeout value assumes that a Sequence Initiator does not transmit any Frames for a Sequence after an ABTS is sent for that Sequence. If a design uses a queuing mechanism for the transmission of Sequences, the queue for a given Sequence shall be empty before an ABTS for that Sequence is sent, or the act of transmitting the ABTS purges the queue.

A value of two times  $R\_A\_TOV_{ELS}$  is used to determine the minimum time that the Originator of an Extended Link Service or FC-4 Link Service request shall wait for the response to that request.

After completion of fabric login, Public Loop devices shall use the value of R\_A\_TOV specified by the Fabric in the FLOGI ELS LS\_ACC.

## 11.4 Resource Recovery Timeout (RR\_TOV)

RR\_TOV has two separate components, labeled  $RR\_TOV_{AUTH}$  and  $RR\_TOV_{SEQ\_INIT}$ .

$RR\_TOV_{AUTH}$  is the minimum time a target FCP\_Port shall wait for a specific initiator to perform Exchange Authentication following the completion of the Loop Initialization Protocol (i.e., the receipt of CLS while in the OPEN-INIT state) (see FC-DA).  $RR\_TOV_{SEQ\_INIT}$  is the minimum time a target FCP\_Port shall wait for an initiator FCP\_Port response following transfer of Sequence Initiative from the target FCP\_Port to the initiator FCP\_Port (e.g., following transmission of the FCP\_XFER\_RDY IU during a write command). If either of these two conditions is not recovered successfully before expiration of RR\_TOV, a target FCP\_Port may implicitly or explicitly perform a logout with that initiator FCP\_Port and reclaim the resources associated with those Exchanges (see 12.4.1.5).

The value of  $RR\_TOV_{SEQ\_INIT}$  may be set using the Fibre Channel Port Control mode page (see 10.4.10).

## 11.5 Read Exchange Concise Timeout Value (REC\_TOV)

REC\_TOV is used by the initiator FCP\_Port to provide a minimum polling interval for the REC ELS and by the target FCP\_Port for FCP\_CONF IU error detection. The REC\_TOV timer shall be implemented such that at least

one REC\_TOV period passes between transmission of a command and the first polling for Exchange status with the REC ELS. Table 34 describes REC\_TOV usage pertaining to the initiator FCP\_Port.

**Table 34 - Initiator FCP\_Port REC\_TOV Usage**

<b>Timer starts or restarts after:</b>	<b>Timer stops without transmitting REC ELS after:</b>
FCP_CMND IU has been sent.	FCP_RSP IU is received for the FCP_CMND IU or the Exchange is aborted.
FCP_DATA IU Sequence has been sent by the initiator FCP_Port (optional)	FCP_RSP IU is received for the FCP_CMND IU or the Exchange is aborted.
REC ELS was sent for an FCP_CMND IU and an LS_ACC was received indicating the command is in progress (i.e., REC ELS polling interval).	FCP_RSP IU is received for the FCP_CMND IU or the Exchange is aborted.

Table 35 describes REC\_TOV usage pertaining to the target FCP\_Port.

**Table 35 - Target FCP\_Port REC\_TOV usage**

<b>Timer starts after:</b>	<b>Timer stops without transmitting REC ELS after:</b>
FCP_RSP IU requesting an FCP_CONF IU has been sent.	FCP_CONF IU is received or the Exchange is aborted.

### 11.6 Upper Level Protocol Timeout (ULP\_TOV)

ULP\_TOV is an operation-specific timer maintained by the Upper Level Protocol. ULP\_TOV is used to time the completion of Exchanges associated with ULP operations. Since the amount of time required varies depending upon the operation, the value assigned for this timer is determined by the operation being timed. Some operations may require extended periods of time to complete.

ULP timers take into account response time increments caused by command queuing and multi-initiator FCP\_Port congestion.

## **12 Link error detection and error recovery procedures**

### **12.1 Error detection and error recovery overview**

#### **12.1.1 Exchange level**

This standard provides several mechanisms for FCP devices to identify protocol errors caused by frames and responses that have been corrupted and discarded in accordance with the requirements of FC-FS-3. See 12.2 for a list of these mechanisms.

To recover from these errors, all FCP compliant initiator FCP\_Ports shall be capable of transmitting an ABTS-LS to terminate a failing Exchange and to recover the associated resources as described in 12.3. All FCP compliant target FCP\_Ports shall be capable of processing an ABTS-LS to finish clearing the Exchange and to recover the associated resources. The failed command may then be reissued by higher level programs according to protocols beyond the scope of this standard.

This standard allows the use of the REC ELS to monitor the progress of active Exchanges. An FCP-4 device may accept or reject error detection inquiries.

#### **12.1.2 Sequence level**

Sequence level error recovery as described in 12.4 shall not be used for bidirectional SCSI commands.

To recover from errors, FCP-4 compliant devices may perform retransmission procedures that allow the commands to be completed without requiring higher level programs to perform command retries. Such recovery may be useful for SCSI logical units that depend critically on command ordering and maintaining records of internal device state. The initiator FCP\_Port and the target FCP\_Port shall agree to perform retransmission using the SRR FCP\_LS request by setting the RETRY bit to one in the process login before performing the retransmission of individual IUs (see 6.3.4). An FCP-4 device that has agreed to perform retransmission shall use and accept the REC ELS and SRR FCP\_LS request as defined by this standard to perform the retransmission.

Even after agreeing to perform retransmission, the initiator FCP\_Port may choose to transmit an ABTS-LS and the target FCP\_Port shall be able to accept and process the ABTS-LS.

While the basic error detection and error recovery procedures are class independent, acknowledged classes of services may use the acknowledgement mechanism as an additional error detection feature and may use mechanisms defined in FC-FS-3 to assist in the recovery process.

This clause defines the error detection and recovery mechanisms for fabrics that guarantee in-order frame delivery. However, if continuously increasing sequence count is used and if support for recovery qualifiers is fully implemented as defined in FC-FS-3, the same recovery mechanisms may be used for fabrics that do not guarantee in-order frame delivery, as shown in the examples in Annex C.

Examples of error detection and error recovery are provided in Annex C.

### **12.2 FCP error detection**

#### **12.2.1 Overview of FCP-4 error detection**

The subclauses of 12.2 describe the initial events that indicate an error may have occurred. The error may be recovered at the Exchange level or at the Sequence level.

#### **12.2.2 FCP-4 error detection using protocol errors for all classes of service**

The Exchange Originator (i.e., initiator FCP\_Port) shall detect any of the following errors:

- a) a Sequence error is detected in a Sequence transmitted from a target FCP\_Port to an initiator FCP\_Port;

- b) a read command completed with the data count smaller than FCP\_DL and the FCP\_RESID\_UNDER bit is set to zero;
- c) a read command completed with the data count smaller than FCP\_DL, the FCP\_RESID\_UNDER bit is set to one, and the data count plus FCP\_RESID is not equal to FCP\_DL; or
- d) an ABTS is received.

The Exchange Originator (i.e., initiator FCP\_Port) shall detect the following errors for bidirectional SCSI commands:

- a) a bidirectional SCSI command completed with the write data count smaller than FCP\_DL and the FCP\_RESID\_UNDER bit is set to zero;
- b) a bidirectional SCSI command completed with the read data count smaller than FCP\_BIDIRECTION\_READ\_DL and the FCP\_BIDI\_READ\_RESID\_UNDER bit is set to zero;
- c) a bidirectional SCSI command completed with the write data count smaller than FCP\_DL, the FCP\_RESID\_UNDER bit is set to one, and the write data count plus FCP\_RESID is not equal to FCP\_DL; and
- d) a bidirectional SCSI command completed with the read data count smaller than FCP\_BIDIRECTIONAL\_READ\_DL, the FCP\_BIDI\_READ\_RESID\_UNDER bit is set to one, and the read data count plus FCP\_BIDIRECTIONAL\_READ\_RESID is not equal to FCP\_BIDIRECTIONAL\_READ\_DL.

The Exchange Originator may further identify and recover additional errors as described in 12.4.

The Exchange Responder shall also initiate error detection and recovery after a Sequence error is detected in a Sequence transmitted from an initiator FCP\_Port to a target FCP\_Port (see 12.3.5).

The Exchange Responder (i.e., target FCP\_Port) may detect that REC\_TOV times out and an expected FCP\_CONF IU has not been received. The Exchange Responder may then use the methods described in 12.4 to determine the presence of an error, regardless of whether Exchange level or sequence level error recovery is to be used.

### 12.2.3 Error detection mechanisms for acknowledged classes of service

Acknowledged classes of service provide the additional error detection mechanisms described below.

The Exchange Originator (i.e., initiator FCP\_Port) shall detect any of the following errors:

- a) after E\_D\_TOV times out and no ACK has been received for the FCP\_CMND IU;
- b) after E\_D\_TOV times out and no ACK\_1 has been received for an FCP\_DATA IU frame or no ACK\_0 has been received for an FCP\_DATA IU (see example in figure C.22);
- c) after E\_D\_TOV times out and no ACK has been received for the FCP\_CONF IU; or
- d) an ACK with the F\_CTL field Abort Sequence Condition bits set to Abort Sequence, Perform ABTS is received. (See FC-FS-3.)

The Exchange Originator may further identify and recover additional errors as described in 12.4.

The Exchange Responder (i.e., target FCP\_Port) shall detect any of the following errors:

- a) after E\_D\_TOV times out and no ACK has been received for the FCP\_XFER\_RDY IU (see example in figure C.6);
- b) after E\_D\_TOV times out and no ACK\_1 has been received for an FCP\_DATA IU frame or no ACK\_0 has been received for an FCP\_DATA IU (see example in figure C.21); or
- c) after E\_D\_TOV times out and no ACK has been received for the FCP\_RSP IU.

The Exchange Responder may further identify and recover additional errors as described in 12.4.

If an ABTS is transmitted by a Sequence Initiator because it had detected a missing ACK and the BA\_ACC response to the ABTS indicates the Sequence was correctly received by the Sequence Recipient, no error detection or recovery is required.

## 12.3 Exchange level recovery using ABTS-LS

### 12.3.1 ABTS-LS overview

ABTS-LS is an FC-FS-3 protocol that recovers FCP\_Port resources associated with an Exchange that is being terminated, either because of a task management request or because of an error.

ABTS-LS may be transmitted whether or not the FCP devices have agreed to Sequence level error recovery.

All initiator FCP\_Ports shall be capable of transmitting ABTS-LS to terminate failing commands for later retry (see 9.2.2.5). All target FCP\_Ports shall be capable of accepting and processing the ABTS-LS.

### 12.3.2 Initiator FCP\_Port Exchange termination

The initiator FCP\_Port terminating the Exchange transmits an ABTS-LS to the D\_ID of the target FCP\_Port of the Exchange being terminated. The ABTS-LS shall be generated using the OX\_ID and RX\_ID field values of the Exchange to be aborted. FC-FS-3 allows ABTS-LS to be transmitted by an FCP\_Port regardless of whether or not it has Sequence Initiative. Following the transmission of ABTS-LS, any Device\_Data Frames received for this Exchange shall be discarded until the BA\_ACC with the F\_CTL field Last\_Sequence bit set to one (i.e., last sequence of the Exchange) is received from the target FCP\_Port.

Exchange termination may not take effect immediately (e.g., if ABTS-LS is sent following transmission of a READ command, the initiator FCP\_Port may receive some or all of the requested read data before receiving the BA\_ACC for the ABTS-LS). The initiator FCP\_Port shall be capable of receiving this data and providing BB\_Credit in order for the target FCP\_Port to transmit the BA\_ACC.

After the processing of any task management function that clears tasks (e.g., ABORT TASK SET, CLEAR TASK SET, and LOGICAL UNIT RESET), Exchange termination shall be invoked for all Exchanges not successfully terminated with an FCP\_RSP IU status set to COMMAND CLEARED. (See 9.2.2.5).

Following receipt of the BA\_ACC in response to an ABTS-LS, and after R\_A\_TOV\_SEQ\_QUAL has elapsed, the initiator FCP\_Port shall transmit an RRQ ELS request (see FC-LS).

If a BA\_ACC, BA\_RJT, LOGO ELS, or PRLO ELS is not received from the target FCP\_Port within 2 times R\_A\_TOV\_ELS, second level error recovery as described in 12.5 shall be performed.

### 12.3.3 Target FCP\_Port response to Exchange termination

When an ABTS-LS is received at the target FCP\_Port, it shall abort the designated Exchange and return one of the following responses:

- a) the target FCP\_Port shall discard the ABTS-LS and transmit a LOGO ELS if the N\_Port or NL\_Port issuing the ABTS-LS is not currently logged in (i.e., no N\_Port Login exists);
- b) the target FCP\_Port shall return BA\_RJT with the F\_CTL field Last\_Sequence bit set to one (i.e., last Sequence of the Exchange) if the received ABTS-LS contains an assigned RX\_ID field value and a FQXID that is unknown to the target FCP\_Port; or
- c) the target FCP\_Port shall return BA\_ACC with the F\_CTL field Last\_Sequence bit set to one (i.e., last Sequence of the Exchange).

Upon transmission of any of the above responses, the target FCP\_Port may reclaim any resources associated with the designated Exchange after R\_A\_TOV\_SEQ\_QUAL has elapsed or an RRQ ELS request has been received.

If the RX\_ID field is FFFFh, target FCP\_Ports shall qualify the FQXID of the ABTS-LS based only upon the combined values of the D\_ID field, S\_ID field, and the OX\_ID field, not the RX\_ID field.

If the Exchange resources were not reclaimed upon responding to the ABTS-LS, then they shall be reclaimed at the time the response to the RRQ ELS request is transmitted.

If an RRQ ELS request is received at the target FCP\_Port, it shall return one of the following responses:

- a) the target FCP\_Port shall discard the RRQ ELS request and transmit a LOGO ELS if the Nx\_Port that transmitted the RRQ ELS request is not currently logged in (i.e., no Port Login);
- b) if the received RRQ ELS contains an RX\_ID field value, other than FFFFh, that is unknown to the target FCP\_Port, then the target FCP\_Port shall return LS\_RJT with:
  - A) the F\_CTL field Last\_Sequence bit set to one (i.e., last Sequence of the Exchange);
  - B) the Reason Code set to 03h (i.e., Logical error) or 09h (i.e., Unable to process command request); and
  - C) the Reason Code Explanation set to 17h (i.e., "Invalid OX\_ID-RX\_ID combination"); or
- c) the target FCP\_Port shall return LS\_ACC with the Last Sequence of Exchange bit set to one.

#### **12.3.4 Additional error recovery by initiator FCP\_Port**

This procedure may be used whether or not the FCP devices have agreed to Sequence level recovery.

If ULP\_TOV times out and the Exchange is not complete, the application client shall clear the Exchange resources using the ABORT TASK task management request or the initiator FCP\_Port shall clear the Exchange resources by transmitting an ABTS-LS (see 4.9).

#### **12.3.5 Additional error recovery by target FCP\_Port**

This procedure may be used whether or not the FCP devices have agreed to Sequence level recovery.

If a target FCP\_Port detects a Sequence error, it shall discard the Sequence(s) based on the Exchange error policy specified by the F\_CTL field Abort Sequence Condition bits in the first frame of the Exchange (see FC-FS-3).

For acknowledged classes of service, if a target FCP\_Port detects a Sequence error, it may abort the sequence by transmitting an ABTS with the PARAMETER field bit 0 set to one (i.e., Abort Sequence) (see FC-FS-3). If a Recovery Qualifier range is returned in the BA\_ACC for the ABTS, then the target FCP\_Port shall transmit an RRQ ELS request after R\_A\_TOV<sub>SEQ\_QUAL</sub> times out after receipt of the BA\_ACC.

For unacknowledged classes of service, the target FCP\_Port shall not attempt recovery for Sequence errors. The target FCP\_Port shall depend on initiator FCP\_Port timeouts for recovery.

Target FCP\_Ports shall implement RR\_TOV as described in 11.4 to facilitate recovery of resources allocated to an initiator FCP\_Port that is no longer responding. The target FCP\_Port may transmit a LOGO ELS to the initiator FCP\_Port and terminate all open Exchanges for that initiator FCP\_Port upon detection of the following:

- a) the initiator FCP\_Port has failed to perform target FCP\_Port Exchange authentication within RR\_TOV<sub>AUTH</sub> (see FC-DA-2); or
- b) RR\_TOV<sub>SEQ\_INIT</sub> times out without the initiator FCP\_Port transmitting any expected Sequence for any open Exchange at this target FCP\_Port (e.g., FCP write Data-In response to an FCP\_XFER\_RDY IU).

### **12.4 Sequence level error detection and recovery**

#### **12.4.1 Using information from REC ELS to perform Sequence level recovery**

##### **12.4.1.1 Polling Exchange state with REC ELS**

An REC ELS is periodically transmitted by the initiator FCP\_Port to poll each outstanding Exchange to determine if a SCSI task is progressing properly and if any Sequences have been received incorrectly. Timing of polling with the REC ELS is controlled by REC\_TOV. REC\_TOV is normally selected to be long enough that processing the transfers of Sequence Initiative in the Exchange and completing the Exchange occur before REC\_TOV times out. If REC\_TOV times out, then an REC ELS is performed. The information returned in the

REC LS\_ACC payload is compared with the expected state information known by the initiator FCP\_Port and target FCP\_Port. If the information is inconsistent, indicating that a link error occurred, error recovery actions may be performed to complete the Exchange. Optional error detection and recovery procedures for acknowledged and unacknowledged classes of service are specified in 12.4.1.2, 12.4.1.3, 12.4.1.4, 12.4.1.5, 12.4.1.6, 12.4.1.7, and 12.4.1.8.

#### **12.4.1.2 Detection of errors while polling with REC ELS**

If an Exchange Originator receiving an acknowledged service Sequence detects a Sequence error, it shall transmit an ACK frame with the F\_CTL field Abort Sequence Condition bits set to "Abort Sequence, Perform ABTS" (see FC-FS-3) before issuing the REC ELS. The REC ELS for the Exchange containing the FCP\_CMND IU shall be issued in a new Exchange.

If the response to the new Exchange issuing the REC ELS is an LS\_RJT with a reason code of "command not supported", the initiator FCP\_Port shall assume the target FCP\_Port is an FCP device not supporting error detection using the REC ELS. The initiator FCP\_Port shall perform recovery by transmitting an ABTS-LS as specified in 12.3.

If an LS\_ACC, LS\_RJT, LOGO ELS, or PRLO ELS is not received from the target FCP\_Port within 2 times R\_A\_TOV\_ELS, second level error recovery as described in 12.5 shall be performed.

#### **12.4.1.3 FCP\_CMND IU recovery**

This procedure may be used whether or not the FCP devices have agreed to Sequence level recovery.

If the FCP\_CMND IU was not received by the target FCP\_Port (i.e., the initiator FCP\_Port receives an LS\_RJT for the REC ELS with the reason code of "Logical error" and reason code explanation set to "Invalid OX\_ID-RX\_ID combination"), retransmit the FCP\_CMND IU using a new Exchange. If the precise delivery function is enabled, the CRN value shall remain the same in the retransmitted FCP\_CMND IU.

If the LS\_ACC for the REC ELS indicates that the FCP\_CMND IU was received by the target FCP\_Port and that no reply Sequence has been sent (i.e., by indicating that the initiator FCP\_Port does not hold Sequence Initiative, and that the Exchange is not complete), the command is in process and no recovery is needed at this time. At a minimum interval of REC\_TOV, the REC ELS shall be retransmitted to more quickly determine if a reply Sequence has been lost.

For examples of such recoveries, see figure C.1 and figure C.2.

#### **12.4.1.4 FCP\_XFER\_RDY IU recovery**

This procedure shall be used only by FCP devices that have agreed to Sequence level recovery.

If the LS\_ACC for an REC ELS indicates that an FCP\_XFER\_RDY IU was sent by the target FCP\_Port (i.e., by indicating that the initiator FCP\_Port holds Sequence Initiative, that all bytes were not transferred, and that the Exchange is not complete), but not received by the initiator FCP\_Port, the initiator FCP\_Port shall issue an SRR FCP\_LS request in a new Exchange to request retransmission of the FCP\_XFER\_RDY IU. To avoid race conditions between the LS\_ACC for an REC ELS and an FCP\_XFER\_RDY IU, the initiator FCP\_Port should wait REC\_TOV after receiving the LS\_ACC for an REC ELS before transmitting an SRR FCP\_LS request to recover for a lost FCP\_XFER\_RDY IU. If the initiator FCP\_Port receives an FCP\_XFER\_RDY IU for an Exchange after transmitting an REC ELS or within REC\_TOV time after receiving an LS\_ACC for an REC ELS, it shall continue normal processing of the FCP I/O operation for that Exchange and ignore the contents of the LS\_ACC.

The target FCP\_Port shall first transmit the FCP\_ACC for the SRR FCP\_LS request and then shall retransmit the FCP\_XFER\_RDY IU in a new Sequence containing the same Relative Offset as the originally transmitted



FCP\_XFER\_RDY IU. After the FCP\_XFER\_RDY IU is successfully received, the FCP I/O operation continues normally.

For examples of this type of recovery, see figure C.5 and figure C.6.

#### 12.4.1.5 FCP\_RSP IU recovery

This procedure shall be used only by FCP devices that have agreed to Sequence level recovery.

An error in transmitting an FCP\_RSP IU is detected if:

- a) the LS\_ACC for the REC ELS indicates that an FCP\_RSP IU was sent by the target FCP\_Port and no FCP\_CONF IU was requested (i.e., E\_STAT indicates that the Exchange is complete), but the initiator FCP\_Port has not yet received the FCP\_RSP IU; or
- b) the LS\_ACC for the REC ELS indicates that an FCP\_RSP IU Sequence was sent by the target FCP\_Port and an FCP\_CONF IU was requested (i.e., E\_STAT indicates that the Exchange is not complete, that the initiator FCP\_Port has Sequence Initiative, and that, if the data transfer was from the initiator FCP\_Port to the target FCP\_Port, the data transfer indicates that all of the bytes expected to be transferred by the command have been transferred.)

When an error in transmitting an FCP\_RSP IU is detected, the initiator FCP\_Port shall transmit an SRR FCP\_LS request in a new Exchange to request retransmission of the FCP\_RSP IU. The target FCP\_Port shall first transmit the LS\_ACC for the SRR FCP\_LS request, then shall retransmit the FCP\_RSP IU in a new Sequence.

An Exchange carrying a command that was terminated by a CHECK CONDITION requesting an FCP\_CONF IU prior to transferring data may have the same REC ELS values as an Exchange carrying a command having an FCP\_XFER\_RDY IU not received by the initiator FCP\_Port. For a command transferring data from the initiator FCP\_Port to the target FCP\_Port with a non-zero FCP\_DL, the parameters in the SRR FCP\_LS request shall indicate that an FCP\_XFER\_RDY IU is expected from the target FCP\_Port. The target FCP\_Port is aware of the actual present state of the transfer and response and shall either retransmit the FCP\_XFER\_RDY IU or, if the actual data transfer length for the command was zero, retransmit the FCP\_RSP IU.

For non-tagged command queuing operations, the target FCP\_Port shall retain the Exchange information until:

- a) the next FCP\_CMND IU has been received for that LUN from the same initiator FCP\_Port;
- b) an FCP\_CONF IU is received for the Exchange; or
- c) after RR\_TOV<sub>SEQ\_INIT</sub> times out.

For tagged command queuing operations, the target FCP\_Port shall retain Exchange information until:

- a) an FCP\_CONF IU is received for the Exchange; or
- b) after RR\_TOV<sub>SEQ\_INIT</sub> times out.

The Exchange information retained shall include data transfer information, data descriptors, and FCP\_RSP IU information.

If retransmission is enabled between the initiator FCP\_Port and target FCP\_Port, FCP\_RSP IU information shall be:

- a) discarded RR\_TOV<sub>SEQ\_INIT</sub> after the FCP\_RSP IU was transmitted to the initiator FCP\_Port;
- b) discarded after a new FCP\_CMND IU is received with:
  - A) the same OX\_ID;
  - B) the same S\_ID; and
  - C) the same task retry identifier is received; or
- c) discarded after an FCP\_CONF IU is received.

If retransmission is not enabled between the initiator FCP\_Port and target FCP\_Port, FCP\_RSP information may be discarded immediately after the FCP\_RSP IU has been transmitted to the initiator FCP\_Port.

The value of RR\_TOV<sub>SEQ\_INIT</sub> is set using the Fibre Channel Port Control mode page (see 10.4.10).

If task retry identification has been agreed to by both the initiator FCP\_Port and target FCP\_Port, the same task retry value identifier value shall not be used within  $RR\_TOV_{SEQ\_INIT}$ .

Examples of FCP\_RSP IU recoveries are provided in figure C.8 through figure C.12.

#### **12.4.1.6 FCP\_DATA IU recovery - write operations**

This procedure shall be used only by FCP devices that have agreed to Sequence level recovery.

If the LS\_ACC for an REC ELS indicates that an FCP\_DATA IU was sent by the initiator FCP\_Port, but not received by the target FCP\_Port (i.e., the data received count in the REC ELS response is smaller than what the initiator FCP\_Port sent, and the target FCP\_Port indicates it does not hold Sequence Initiative), then the initiator FCP\_Port shall transmit an SRR FCP\_LS request in a new Exchange to request retransmission of an FCP\_XFER\_RDY IU to request the missing data. The target FCP\_Port discards the Sequence in error, but does not initiate any recovery action for Class 3 (see 12.3.5). After first transmitting the FCP\_ACC for the SRR FCP\_LS request, the target FCP\_Port transmits an FCP\_XFER\_RDY IU in a new Sequence with the Relative Offset parameter specified by the SRR FCP\_LS request. The initiator FCP\_Port responds with the requested data.

The FCP\_DATA IU shall be retransmitted in a new Sequence. For acknowledged classes, the SEQ\_CNT field value shall be one greater than that used to transmit the last Sequence, usually the ABTS. For unacknowledged classes, the SEQ\_CNT field value may start at zero, even if continuously increasing sequence count is being used.

Examples of data recovery during write operations are provided in figure C.13 through figure C.16.

#### **12.4.1.7 FCP\_DATA IU recovery - read operations**

This procedure shall be used only by FCP devices that have agreed to Sequence level recovery.

If the LS\_ACC for the REC ELS indicates that data was sent by the target FCP\_Port but not successfully received by the initiator FCP\_Port (i.e., by indicating a data sent count greater than the initiator FCP\_Port has successfully received), then the initiator FCP\_Port shall transmit an SRR FCP\_LS request in a new Exchange to request retransmission of the FCP\_DATA IU that was not successfully received. The initiator FCP\_Port shall set the RELATIVE OFFSET field in the SRR FCP\_LS request to that of the next data requested. If the initiator FCP\_Port is unable to determine the Relative Offset of the next data requested, the initiator FCP\_Port shall set the RELATIVE OFFSET field to zero. The target FCP\_Port shall first transmit the FCP\_ACC for the SRR FCP\_LS request, then shall retransmit the requested data specified by the SRR FCP\_LS request in a new Sequence, and then complete the Exchange in the normal manner, including transmitting or retransmitting the FCP\_RSP IU. If the target FCP\_Port responds to the SRR FCP\_LS request with an FCP\_RJT and an FCP\_RSP IU has not yet been sent or is again requested, the device server shall transmit an FCP\_RSP IU with CHECK CONDITION status and sense data containing a sense key of HARDWARE ERROR and an additional sense code of INITIATOR DETECTED ERROR MESSAGE RECEIVED.

The FCP\_DATA IU shall be retransmitted in a new Sequence. For acknowledged classes, the SEQ\_CNT field value shall be one greater than that used to transmit the last Sequence, usually the ABTS. For unacknowledged classes, the SEQ\_CNT field value may start at zero, even if continuously increasing sequence count is being used.

It is the responsibility of the initiator FCP\_Port to determine the action (e.g., retry, allow ULP timeout, or return status to ULP) based on the information determined by the REC ELS and other internal states. The target FCP\_Port does not initiate error recovery for Class 3 (see 12.3.5).

Examples of data recovery during read operations are provided in figure C.17 through figure C.20.

#### 12.4.1.8 FCP\_CONF IU recovery

This procedure may be used whether or not an FCP device has agreed to Sequence level recovery.

This recovery procedure is used by target devices using all service classes.

Target FCP\_Ports that implement confirmed completion shall set the RX\_ID field value to a unique value other than FFFFh for each Exchange to enable unambiguous recovery.

If the target FCP\_Port has requested that the initiator FCP\_Port transmit an FCP\_CONF IU by setting the FCP\_CONF\_REQ in the FCP\_RSP IU, then the target FCP\_Port may periodically poll the initiator FCP\_Port by transmitting an REC ELS to the initiator FCP\_Port to determine if the FCP\_CONF has been transmitted. Timing of polling with the REC ELS is controlled by REC\_TOV.

If the initiator FCP\_Port has sent the FCP\_CONF IU, the response to the REC ELS from the target FCP\_Port shall be a LS\_RJT with the reason code of "Logical error" and reason code explanation set to "Invalid OX\_ID-RX\_ID combination". The target FCP\_Port shall assume that the FCP\_CONF IU was sent and release the Exchange.

If the initiator FCP\_Port has received the FCP\_RSP IU with the FCP\_CONF\_REQ bit set to one and has not sent the FCP\_CONF IU before the REC ELS is received, the REC ELS response shall be an LS\_ACC indicating the Exchange is still open. In this case the target FCP\_Port shall wait REC\_TOV and, if the FCP\_CONF IU has not been received, transmit another REC ELS. The target FCP\_Port shall repeat this process until the FCP\_CONF IU is received, a new FCP\_CMND IU is received with the same OX\_ID field value as the Exchange waiting for the FCP\_CONF IU, or until the Exchange is aborted.

If another FCP\_CMND IU is received by the target FCP\_Port with the same OX\_ID field value as an Exchange waiting for an FCP\_CONF IU and with the RX\_ID field value unassigned, the target FCP\_Port shall assume that the FCP\_CONF IU was sent and release the Exchange.

Examples of recovery of FCP\_CONF IUs are provided in figure C.23 through figure C.25.

#### 12.4.2 Additional error recovery requirements

##### 12.4.2.1 Error indicated in ACK

If an ACK is received with the F\_CTL field Abort Sequence Condition bits set to Abort Sequence, Perform ABTS, the Sequence Initiator shall transmit an ABTS for the Sequence. After R\_A\_TOV times out, an RRQ ELS request shall be transmitted by the Sequence Initiator.

##### 12.4.2.2 Missing ACK

FC-FS-3 requires that an ABTS(Sequence) be transmitted by a Sequence Initiator detecting a missing ACK. If no ACK has been received within E\_D\_TOV, the target FCP\_Port shall abort the sequence by transmitting an ABTS request with the PARAMETER field bit 0 set to one (i.e., Abort Sequence). If a Recovery Qualifier range is returned in the BA\_ACC for the ABTS the target FCP\_Port shall transmit an RRQ ELS request at least R\_A\_TOV<sub>SEQ\_QUAL</sub> after receipt of the BA\_ACC. Adjustment of subsequent sequence counts may be required as specified by FC-FS-3.

##### 12.4.2.3 Distinguishing Exchange to be aborted

When OX\_ID field values are reused within R\_A\_TOV and RX\_ID field values are not used, and if there is a missing ACK to an FCP\_RSP IU, a target FCP\_Port may attempt to abort a more recent Exchange that used the same OX\_ID field value. To prevent that, a target FCP\_Port using acknowledged service behavior and performing error recovery shall:

- a) set the RX\_ID field to a value other than FFFFh to distinguish outstanding Exchanges as described in FC-FS-3; or

- b) always request FCP\_CONF IU.

If a Sequence error is detected for an FCP\_DATA IU performing a Data-Out action, the target FCP\_Port shall transmit an ACK frame with the Abort Sequence Condition bits set to "Abort Perform ABTS".

Examples of data recovery for acknowledged services are shown in Annex C.

ABTS-LS shall be transmitted for Exchanges that were not successfully recovered by the specified error recovery procedures.

## **12.5 Second-level error recovery**

### **12.5.1 ABTS error recovery**

If a response to an ABTS is not received within 2 times  $R\_A\_TOV_{ELS}$ , the initiator FCP\_Port may transmit the ABTS again, attempt other retry operations allowed by FC-FS-3, or explicitly logout the target FCP\_Port. If those retry operations attempted are unsuccessful, the initiator FCP\_Port shall explicitly logout (i.e., transmit a LOGO ELS) the target FCP\_Port. All outstanding Exchanges with that target FCP\_Port are terminated at the initiator FCP\_Port.

### **12.5.2 REC ELS request error recovery**

If a response to an REC ELS is not received within 2 times  $R\_A\_TOV_{ELS}$ , the initiator FCP\_Port shall:

- 1) transmit an ABTS-LS for the REC ELS request followed by an RRQ ELS request if a BA\_ACC is received for the ABTS-LS; and
- 2) transmit another REC ELS request in a new Exchange.

If the response to the second REC ELS is not received within 2 times  $R\_A\_TOV_{ELS}$ , the initiator FCP\_Port should transmit an ABTS-LS for the REC ELS followed by an RRQ ELS if a BA\_ACC is received for the ABTS-LS.

Other retry mechanisms after the second REC ELS request fails are optional and, if implemented, shall comply with FC-FS-3.

ABTS-LS may be required to clear resources associated with the original failing Exchange if the retry mechanisms are not successful.

See figure C.26 through figure C.29.

### **12.5.3 SRR FCP\_LS request error recovery**

If a response to an SRR FCP\_LS request is not received within 2 times  $R\_A\_TOV_{ELS}$ , the initiator FCP\_Port shall transmit an ABTS-LS for the SRR FCP\_LS request followed by an RRQ ELS if a BA\_ACC is received for the ABTS-LS. The initiator FCP\_Port shall then transmit an ABTS-LS for the original Exchange.

See figure C.30 through figure C.33.

## **12.6 Responses to FCP type frames before port login or process login**

If a target FCP\_Port receives an FCP\_CMND IU from an FCP\_Port that is not successfully logged into the target FCP\_Port using either an implicit or explicit login, then it shall discard the FCP\_CMND IU and, in a new Exchange, transmit a LOGO ELS request to that FCP\_Port. No Exchange is created in the target FCP\_Port for the discarded request, and the Originator of the discarded request terminates the Exchange associated with the discarded request and any other open Exchanges for the target FCP\_Port transmitting the LOGO ELS.

If a target FCP\_Port receives an FCP\_CMND IU from an FCP\_Port that has not successfully completed either implicit or explicit Process Login with the target FCP\_Port, it shall discard the FCP\_CMND IU and transmit a PRLO ELS to the initiator FCP\_Port. No Exchange is created in the recipient FCP\_Port for the discarded

request, and the Originator of the discarded request terminates the Exchange associated with the discarded request.

If an FCP device receives a frame of category 0001b or 0011b (i.e., solicited data or solicited control) and the FCP device has not performed successful implicit or explicit login and Process Login with the source of the frame, the FCP device shall discard and ignore the content of the frame. If login is not completed, the FCP device may transmit a LOGO ELS request to the source of the unexpected frame. If login is completed, but Process Login is not completed, the FCP device may transmit a PRLO ELS request to the source of the unexpected frame.

## Annex A

(normative)

### SAM-4 mapping to FCP-4

#### A.1 Definition of procedure terms

FCP-4 services are provided to the application client by the initiator FCP\_Port to request and manage tasks as described by the SAM-4 standard. SAM-4 further defines how the target FCP\_Port enables the device server to receive and process the tasks addressed to a logical unit. The Fibre Channel protocol is described in terms of the services provided by the initiator FCP\_Port and target FCP\_Port.

See table A.1 for the mapping of SAM-4 terms to the equivalent FCP-4 objects and identifiers.

**Table A.1 - Mapping of SAM-4 terms to FCP-4 objects and identifiers**

SAM-4 terms	Equivalent FCP-4 terms
initiator port identifier	address identifier of initiator FCP_Port
target port identifier	address identifier of target FCP_Port
initiator port name	Port_Name of initiator FCP_Port
target port name	Port_Name of target FCP_Port
command identifier <sup>1</sup>	OX_ID
I_T nexus	address identifier of initiator FCP_Port + address identifier of target FCP_Port
I_T_L_Q nexus <sup>2</sup>	fully qualified exchange identifier + FCP_LUN
NOTES:  1 If retransmission is enabled, the task retry identifier is also used to construct the command identifier.  2 If retransmission is enabled, the task retry identifier is also used to construct the nexus.	

See table A.2 for the definitions of the terms used by this standard and the equivalent SAM-4 names of the terms, the name of the standard where the procedure terms are defined, the standard where the binary contents of the terms are defined, and the routing of the terms. The routing shows:

- a) the originating object of the term;
- b) the object that is the final destination of the term; and
- c) the objects that the term moves through to reach the final destination object.

**Table A.2 - Procedure terms**

<b>FCP terms</b>	<b>Standard where term defined</b>	<b>Standard where binary contents of term defined</b>	<b>Term routing</b>
application client buffer offset	SAM-4	SAM-4	DS → targ → init
data buffer size	SAM-4	SAM-4	AC → init
command descriptor block	SAM-4	SAM-4/cmd (note 1)	AC → init → targ → DS
Data-In Buffer	SAM-4	cmd (note 2)	DS → targ → init → AC
Data-Out Buffer	SAM-4	cmd (note 2)	AC → init → targ → DS
device server buffer	SAM-4	cmd (note 2)	DS → targ → init
initiator SCSI ID	SAM-4	this standard	DS → targ or TM → targ
link control function	this standard	this standard	AC → init → targ
logical unit number	SAM-4	this standard	AC → init → targ → DS or AC → init → targ → TM or DS → targ → init
request byte count	SAM-4	SAM-4	DS → targ
service response	SAM-4	this standard	DS → targ → init → AC or targ → DS
status	SAM-4	SAM-4	DS → targ → init → AC
command identifier	SAM-4	this standard	AC → init → targ → DS or AC → init → targ → TM or DS → targ → init
target port identifier	SAM-4	this standard	AC → init → targ → DS or AC → init → targ → TM or DS → targ
target port identifier + initiator port identifier	this standard	this standard	targ → DS or targ → TM
task attribute	SAM-4	this standard	AC → init → targ → DS
Key: AC = application client, cmd = SCSI command standards, DS = device server, init = initiator, TM = task manager, targ = target			
<b>Notes</b> 1) The portions not defined in SAM-4 are defined in the SCSI command standards (e.g. SPC-4). 2) Parameter lists are defined within one of the SCSI command standards (e.g., SPC-4). SCSI standards do not define non-parameter list information.			

# Annex B

(informative)

## FCP examples

### B.1 Examples of the use of FCP Information Units (IUs)

#### B.1.1 Overview of examples

This annex provides examples of the use of FCP IUs. The functions enclosed in square brackets summarize actions that are not specified by this standard, but are typically performed by SCSI initiators or targets. Sequence streaming may be performed between any two IUs that do not transfer Sequence Initiative.

#### B.1.2 SCSI FCP read operation

A typical SCSI FCP read operation with a single data IU is shown in table B.1.

**Table B.1 - FCP read operation, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request	T1, FCP_CMND →	
		[Prepare data transfer]
	← I3, FCP_DATA	Data-In action
		[Prepare response message]
	← I4, FCP_RSP	Response
[Indicate command completion]		



### B.1.3 SCSI FCP write operation

A typical SCSI FCP write operation with three data IUs and using FCP\_XFER\_RDY is shown in table B.2.

**Table B.2 - FCP write operation, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request	T1, FCP_CMND →	
		[Prepare Data-Out transfer buffer]
	← I1, FCP_XFER_RDY	First data delivery request
First Data-Out Action	T6, FCP_DATA →	
	← I1, FCP_XFER_RDY	Second data delivery request
Second Data-Out Action	T6, FCP_DATA →	
	← I1, FCP_XFER_RDY	Last data delivery request
Last Data-Out Action	T6, FCP_DATA →	
		[Prepare response message]
	← I4, FCP_RSP	Response
[Indicate command completion]		

### B.1.4 SCSI FCP operation with no data transfer or with check condition

A typical SCSI FCP operation terminating without data transfer, either because of an error or because the SCSI command does not require any data transfer, is shown in table B.3.

**Table B.3 - FCP operation without data transfer, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request	T1, FCP_CMND →	
		[perform command]
	← I4, FCP_RSP	Response
[Indicate command completion]		

### B.1.5 SCSI FCP read operation with multiple FCP\_DATA IUs

A typical SCSI read operation with multiple FCP\_DATA IUs is shown in table B.4.

**Table B.4 - FCP read operation, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request	T1, FCP_CMND →	
		[Prepare data transfer]
	← I3, FCP_DATA	Data-In action
	← I3, FCP_DATA	Data-In action
	← I3, FCP_DATA	Data-In action
		[Prepare response message]
	← I4, FCP_RSP	Response
[Indicate command completion]		

### B.1.6 SCSI FCP write operation with FCP\_XFER\_RDY disabled

A typical SCSI write operation performed with FCP\_XFER\_RDY disabled is shown in table B.5. Only the first transfer is performed without a requesting FCP\_XFER\_RDY.

**Table B.5 - FCP write operation with FCP\_XFER\_RDY disabled, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request	T2, FCP_CMND →	
Data-Out Action	T6, FCP_DATA →	
	← I1, FCP_XFER_RDY	Second data delivery request
Data-Out Action	T6, FCP_DATA →	
	← I1, FCP_XFER_RDY	Last data delivery request
Data-Out Action	T6, FCP_DATA →	
		[Prepare response message]
	← I4, FCP_RSP	Response
[Indicate command completion]		

### B.1.7 SCSI FCP bidirectional command with write before read

A typical SCSI FCP bidirectional command with a single data IU transferred in each direction is shown in table B.6. The command in the example accepts write data before returning read data.

**Table B.6 - FCP bidirectional command with write before read, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request	T1, FCP_CMND →	
		[Prepare Data-Out transfer buffer]
	← I1, FCP_XFER_RDY	Data-Out delivery request
Data-Out action	T6, FCP_DATA →	
		[Prepare Data-In transfer]
	← I3, FCP_DATA	Data-In action
		[Prepare response message]
	← I4, FCP_RSP	Response
[indicate command completion]		

### B.1.8 SCSI FCP bidirectional command with read before write

A typical SCSI FCP bidirectional command with a single data IU transferred in each direction is shown in table B.7. The command in the example accepts write data before returning read data.

**Table B.7 - FCP bidirectional command with read before write, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request	T1, FCP_CMND →	
		[Prepare Data-In transfer]
	← I3, FCP_DATA	Data-In action
		[Prepare Data-Out transfer buffer]
	← I1, FCP_XFER_RDY	Data-Out delivery request
Data-Out action	T6, FCP_DATA →	
		[Prepare response message]
	← I4, FCP_RSP	Response
[indicate command completion]		

### B.1.9 SCSI FCP bidirectional command, write first, write FCP\_XFER\_RDY disabled

A SCSI FCP bidirectional command with three write data IUs and one read data IU is shown in table B.8. The command in the example accepts write data before returning read data. The initial write FCP\_XFER\_RDY IU has been disabled during Process Login.

**Table B.8 - FCP bidirectional command, write FCP\_XFER\_RDY disabled, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request	T1, FCP_CMND →	
Data-Out action	T6, FCP_DATA →	
	← I1, FCP_XFER_RDY	Second Data-Out delivery request
Data-Out action	T6, FCP_DATA →	
	← I1, FCP_XFER_RDY	Last Data-Out delivery request
Data-Out action	T6, FCP_DATA →	
		[Prepare Data-In transfer]
	← I3, FCP_DATA	Data-In action
		[Prepare response message]
	← I4, FCP_RSP	Response
[indicate command completion]		

### B.1.10 SCSI FCP bidirectional command with intermixed writes and reads

A SCSI FCP bidirectional command with three data IUs transferred in each direction is shown in table B.9. The command in the example accepts some write data before returning read data, but intermixes writes and reads thereafter.

**Table B.9 - FCP bidirectional command with intermixed writes and reads, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request	T1, FCP_CMND →	
		[Prepare Data-Out transfer buffer]
	← I1, FCP_XFER_RDY	First Data-Out delivery request
Data-Out action	T6, FCP_DATA →	
		[Prepare Data-In transfer]
	← I3, FCP_DATA	First Data-In action
	← I1, FCP_XFER_RDY	Second Data-Out delivery request
Data-Out action	T6, FCP_DATA →	
	← I1, FCP_XFER_RDY	Last Data-Out delivery request
Data-Out action	T6, FCP_DATA →	
	← I3, FCP_DATA	Second Data-In action
	← I3, FCP_DATA	Last Data-In action
		[Prepare response message]
	← I4, FCP_RSP	Response
[indicate command completion]		

### B.1.11 SCSI linked commands

A SCSI WRITE command linked after a SCSI READ command is shown in table B.10. The WRITE command is using the FCP\_XFER\_RDY IU. INTERMEDIATE Status in the FCP\_RSP, together with the link control bits present in the CDB of the FCP\_CMND indicate that the second operation is linked to the first.

**Table B.10 - FCP linked commands, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request (READ)	T1, FCP_CMND →	
		[Prepare data transfer]
	← I3, FCP_DATA	Data-In action
		[Prepare response message]
	← I5, FCP_RSP	Response (INTERMEDIATE or INTERMEDIATE CONDITION MET status)
[Perform command linking]		
Command request (WRITE)	T3, FCP_CMND →	
		[Prepare data transfer buffer]
	← I1, FCP_XFER_RDY	Data delivery request
Data-Out Action	T6, FCP_DATA →	
		[Prepare response message]
	← I4, FCP_RSP	Response
[Indicate command completion]		

### B.1.12 SCSI WRITE command with confirmed completion

A SCSI WRITE command with confirmed completion is shown in table B.11.

**Table B.11 - FCP write command with confirmed completion**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request (WRITE)	T1, FCP_CMND →	
		[Prepare data transfer]
	← I1, FCP_XFER_RDY	Data delivery request
Data-Out action	T6, FCP_DATA →	
		[Prepare response message]
	← I5, FCP_RSP	Response, with FCP_CONF_REQ
[indicate command completion]		
Confirm completion	T12, FCP_CONF →	[Accept confirmation]

### B.1.13 SCSI FCP task management function

An example of a SCSI Task Management function is shown in table B.12. Additional link services may be required in some cases to complete the activities initiated by the Task Management function.

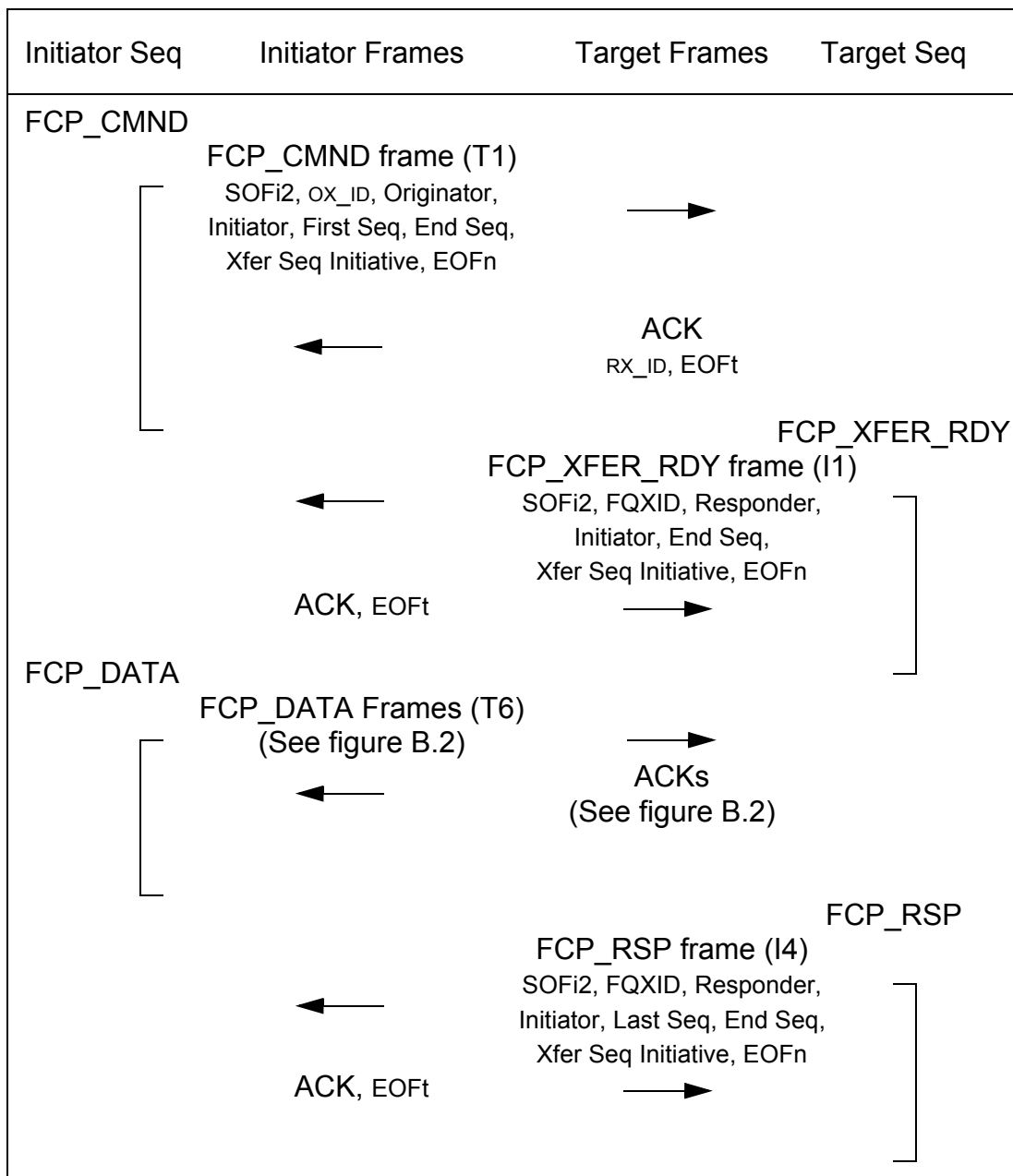
**Table B.12 - FCP task management function, example**

Initiator FCP_Port function	IU	Target FCP_Port function
Command request, no CDB	T1, FCP_CMND →	
		[Do Task Management]
	← I4, FCP_RSP	Response
[Indicate task management complete]		

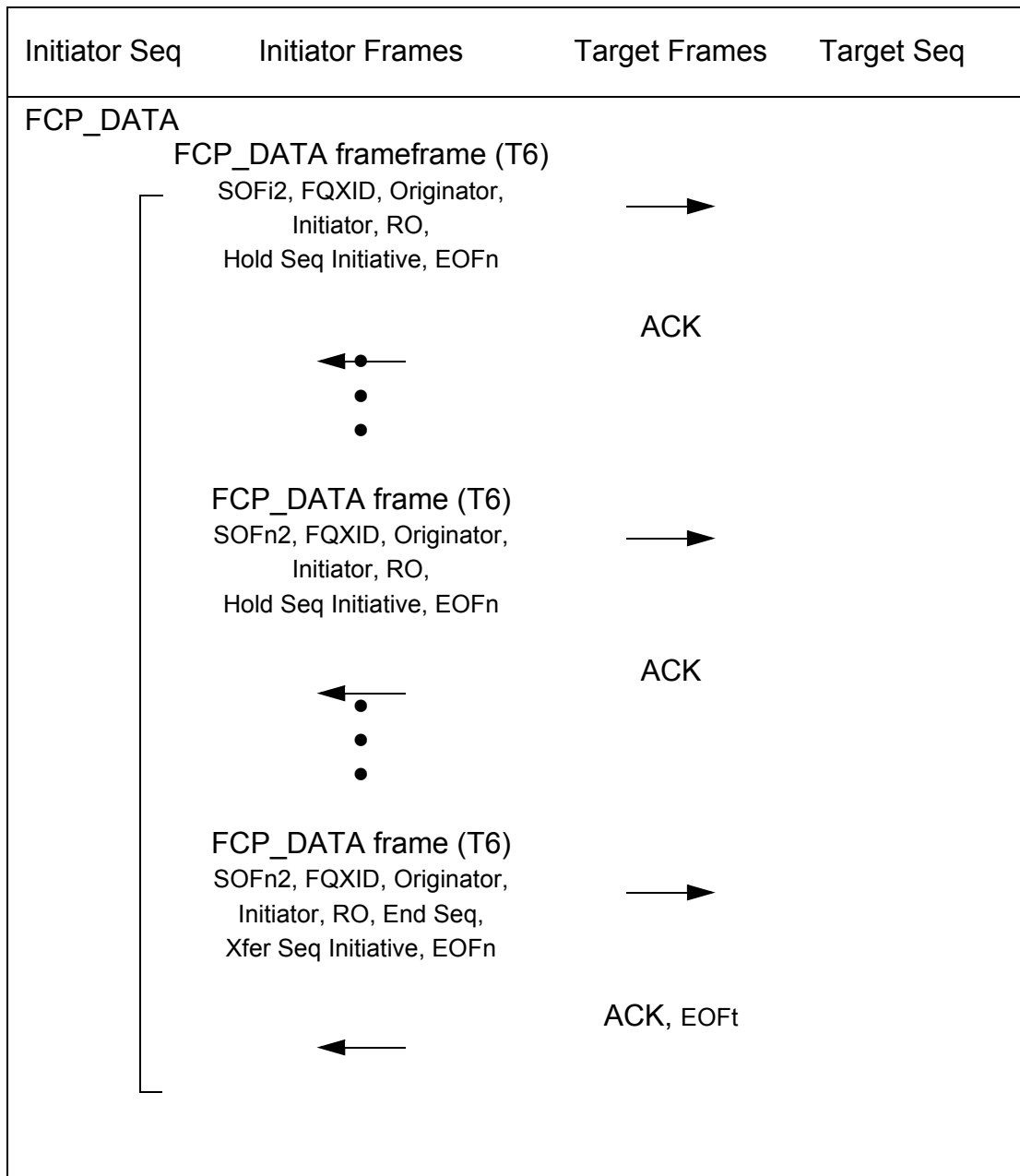
## B.2 FCP write example, frame level

A chart of the Sequences and frames typically transmitted to perform an FCP write is shown in figure B.1. All frames of a Sequence have a frame level FC-FS-3 acknowledgment returned automatically as part of the link control.

**Figure B.1 - Example of class 2 FCP write operation**



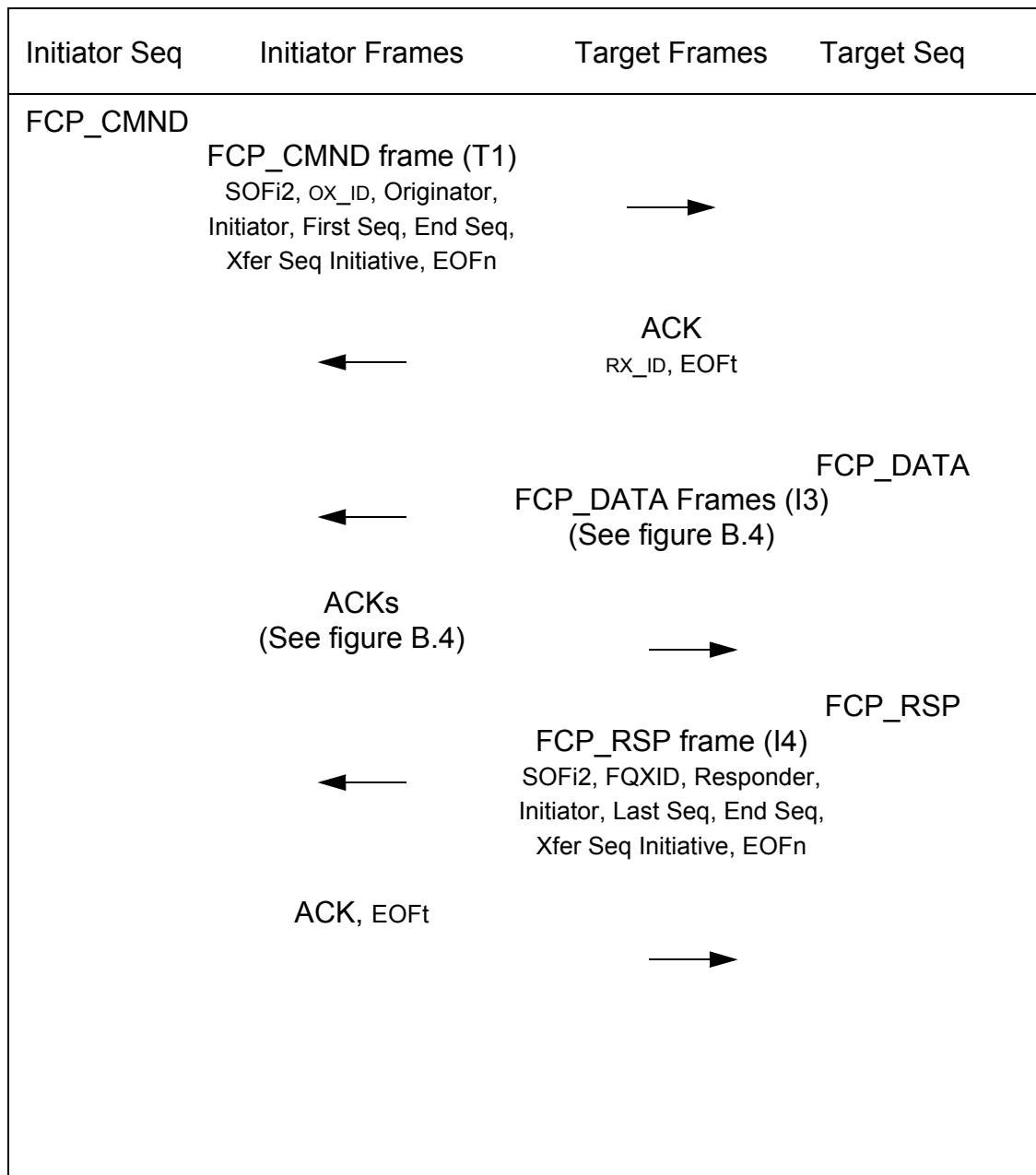


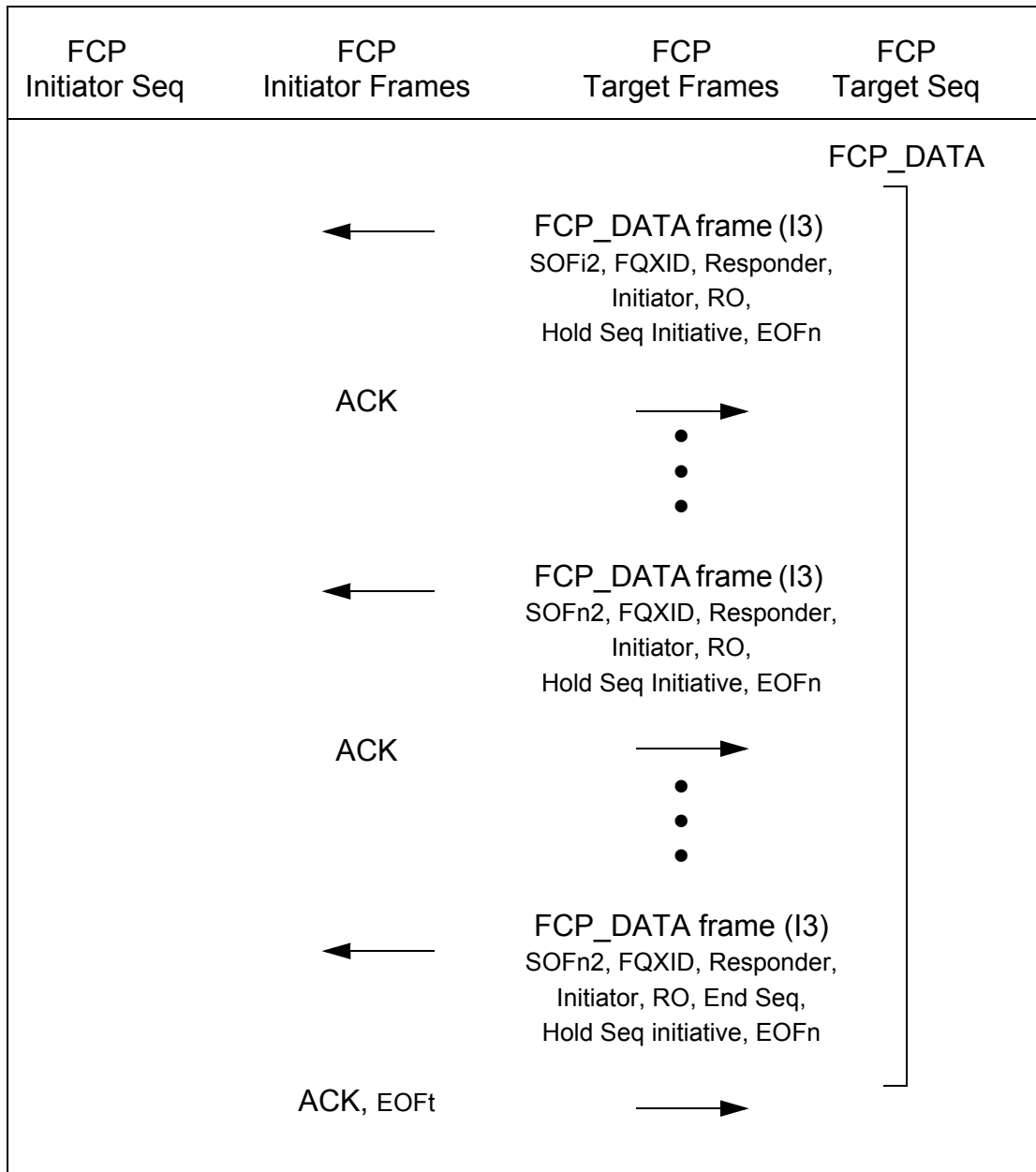
**Figure B.2 - Example of class 2 FCP\_DATA write**

### B.3 FCP read example, frame level

A chart of the Sequences typically transmitted to perform an FCP read is shown in figure B.3. All frames of a Sequence have a frame level FC-FS-3 acknowledgment returned automatically as part of the link control.

**Figure B.3 - Example of class 2 FCP read operation**



**Figure B.4 - Example of class 2 FCP\_DATA read**

## Annex C



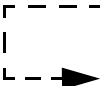
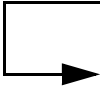

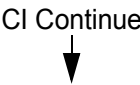
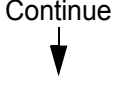
(informative)

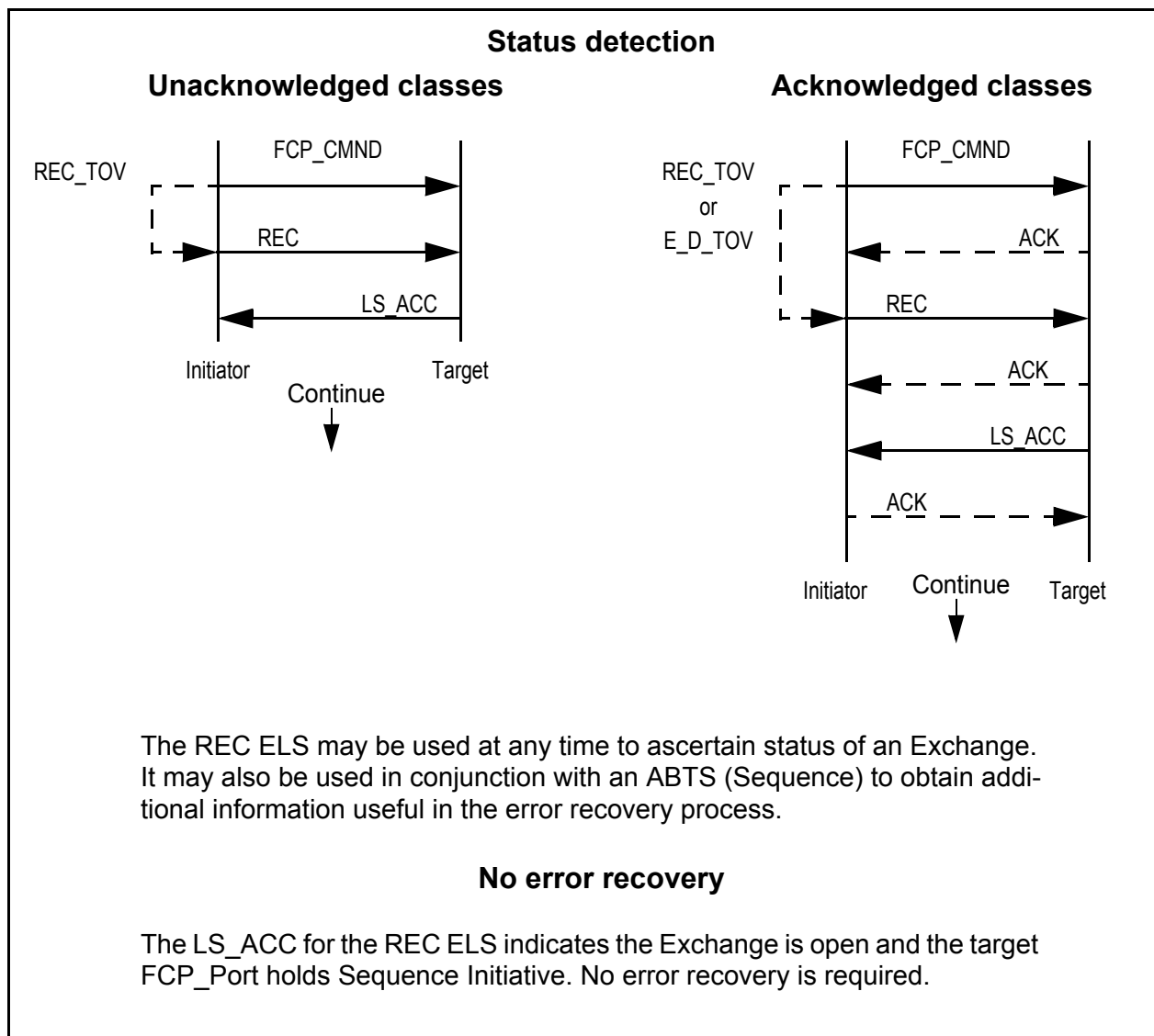
### Error detection and recovery action examples

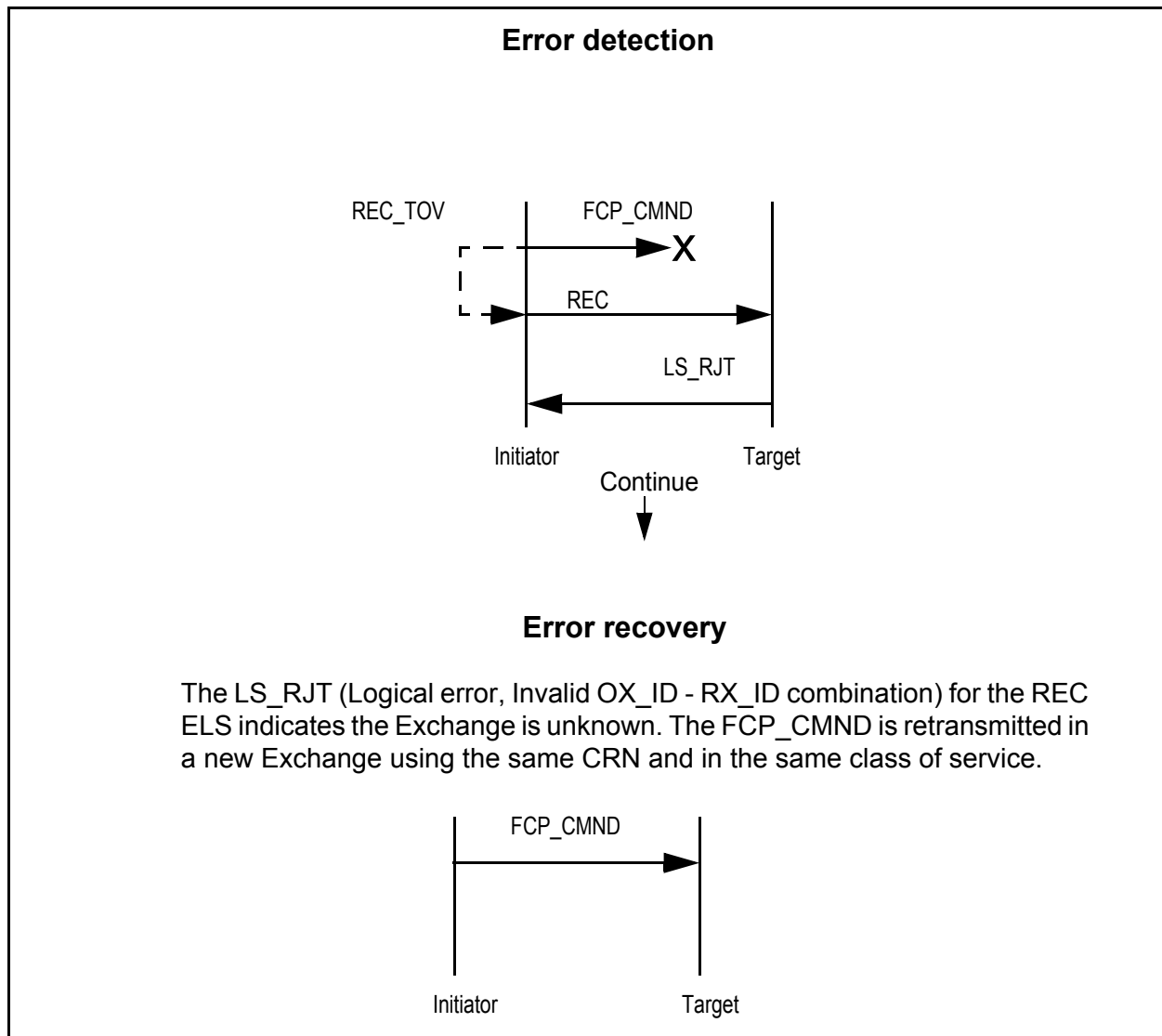
#### C.1 Introduction

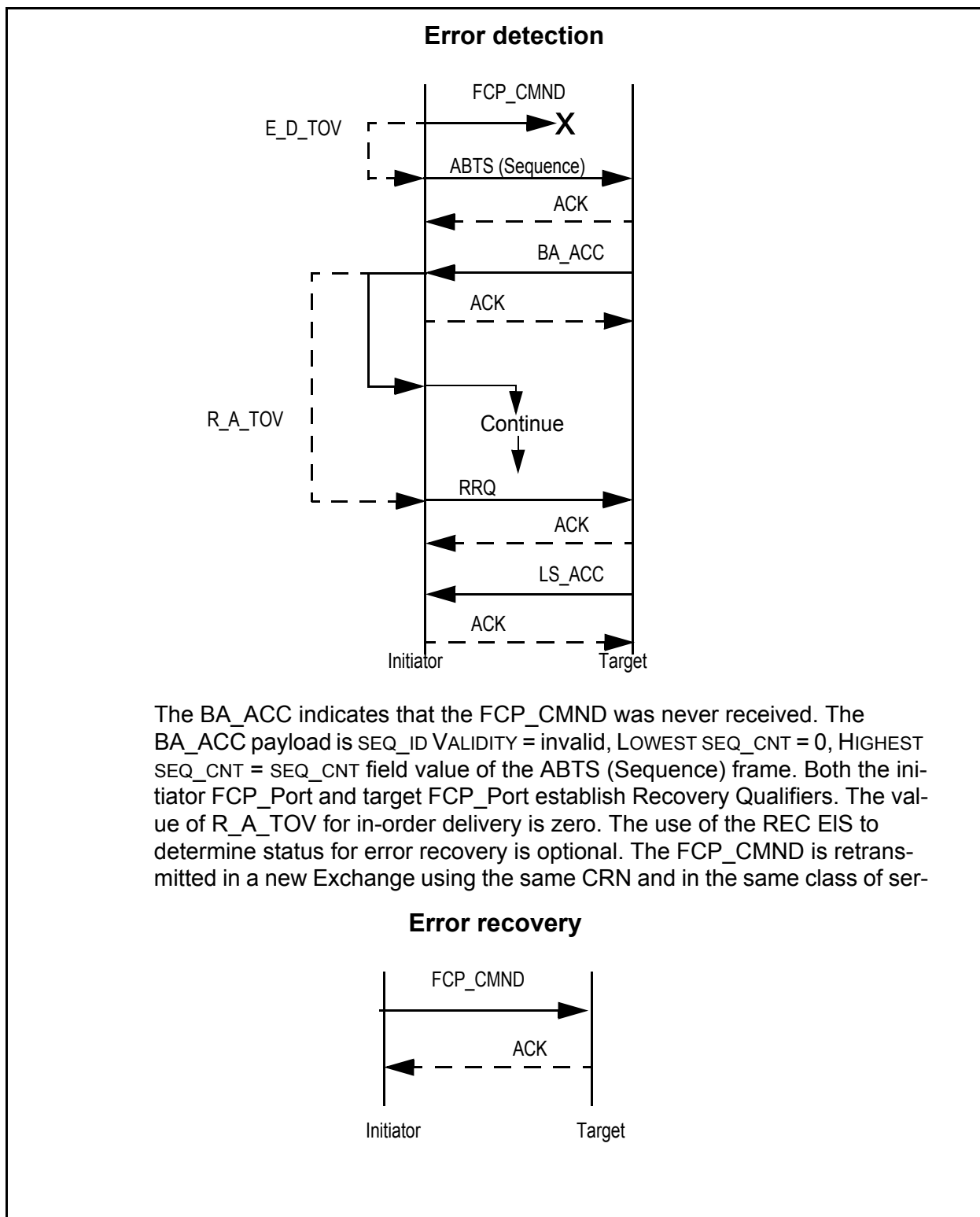
This informative annex diagrams various error detection and recovery procedures for FCP devices conforming to this standard. These examples include cases where recovery mechanisms specified by FC-FS-3 interact with recovery mechanisms specified by this standard. The conventions for the diagrams are shown in table C.1.

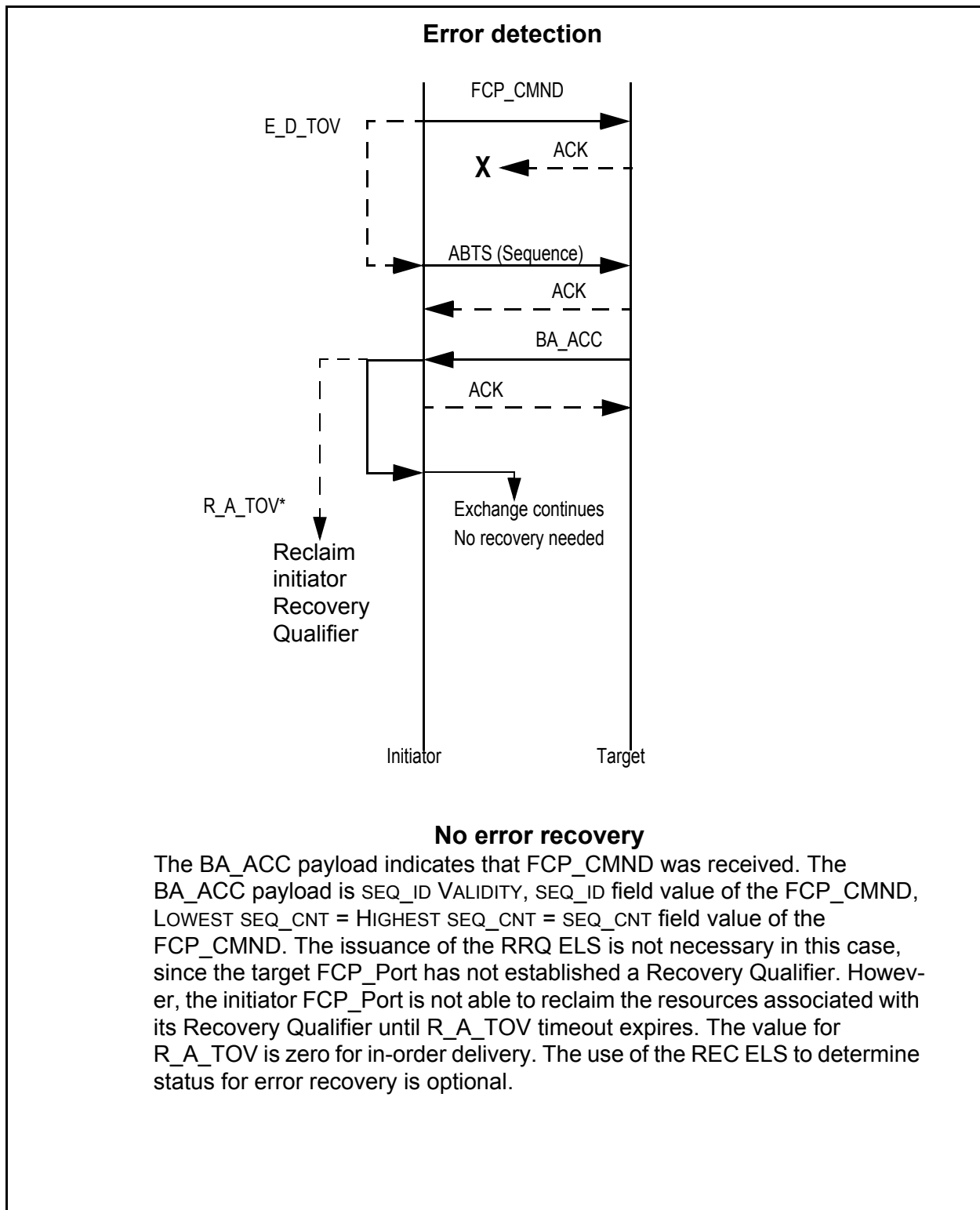
**Table C.1 - Diagram drawing conventions**

Drawing convention	Meaning
	Acknowledged or unacknowledged frame.
	Acknowledgement frame.
	Timeout value exceeded, caused transmission of IU, FC-4 LS, or ELS.
	IU or ELS received is processed to transmit IU, FC-4 LS, or ELS.
	Frame lost or dropped.
	Error detection complete. Operation continues with specified error recovery if continuously increasing Sequence count prerequisites are met.
	Error detection complete. Operation continues with specified error recovery if continuously increasing Sequence count prerequisites are not met.

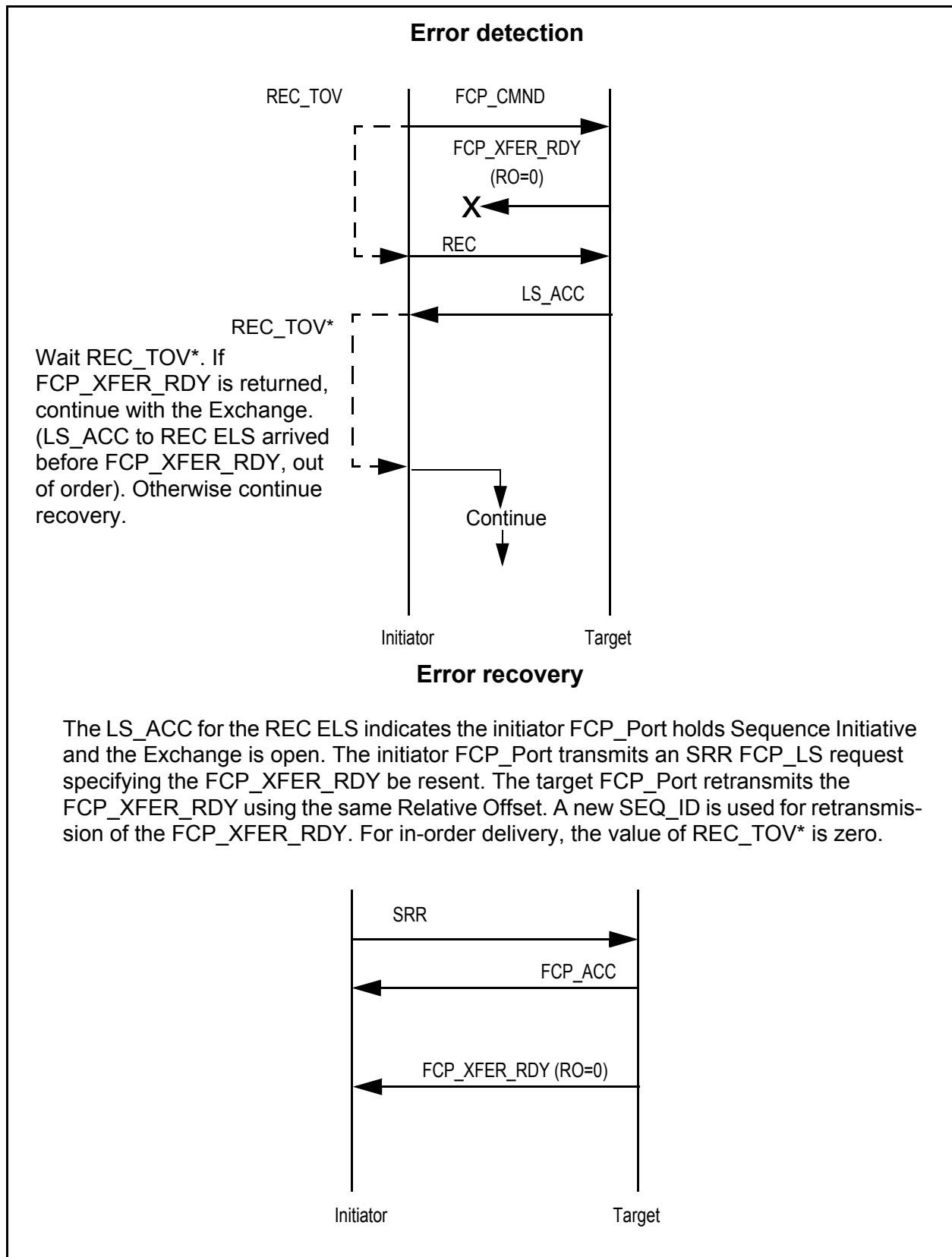
**Figure C.1 - Lengthy FCP\_CMND or lost ACK**

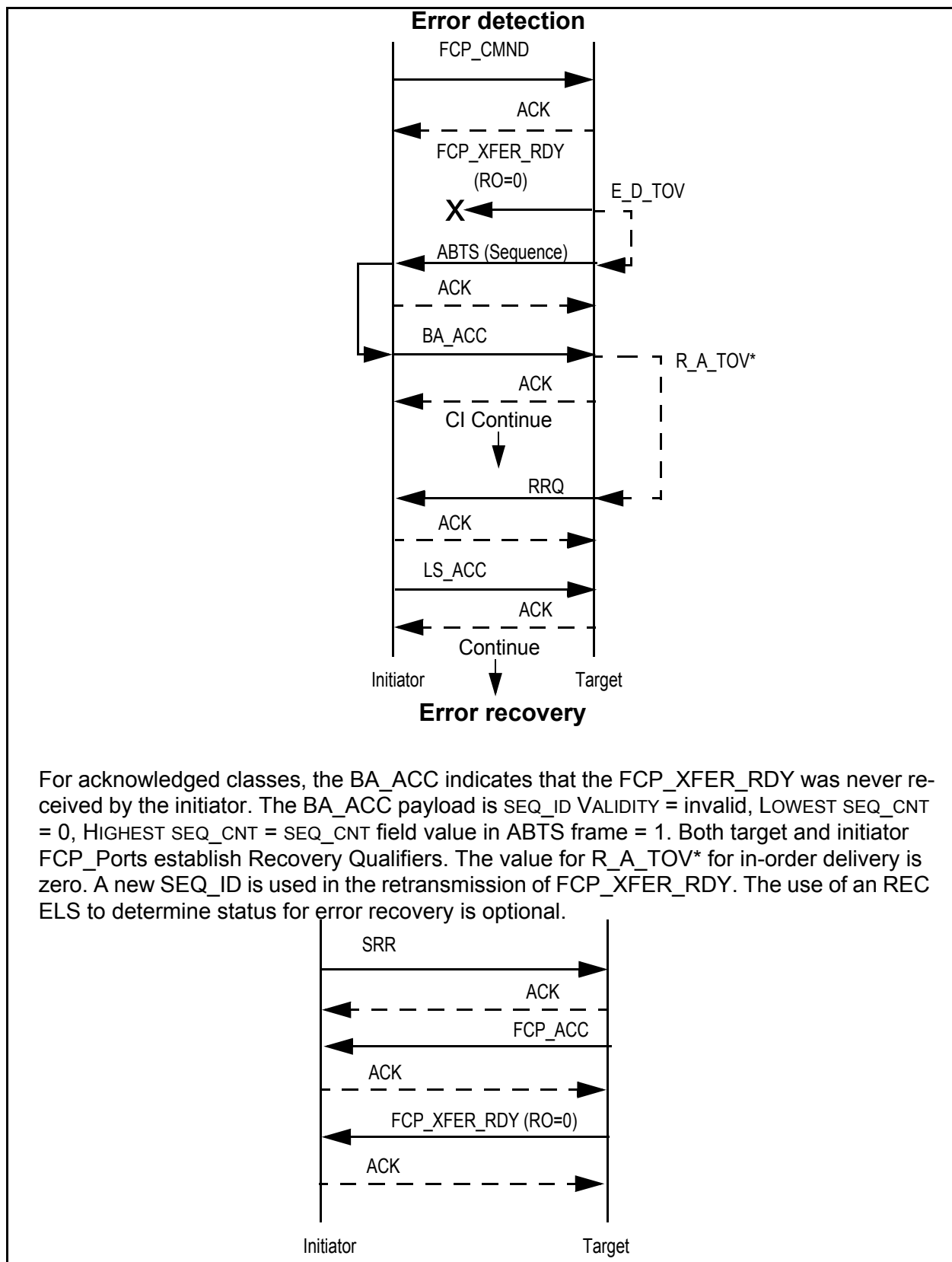
**Figure C.2 - FCP\_CMND lost, unacknowledged classes**

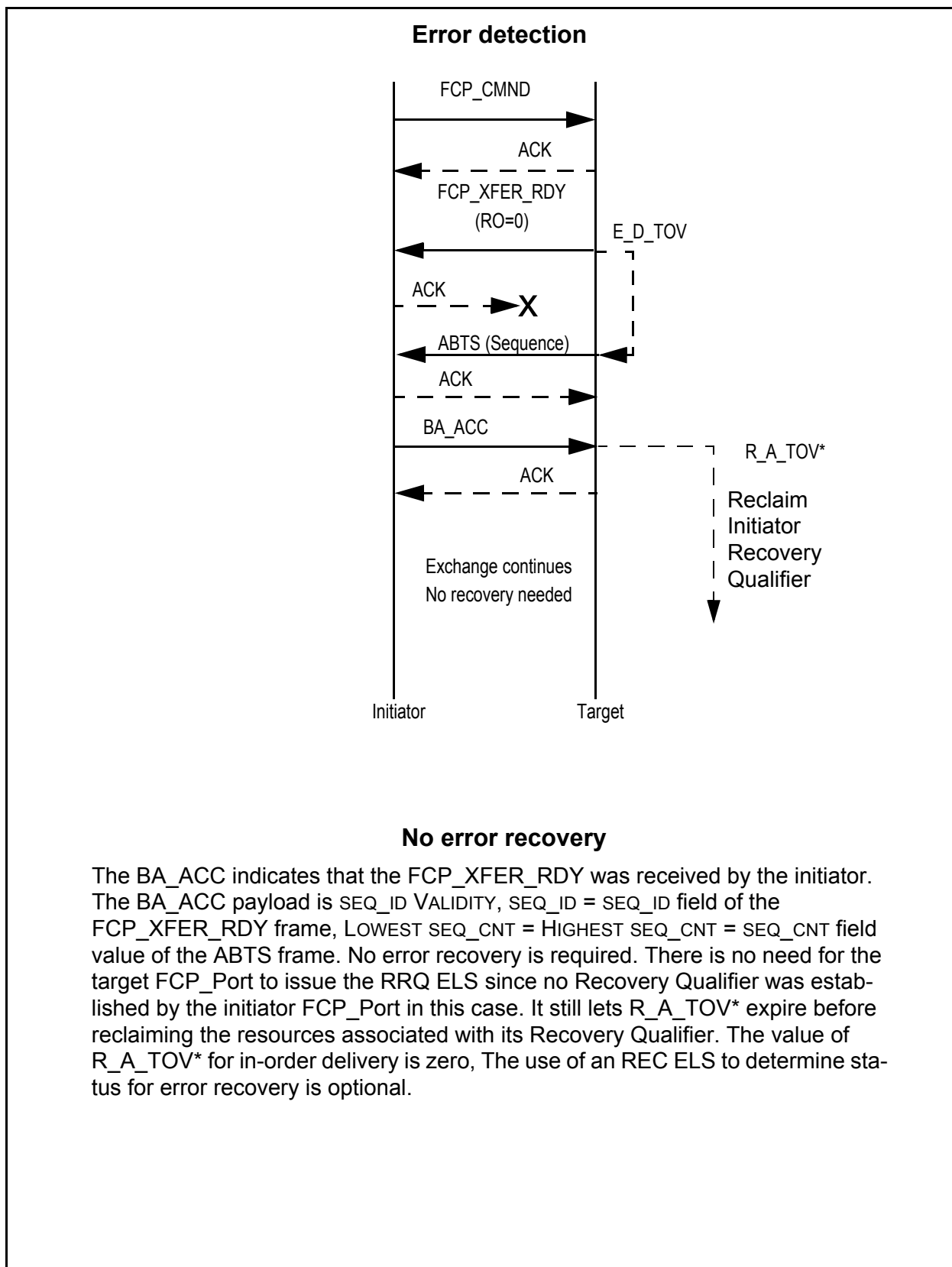
**Figure C.3 - FCP\_CMND lost, acknowledged classes**

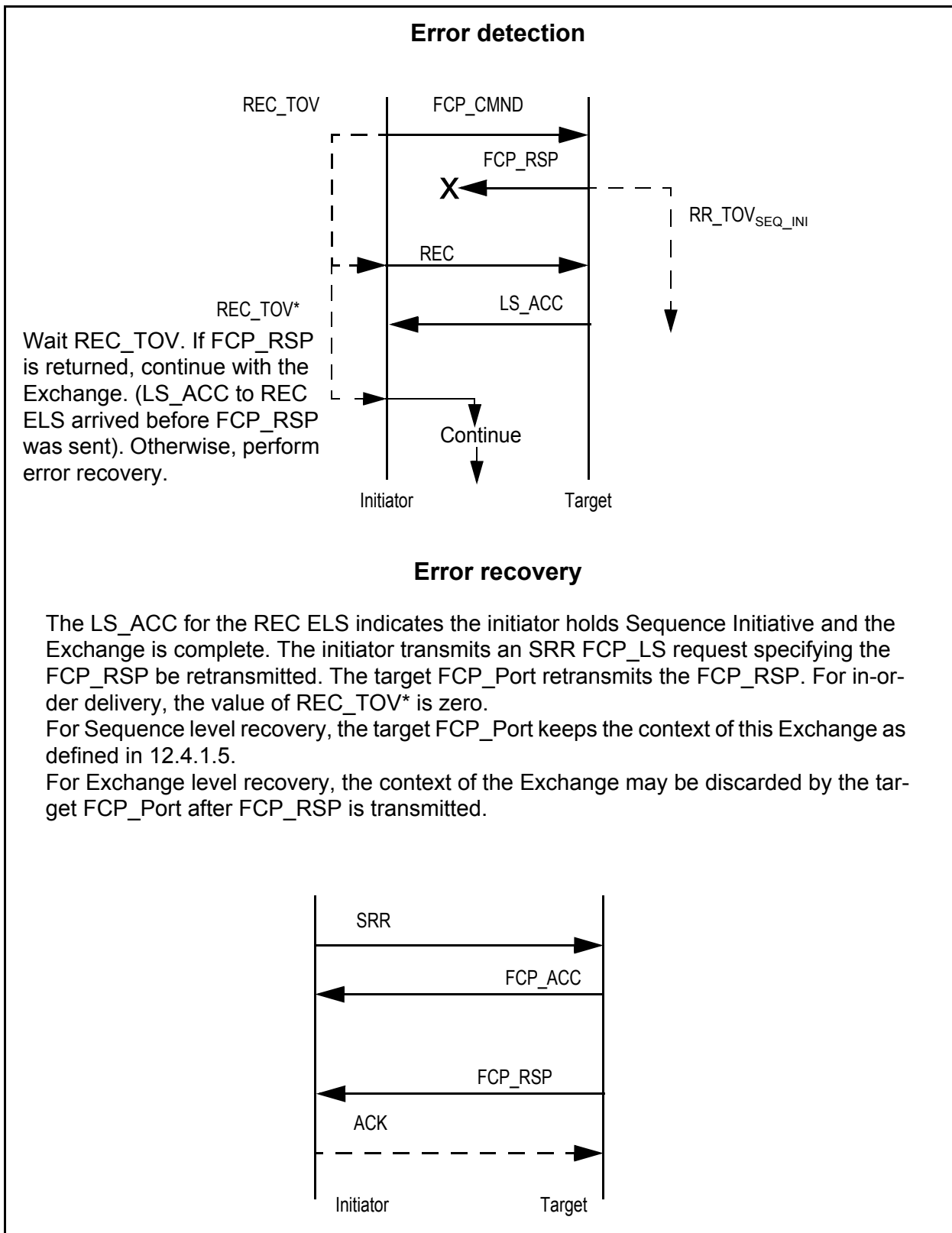
**Figure C.4 - FCP\_CMND acknowledgement lost, acknowledged classes**

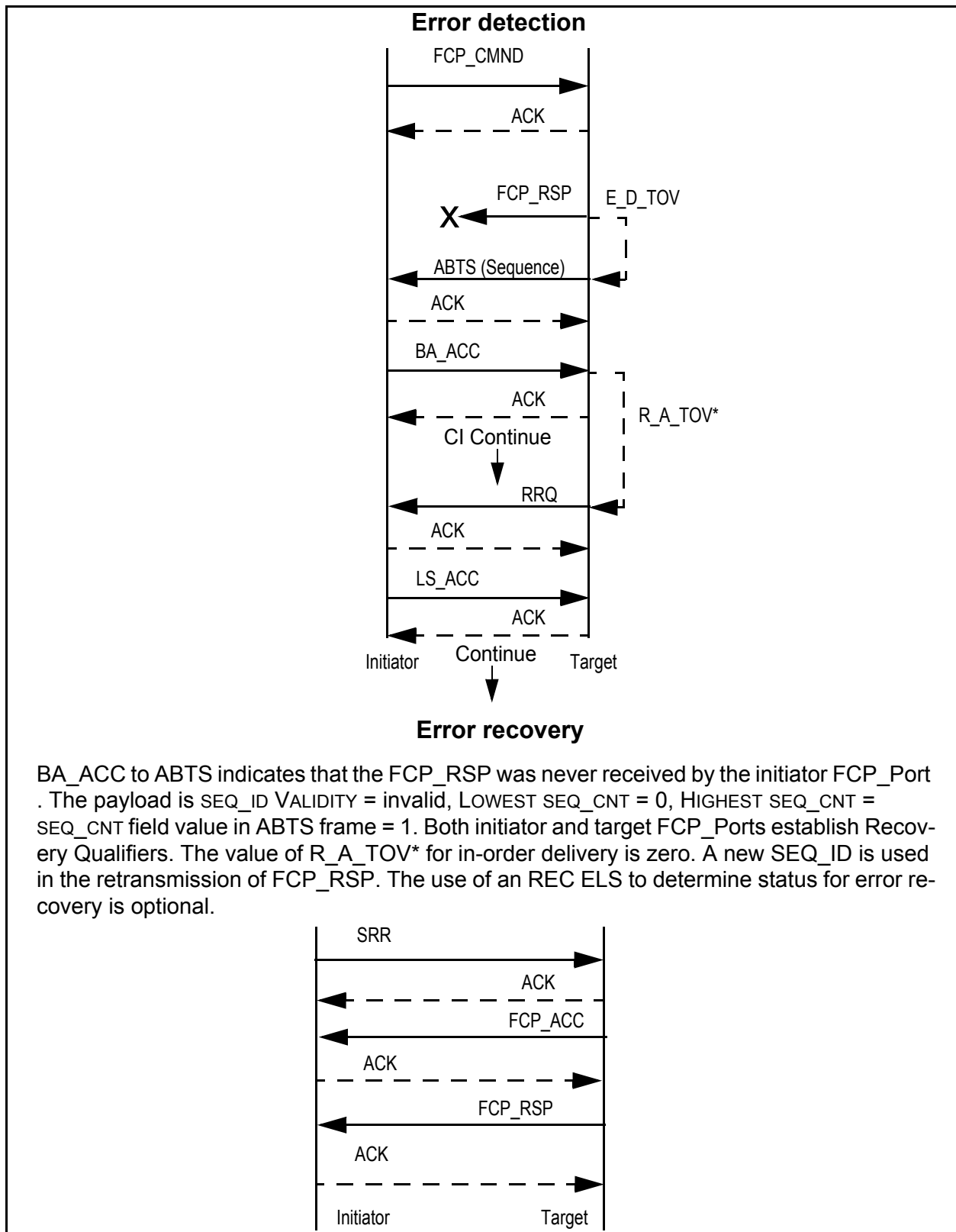


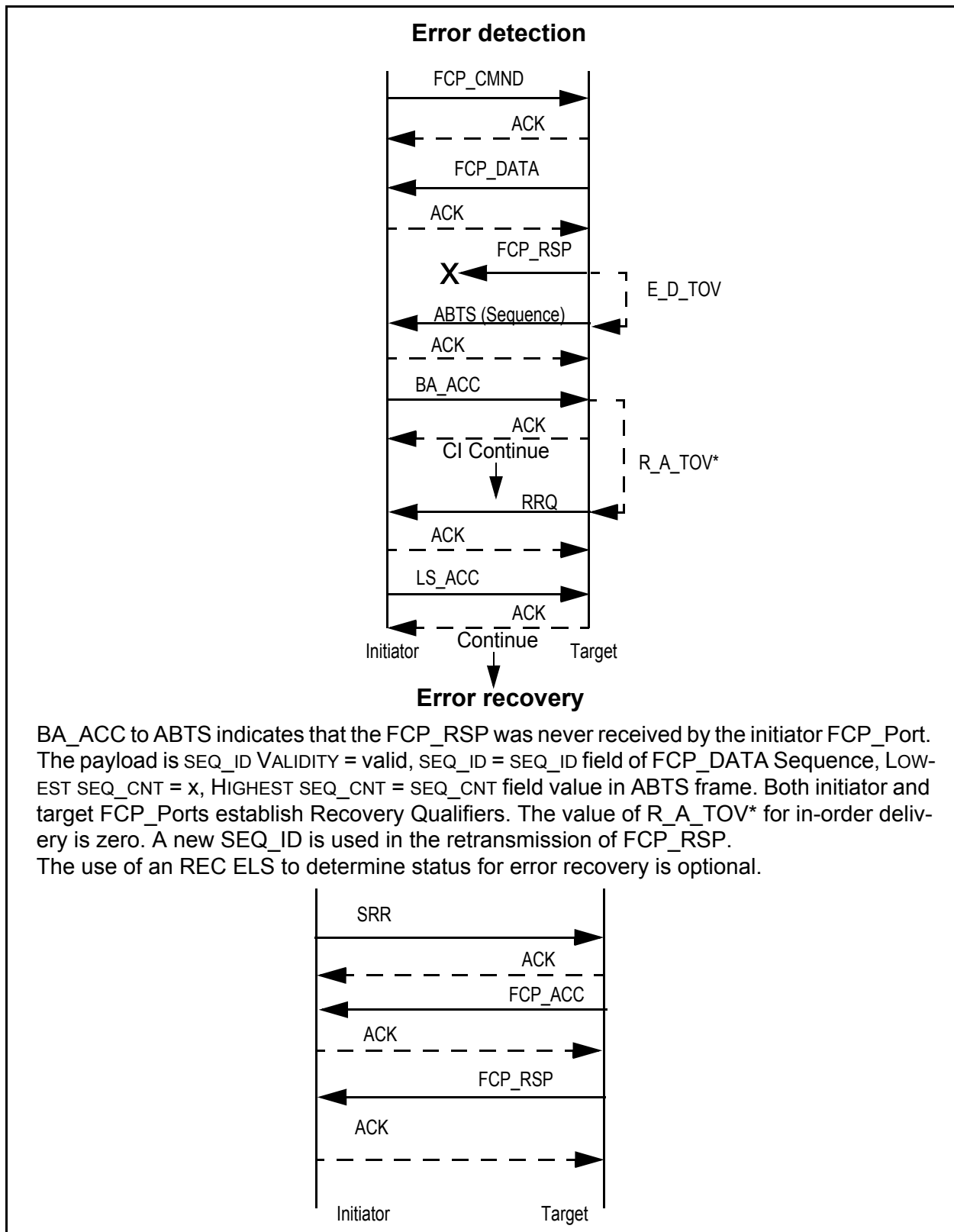
**Figure C.5 - FCP\_XFER\_RDY lost, unacknowledged classes**

**Figure C.6 - FCP\_XFER\_RDY lost, acknowledged classes**

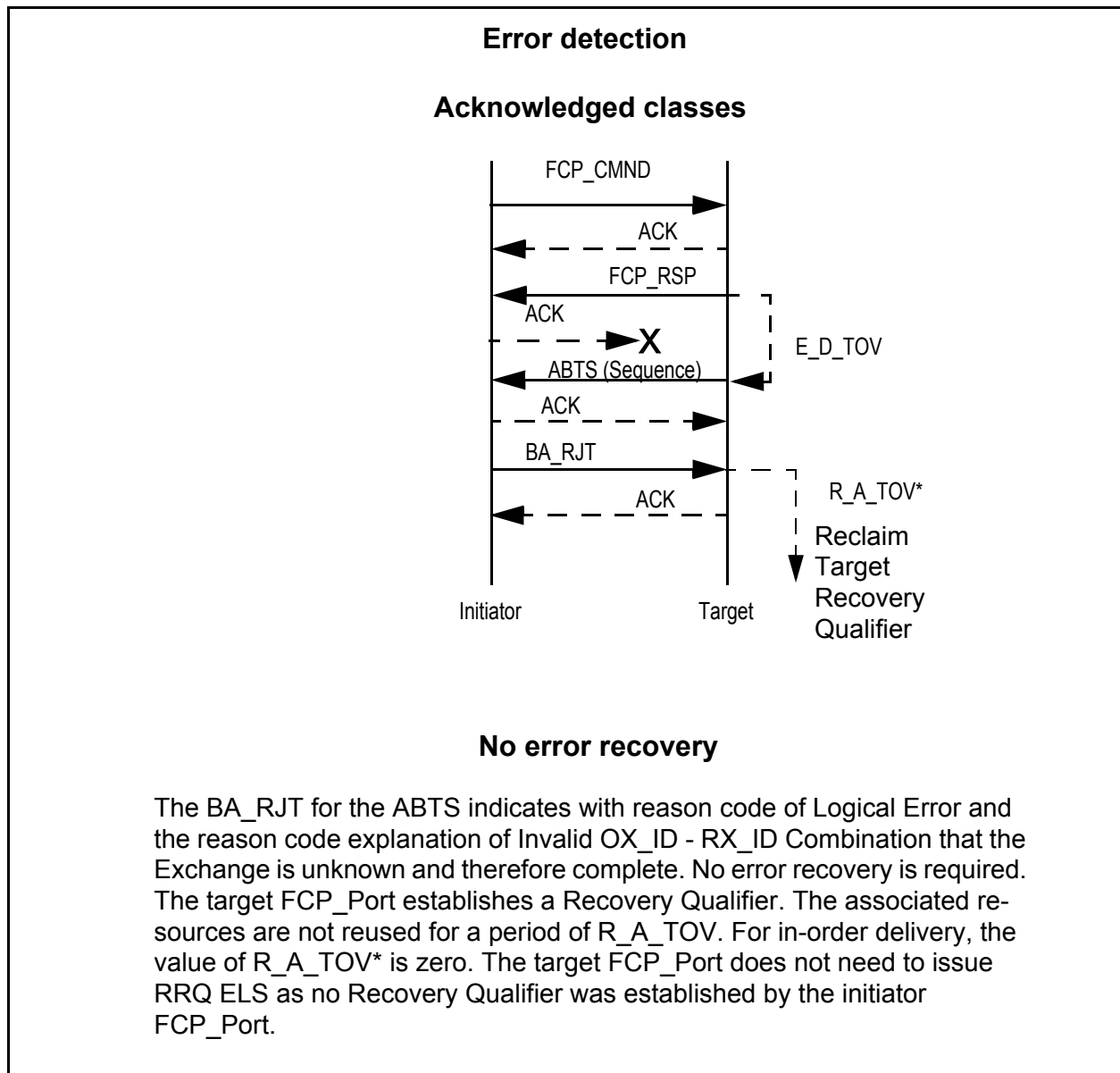
**Figure C.7 - FCP\_XFER\_RDY received, ACK lost, acknowledged classes**

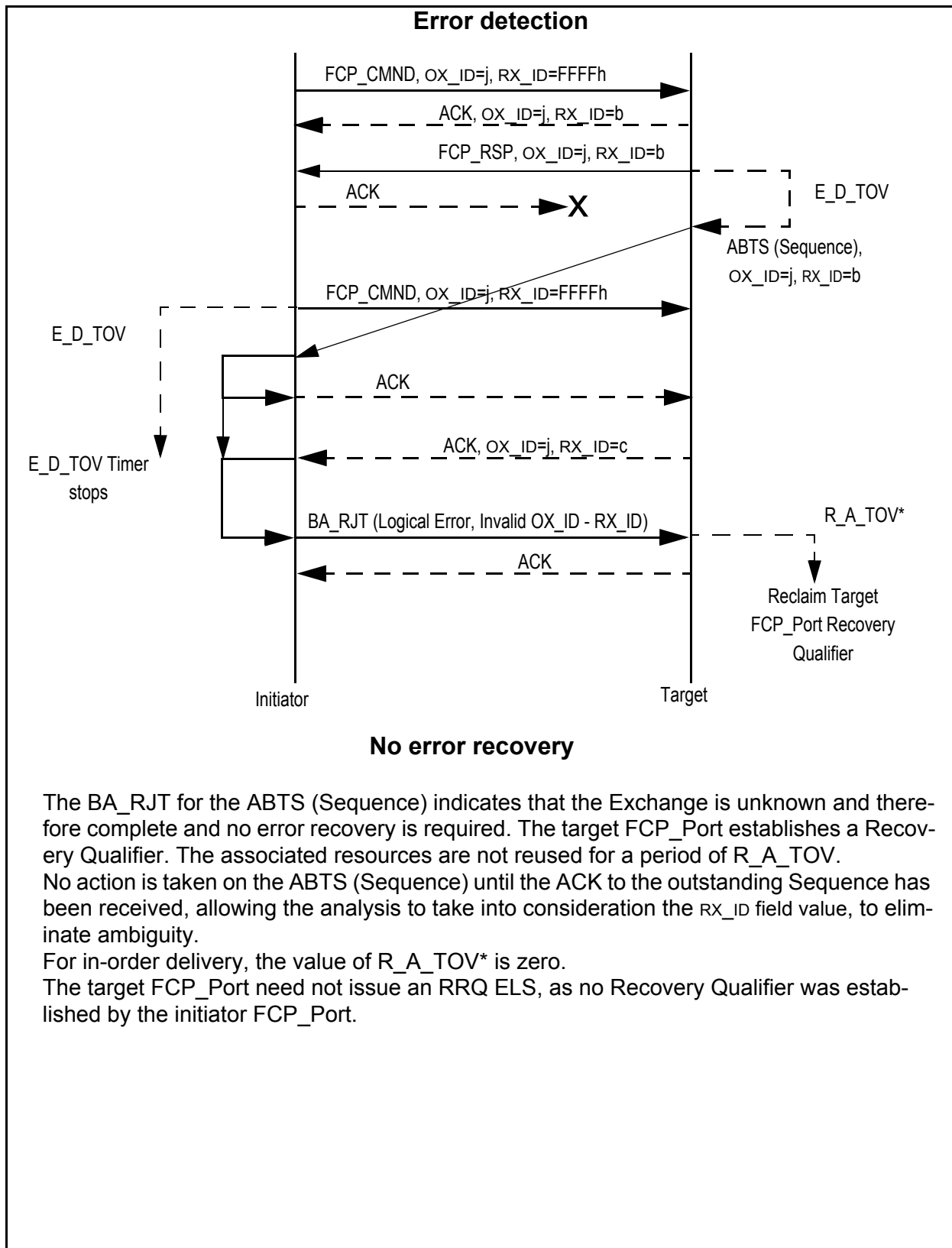
**Figure C.8 - FCP\_RSP lost, FCP\_CONF not requested, unacknowledged classes**

**Figure C.9 - FCP\_RSP lost, FCP\_CONF not requested, acknowledged classes**

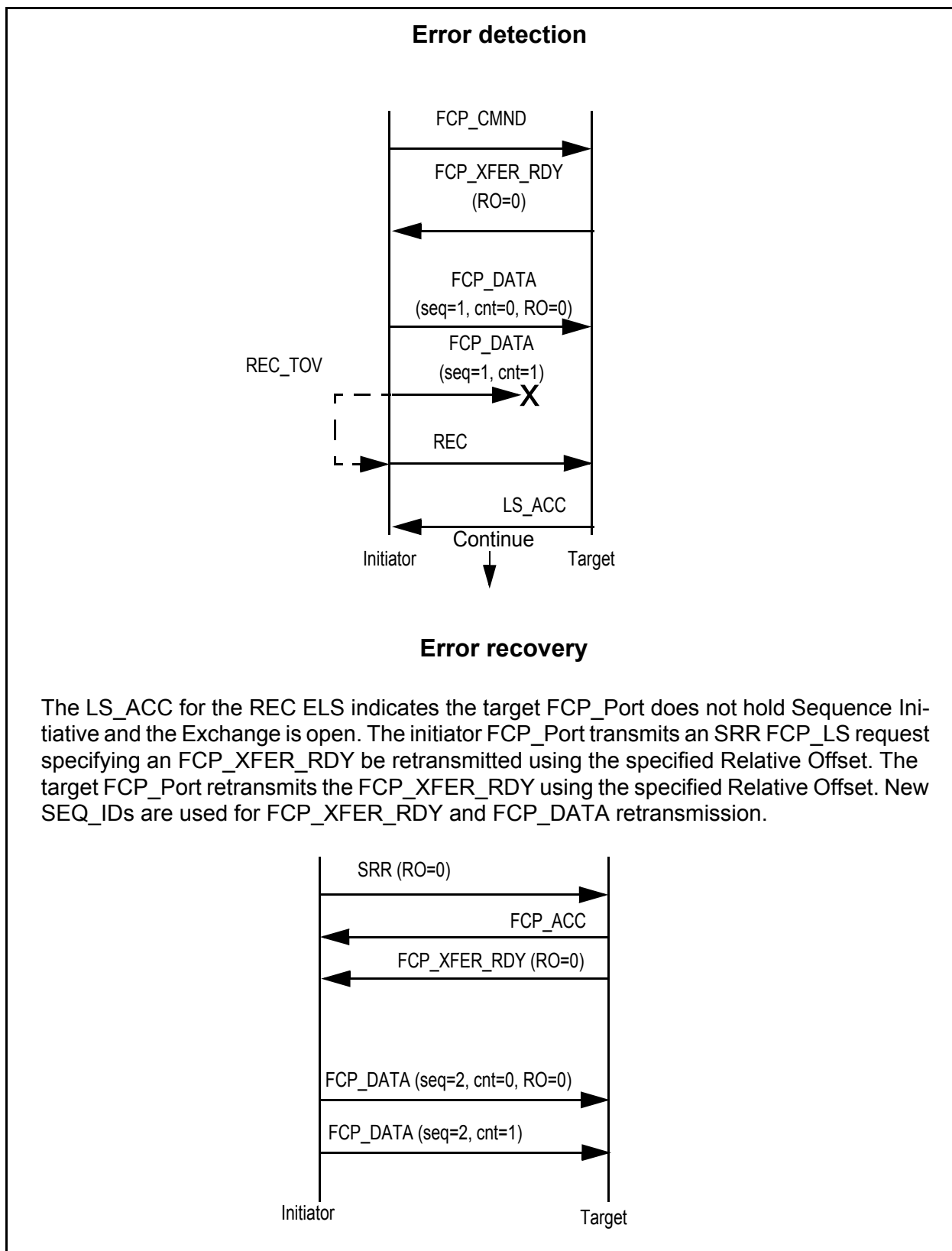
**Figure C.10 - FCP\_RSP lost read command, no FCP\_CONF, acknowledged classes**

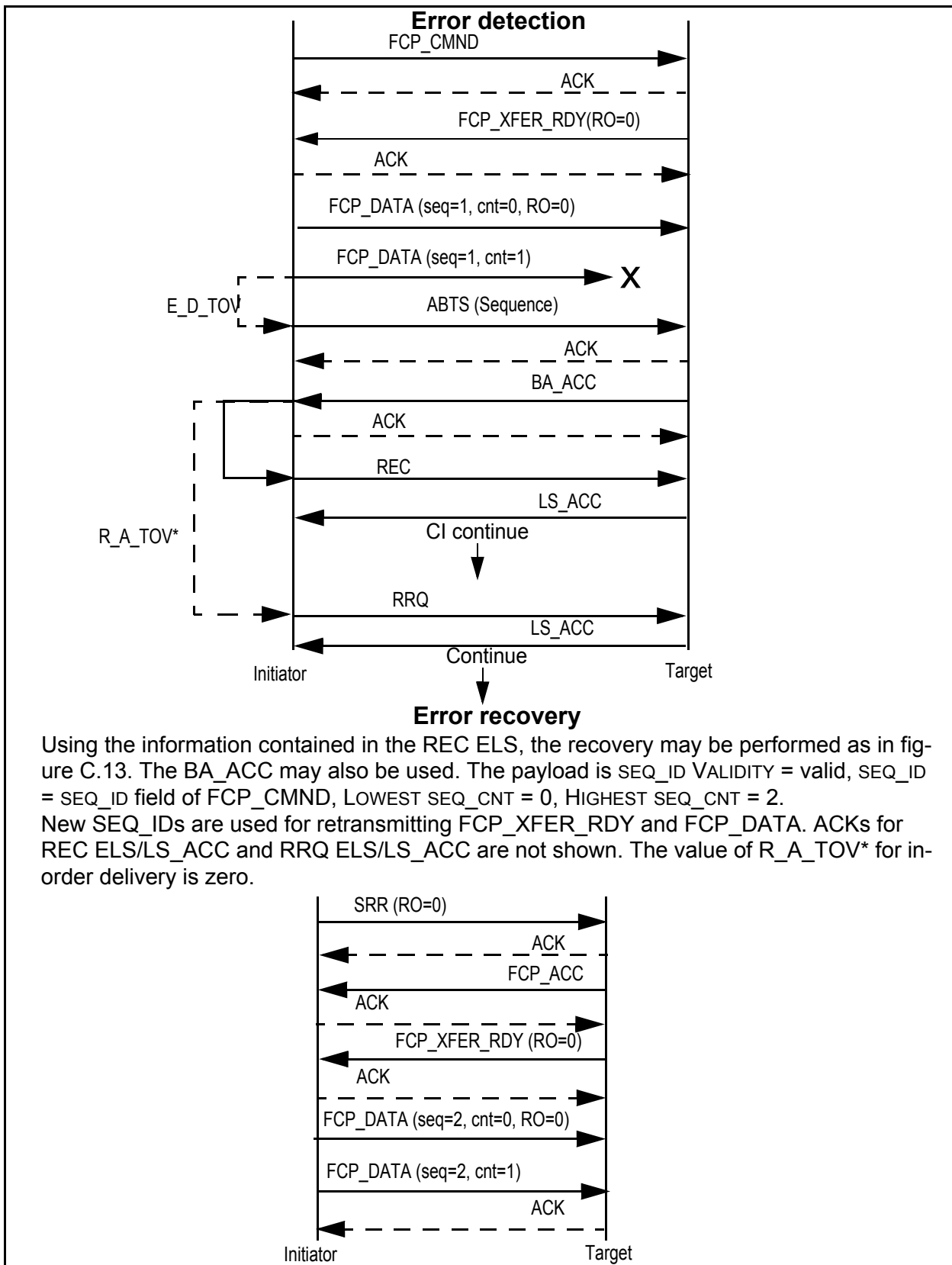
**Figure C.11 - FCP\_RSP received, ACK lost, acknowledged classes, example 1**

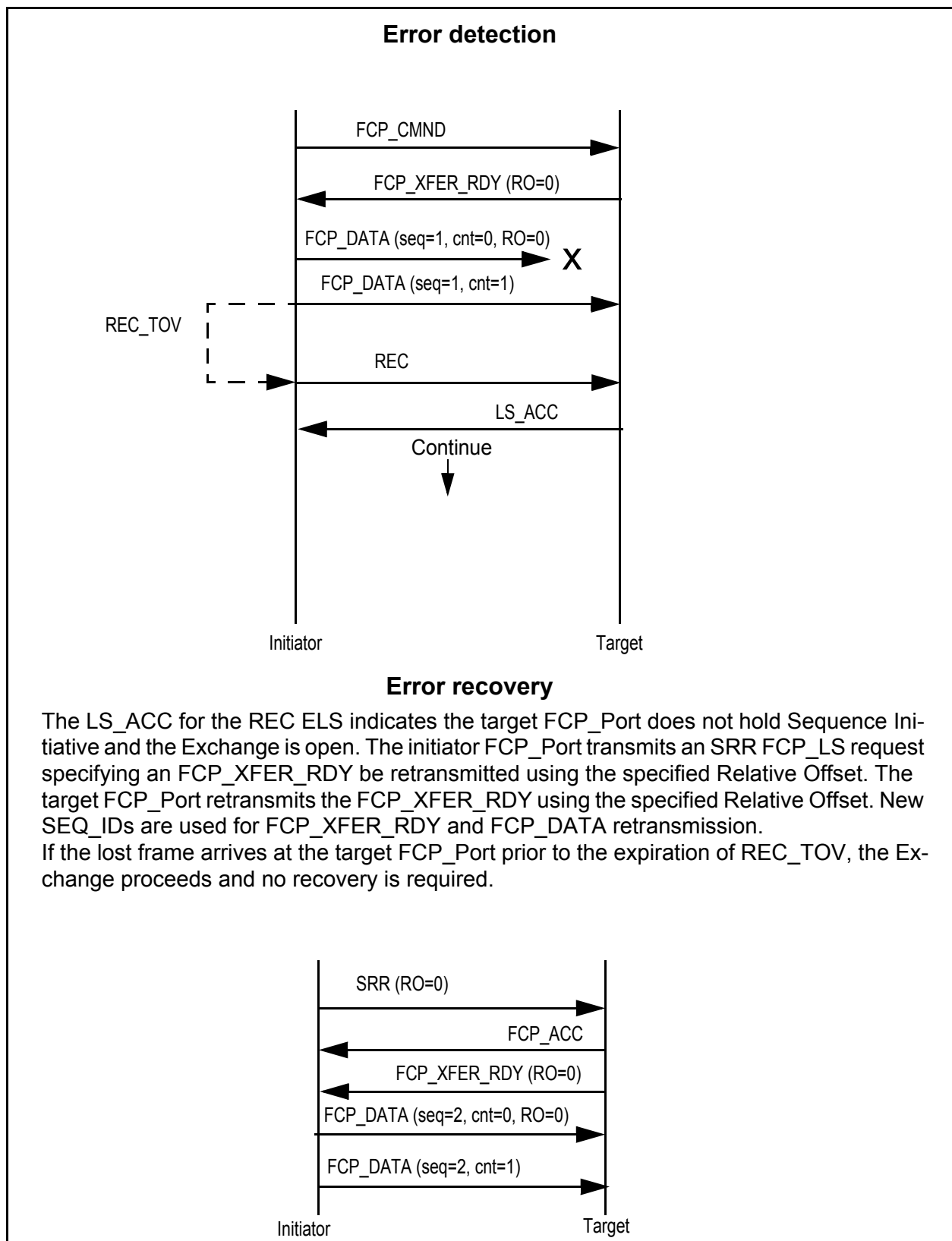


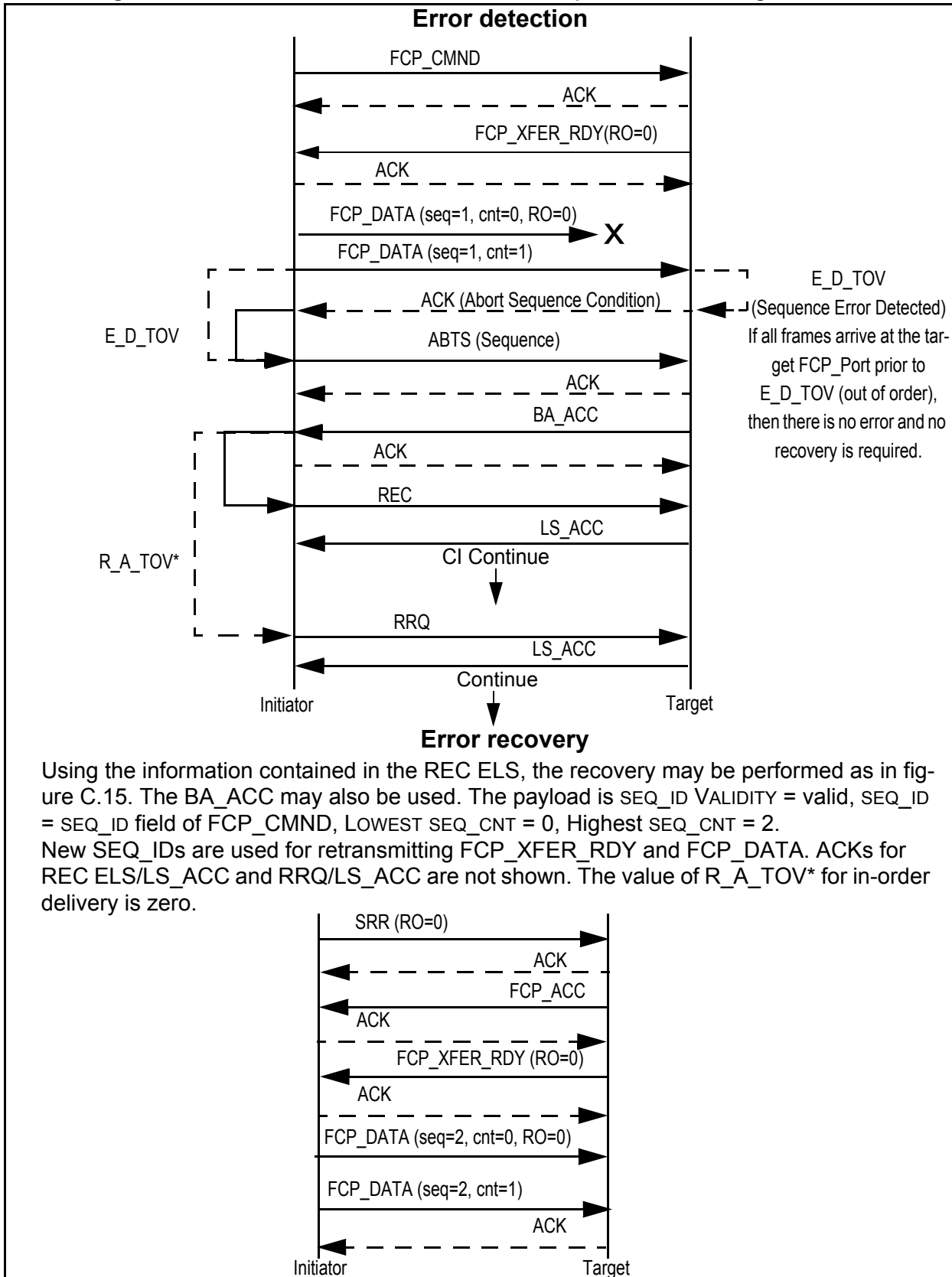
**Figure C.12 - FCP\_RSP received, ACK lost, acknowledged classes, example 2**

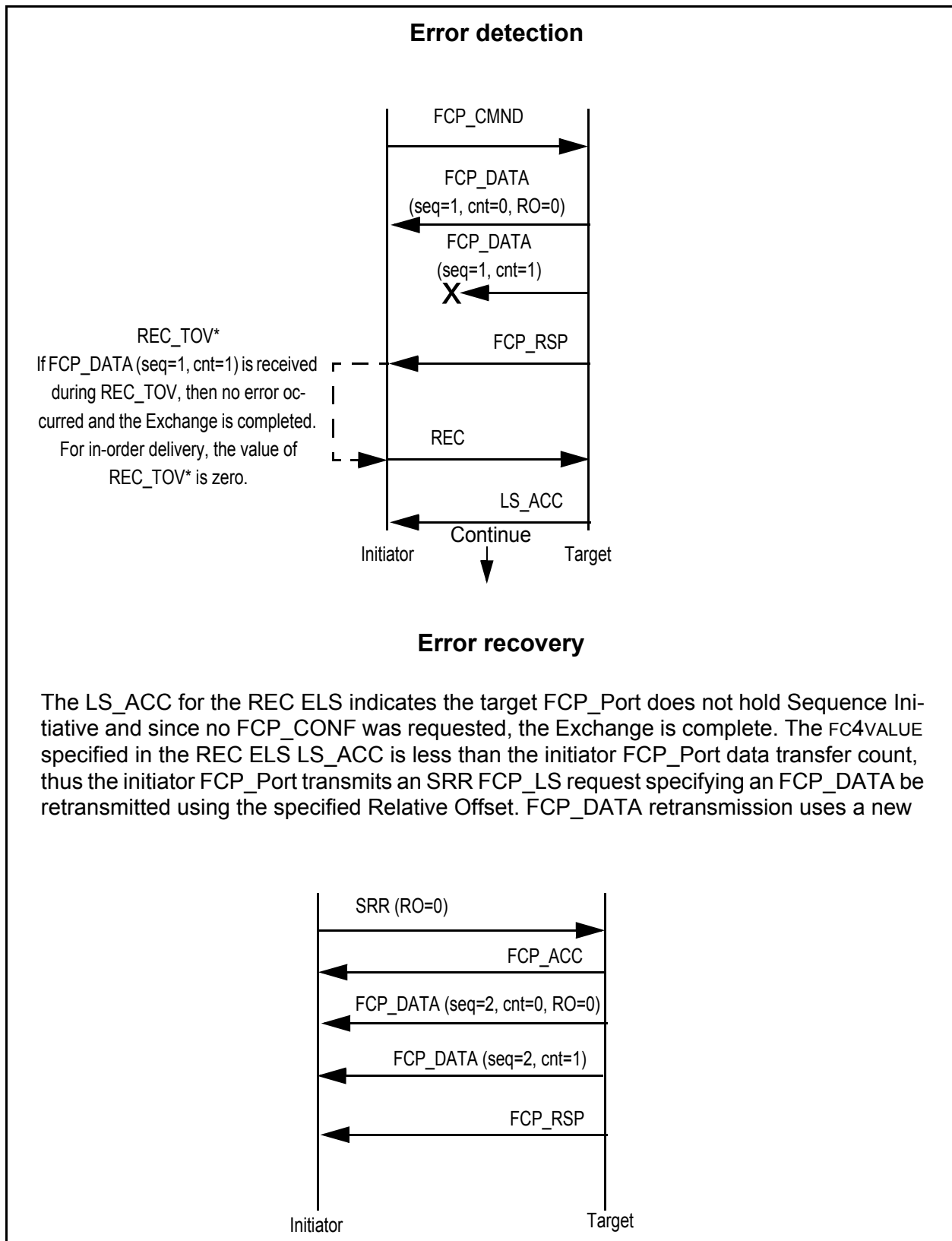


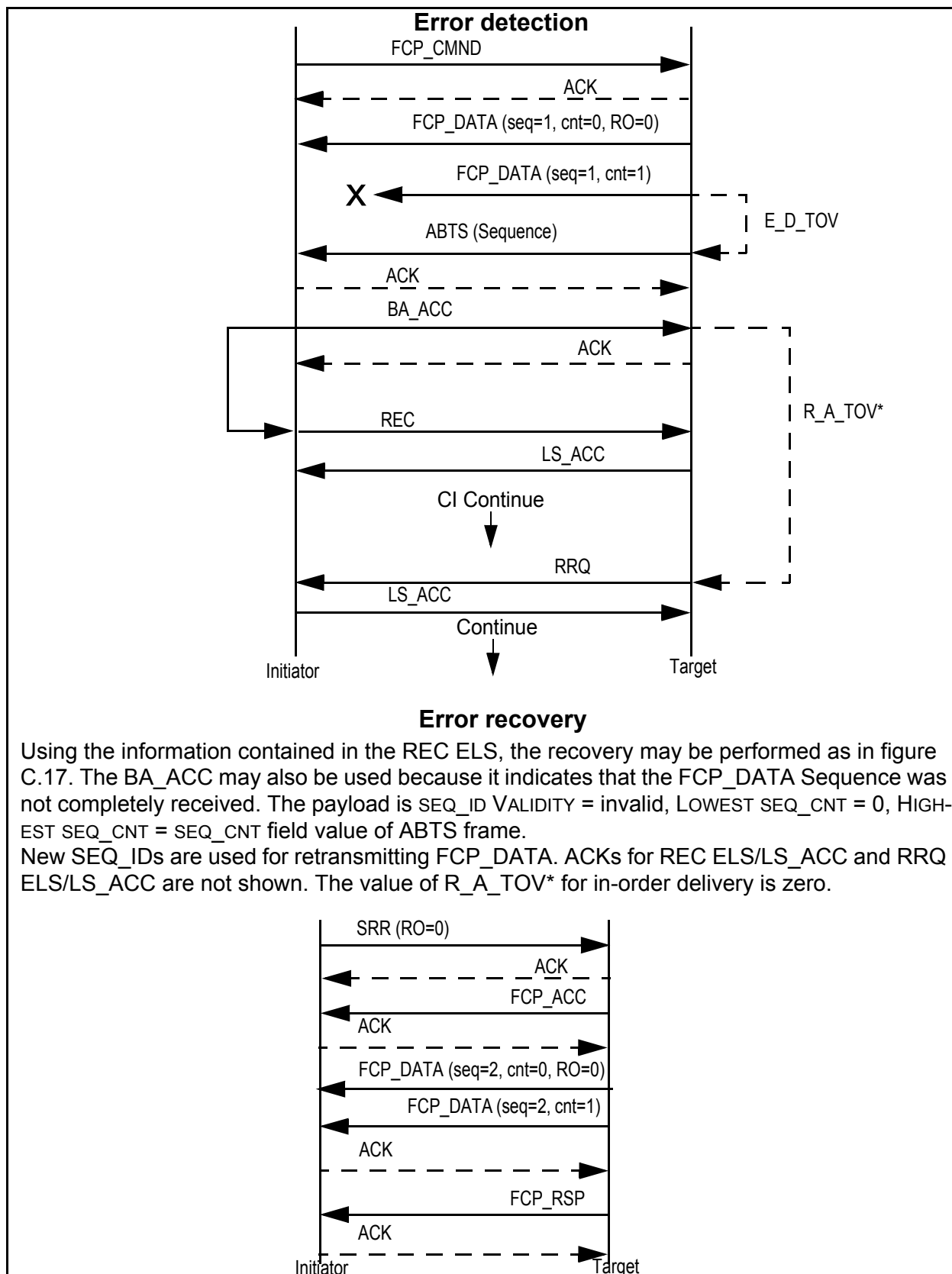
**Figure C.13 - Lost write data, last frame of Sequence, unacknowledged classes**

**Figure C.14 - Lost write data, last frame of Sequence, acknowledged classes**

**Figure C.15 - Lost write data, not last frame of Sequence, unacknowledged classes**

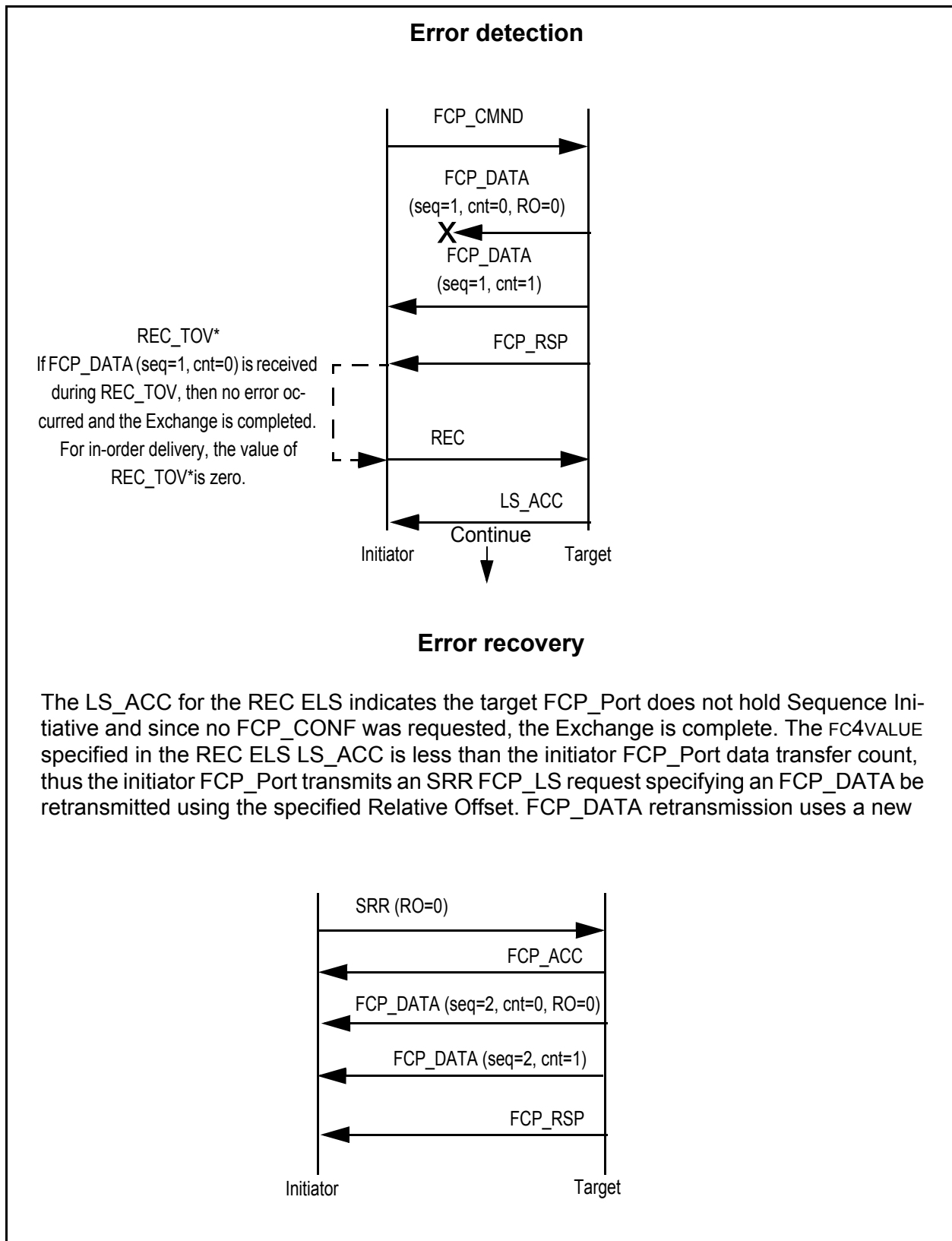
**Figure C.16 - Lost write data, not last frame of Sequence, acknowledged classes**

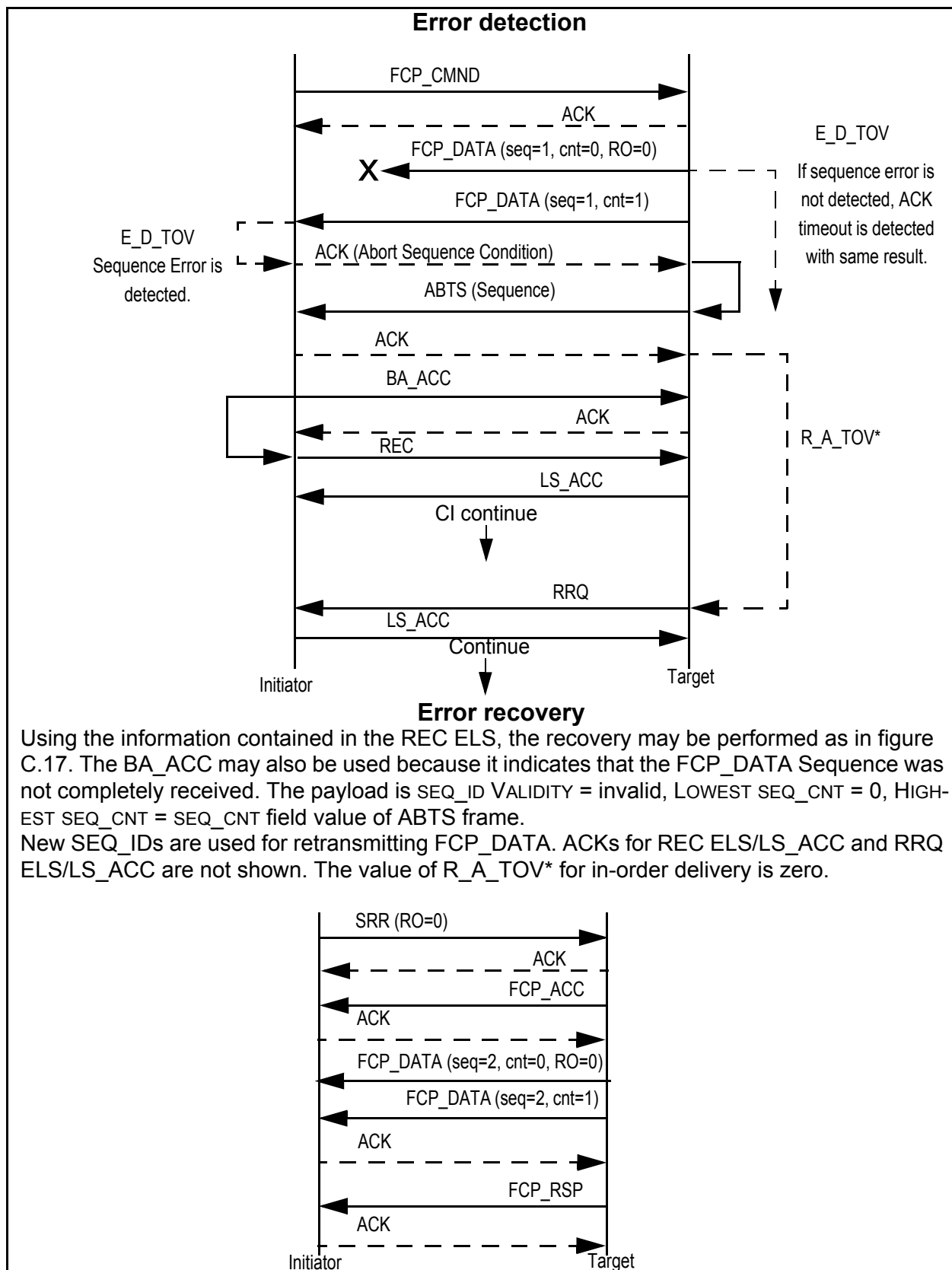
**Figure C.17 - Lost read data, last frame of Sequence, unacknowledged classes**

**Figure C.18 - Lost read data, last frame of Sequence, acknowledged classes**

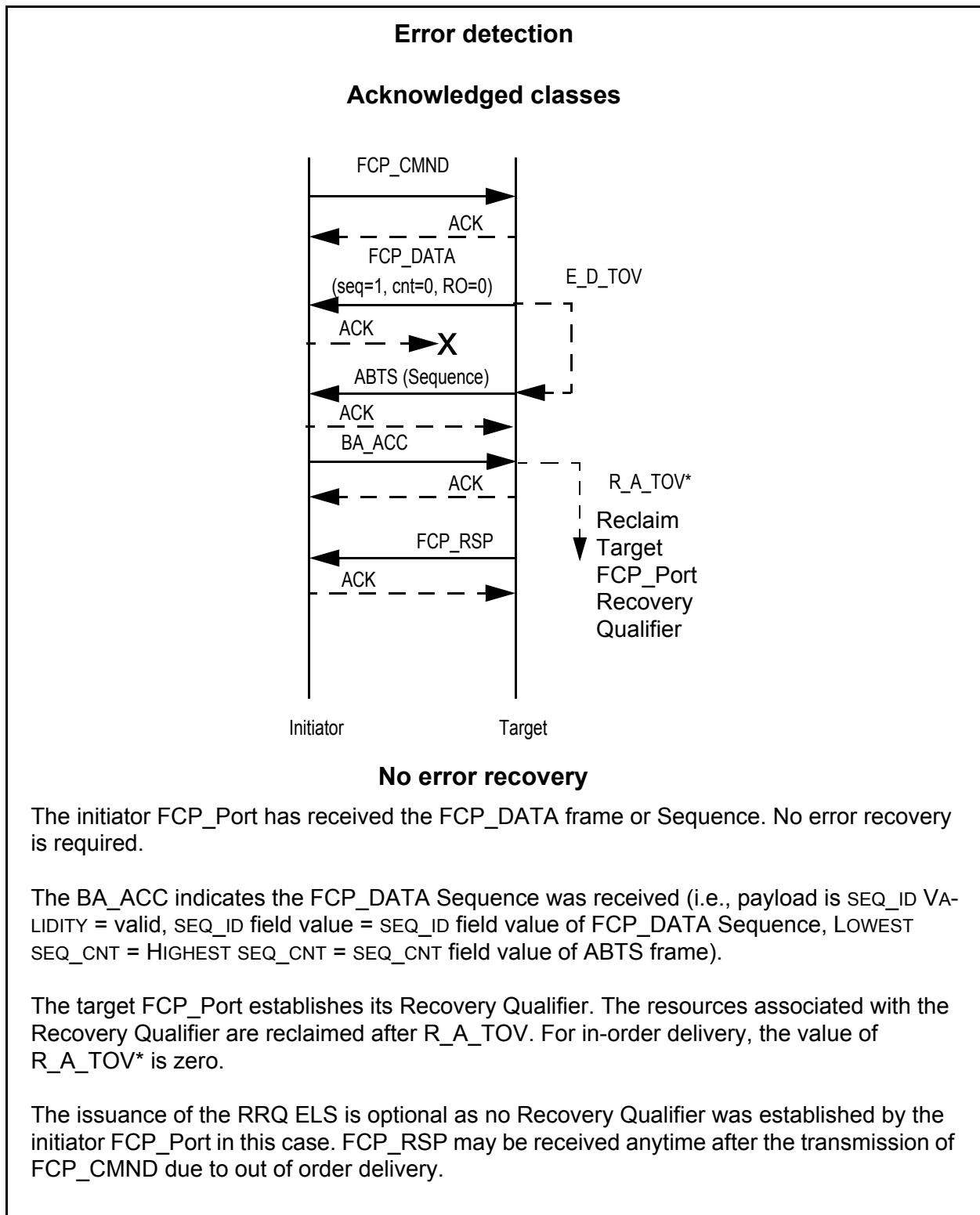
Using the information contained in the REC ELS, the recovery may be performed as in figure C.17. The BA\_ACC may also be used because it indicates that the FCP\_DATA Sequence was not completely received. The payload is SEQ\_ID VALIDITY = invalid, LOWEST SEQ\_CNT = 0, HIGHEST SEQ\_CNT = SEQ\_CNT field value of ABTS frame.

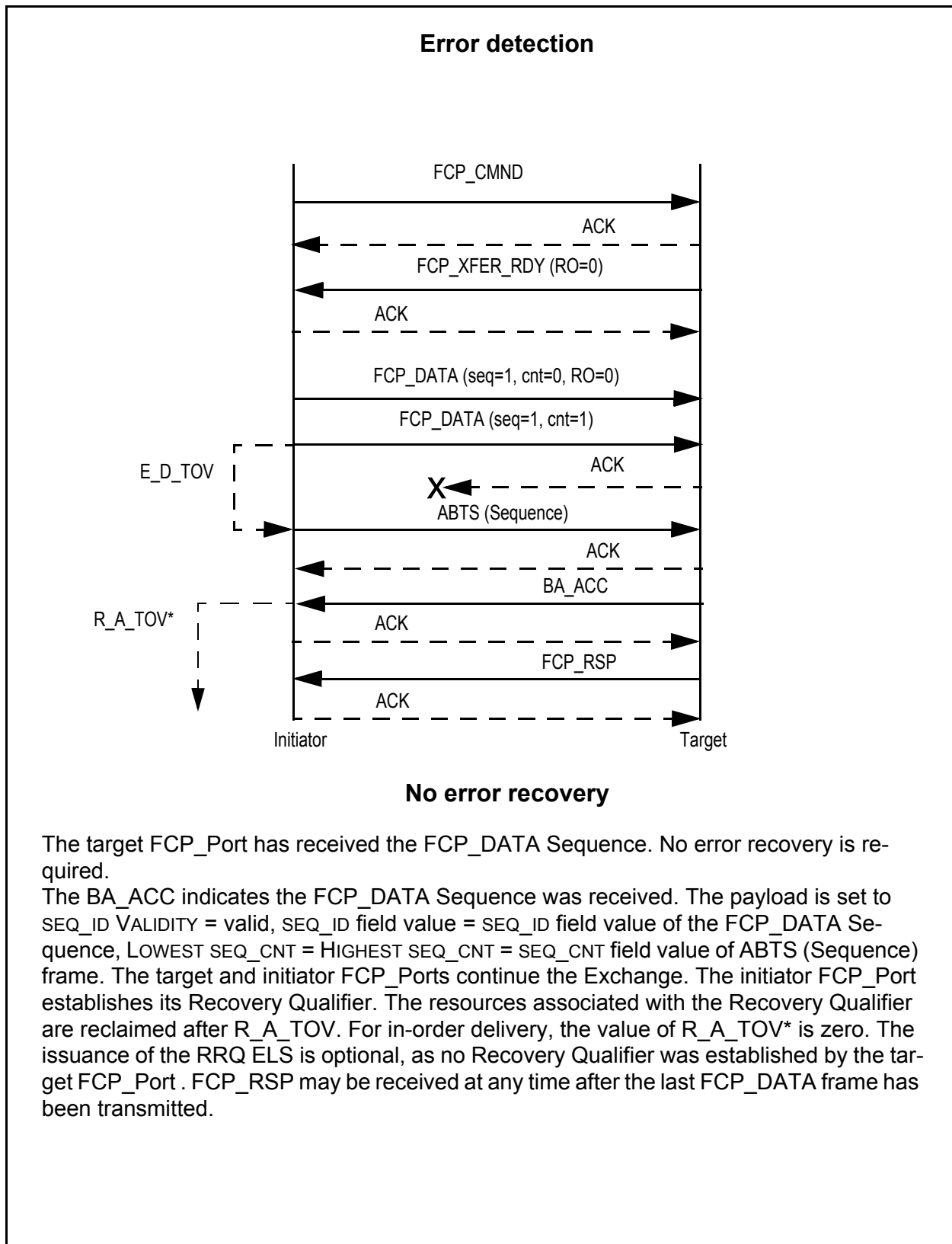
New SEQ\_IDs are used for retransmitting FCP\_DATA. ACKs for REC ELS/LS\_ACC and RRQ ELS/LS\_ACC are not shown. The value of R\_A\_TOV\* for in-order delivery is zero.

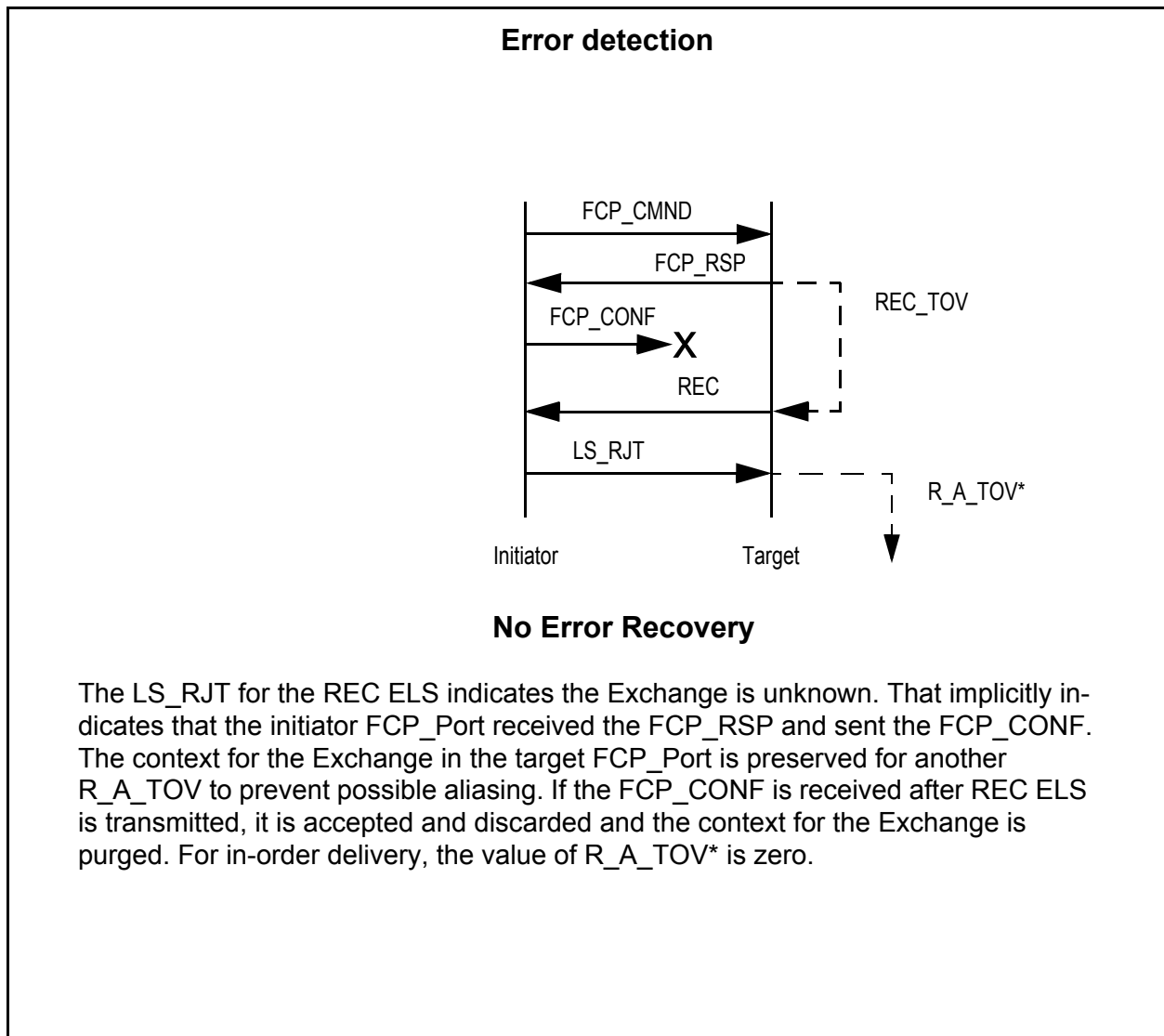
**Figure C.19 - Lost read data, not last frame of Sequence, unacknowledged classes**

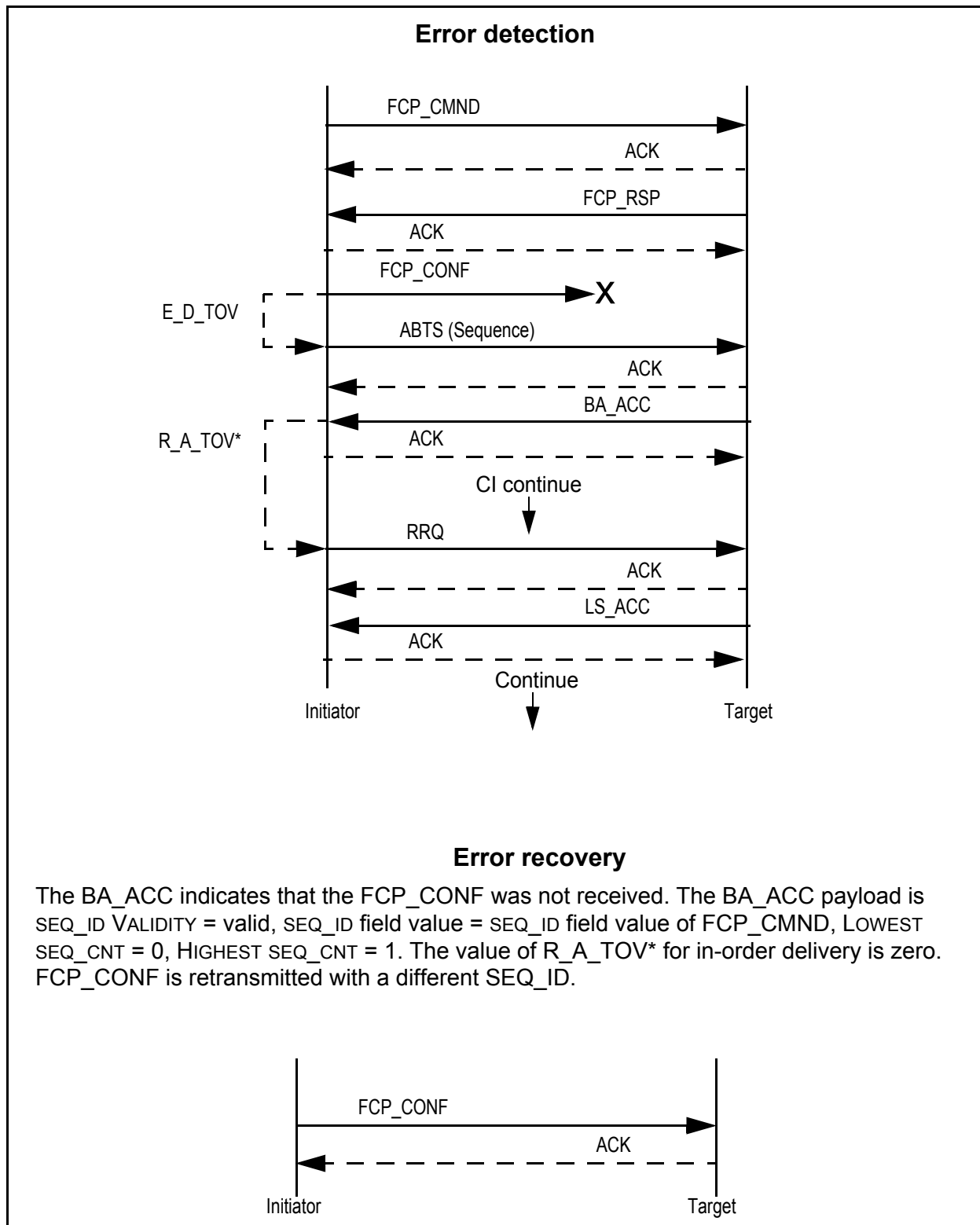
**Figure C.20 - Lost read data, not last frame of Sequence, acknowledged classes**

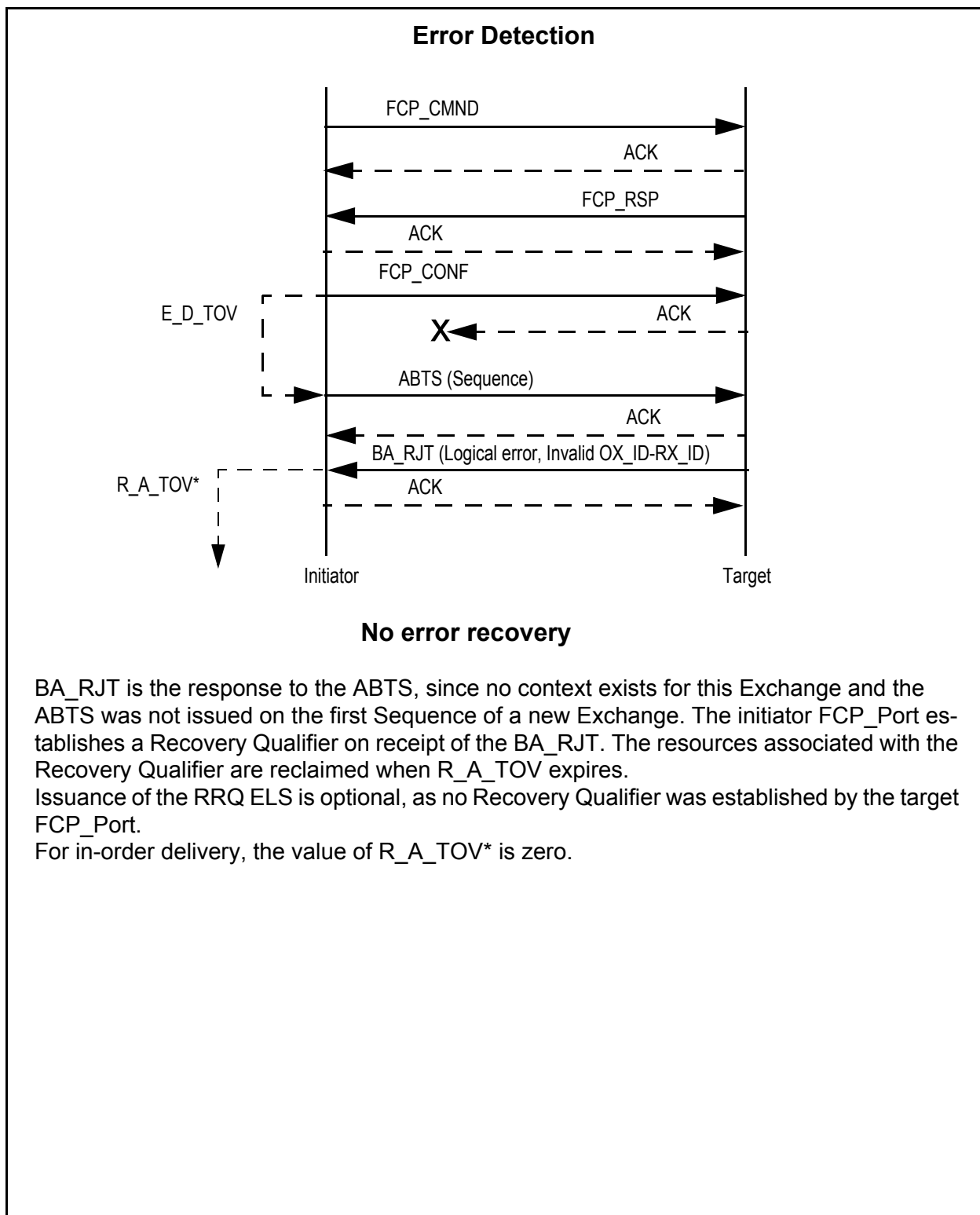


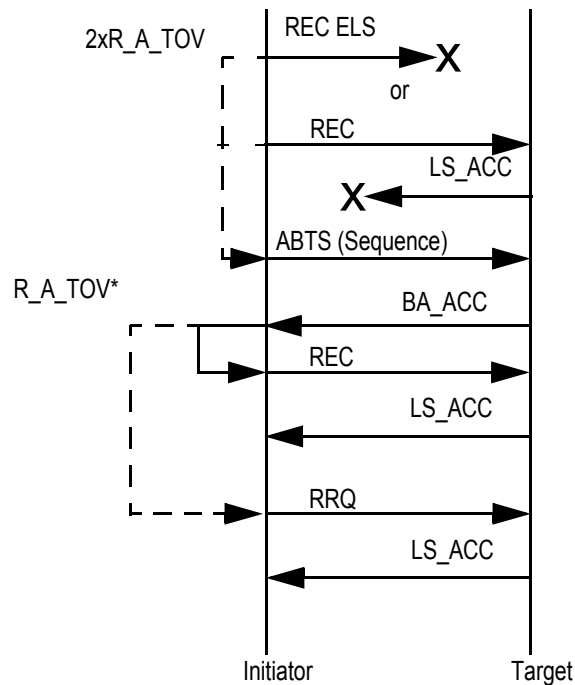
**Figure C.21 - ACK lost on read (acknowledged classes)**

**Figure C.22 - ACK lost on write (acknowledged classes)**

**Figure C.23 - FCP\_CONF lost, unacknowledged classes**

**Figure C.24 - FCP\_CONF lost, acknowledged classes**

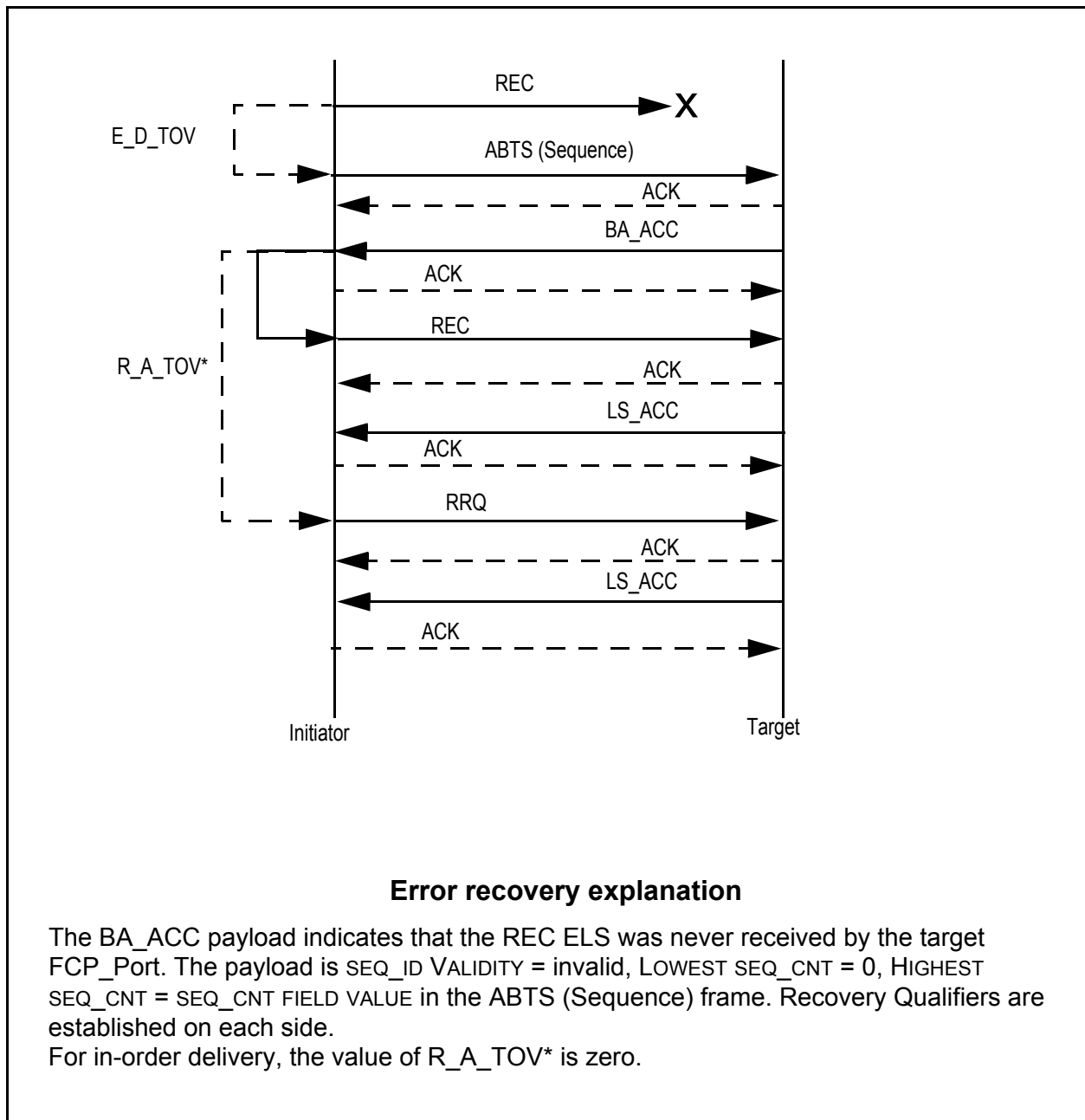
**Figure C.25 - ACK lost on FCP\_CONF, acknowledged classes**

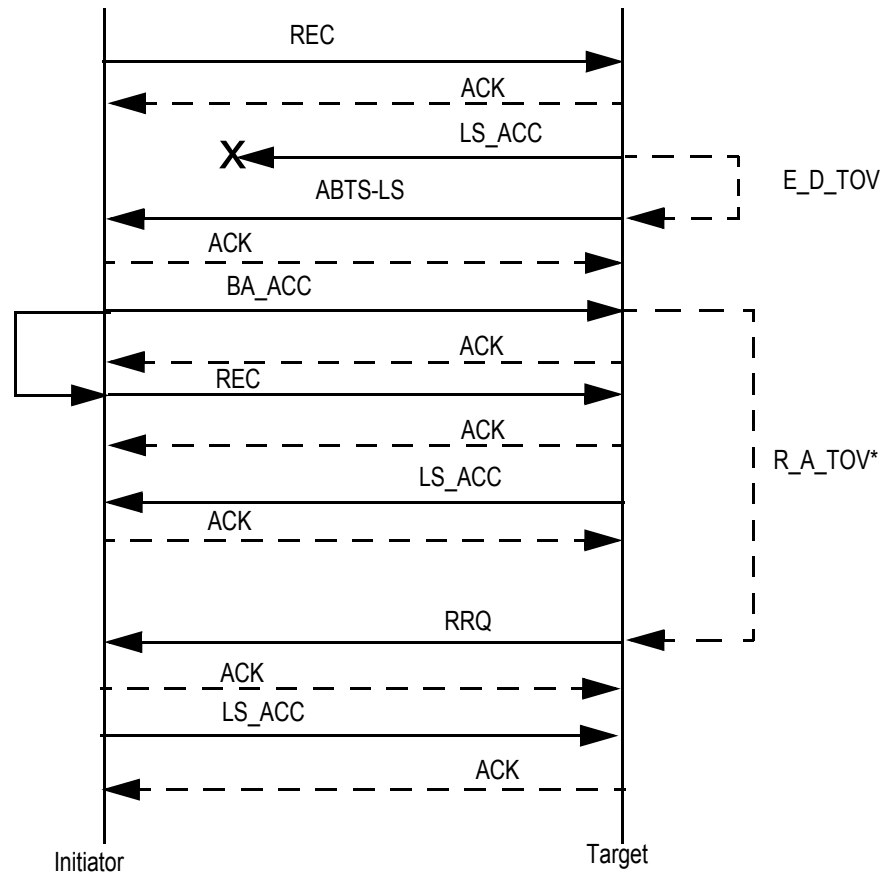
**Figure C.26 - REC ELS request or REC ELS response lost, unacknowledged classes****Error recovery explanation**

For the case of the REC ELS never having been received, the BA\_ACC payload is SEQ\_ID VALIDITY = invalid, LOWEST SEQ\_CNT = 0, HIGHEST SEQ\_CNT = SEQ\_CNT field value of ABTS = 1.

For the case of the LS\_ACC response to REC ELS never having been received, the target FCP\_Port views the ABTS (Sequence) as having been issued on a new Exchange. The BA\_ACC payload is SEQ\_ID VALIDITY = invalid, LOWEST SEQ\_CNT = HIGHEST SEQ\_CNT = SEQ\_CNT field value of ABTS.

In both cases, a Recovery Qualifier is established. The second REC ELS is issued in a new Exchange. For in-order delivery, the value of  $R\_A\_TOV^*$  is zero.

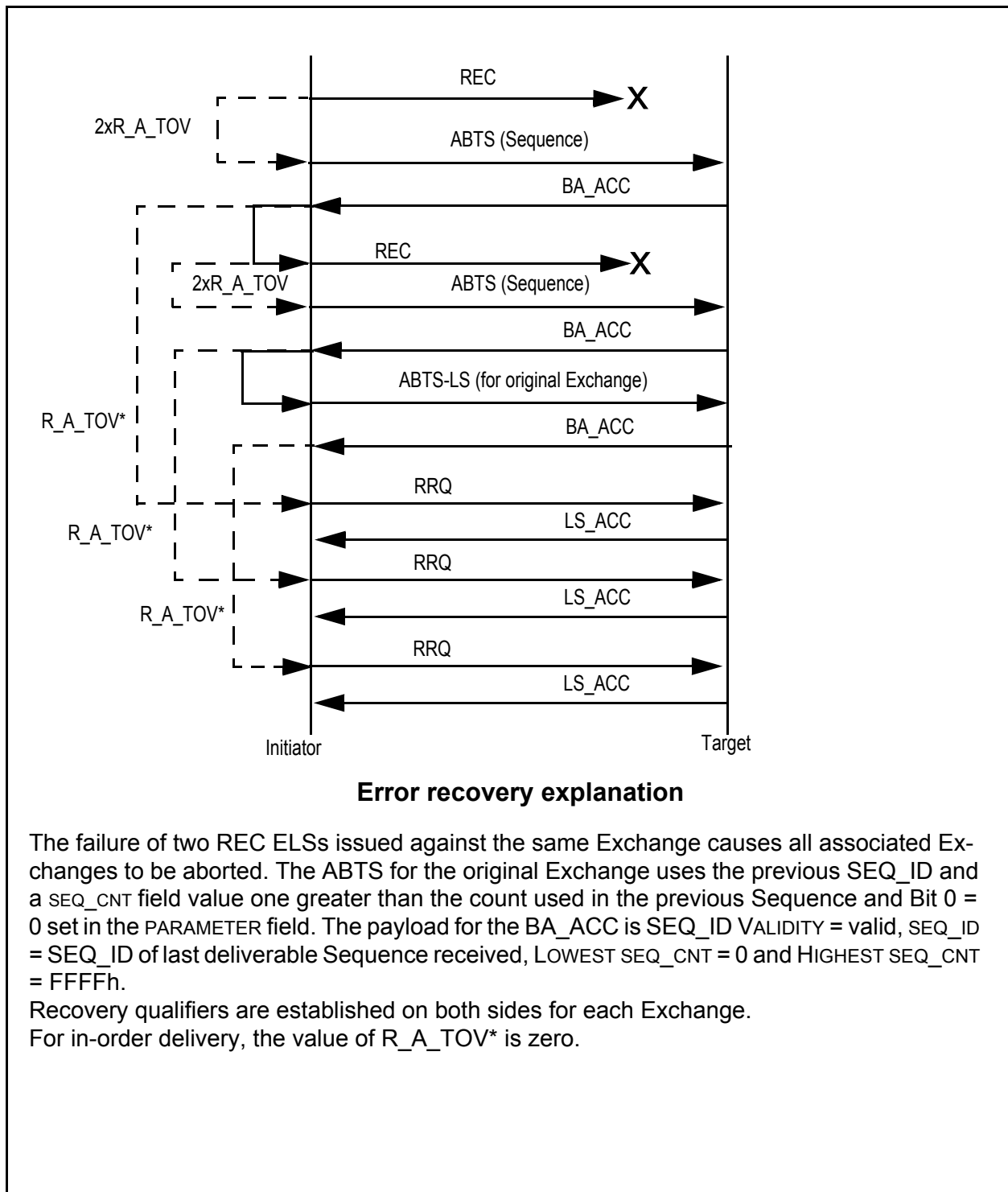
**Figure C.27 - REC ELS lost, acknowledged classes**

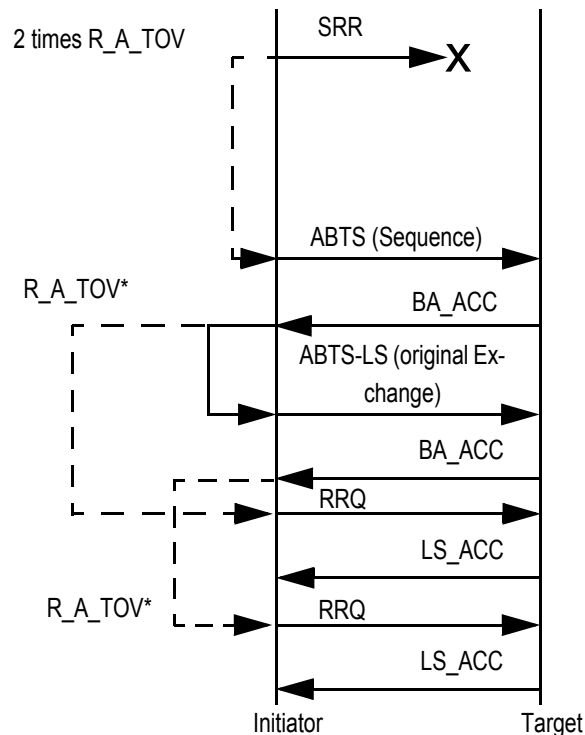
**Figure C.28 - REC ELS response lost, acknowledged classes****Error recovery explanation**

The BA\_ACC payload indicates that the LS\_ACC was never received by the initiator FCP\_Port . The payload is SEQ\_ID VALIDITY = invalid, LOWEST SEQ\_CNT = 0, HIGHEST SEQ\_CNT = SEQ\_CNT field value in ABTS frame. After responding to the ABTS, the initiator FCP\_Port reissues the REC ELS in a new Exchange.

Recovery Qualifiers are established on each side.



**Figure C.29 - Two REC ELSs Lost, Unacknowledged Classes, Abort the original Exchange**

**Figure C.30 - SRR FCP\_LS request lost, unacknowledged classes, abort original Exchange**

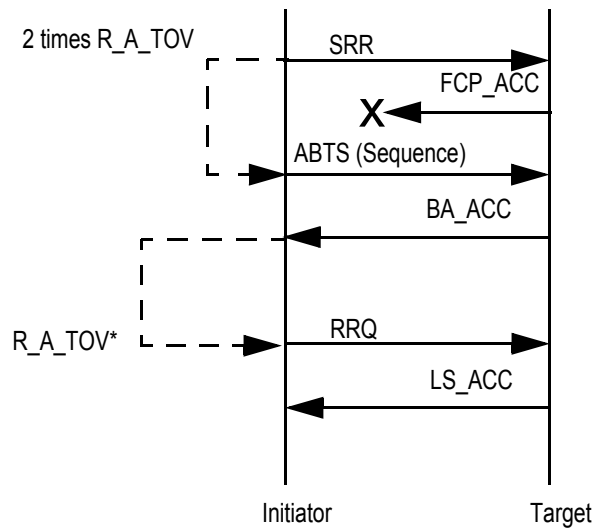
### Error recovery explanation

The payload for the BA\_ACC associated with the ABTS (Sequence) of the SRR FCP\_LS request is SEQ\_ID VALIDITY = invalid, LOWEST SEQ\_CNT = 0, HIGHEST SEQ\_CNT = SEQ\_CNT field value of the ABTS (Sequence) frame.

The ABTS-LS for the original Exchange uses the previous SEQ\_ID field value and a SEQ\_CNT field value one greater than the count used in the previous Sequence and Bit 0 = 0 in the PARAMETER field. The payload for the BA\_ACC associated with the ABTS-LS for the original Exchange is SEQ\_ID VALIDITY = valid, SEQ\_ID = SEQ\_ID of the last deliverable Sequence of the original Exchange received, LOWEST SEQ\_CNT = 0, and HIGHEST SEQ\_CNT = FFFFh.

Recovery Qualifiers are established on both sides for each Exchange.

For in-order delivery, the value of R\_A\_TOV\* is zero.

**Figure C.31 - SRR FCP\_LS response lost, unacknowledged classes****Error recovery explanation**

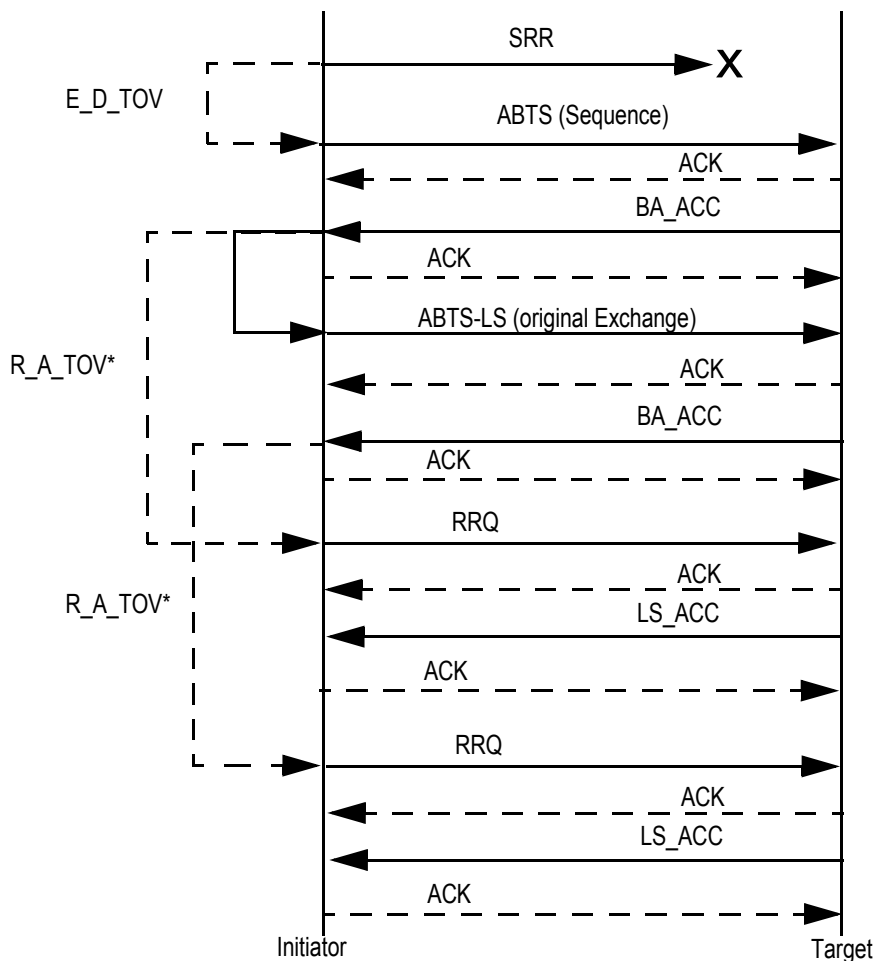
If the SRR FCP\_LS request Exchange is unknown to the Recipient, the Exchange was completed and the context purged. The payload for the BA\_ACC is SEQ\_ID VALIDITY = invalid, LOWEST SEQ\_CNT = 0, HIGHEST SEQ\_CNT = FFFFh. Recovery Qualifiers are established on both sides.

If the SRR FCP\_LS request Exchange is still known to the Recipient, the payload for the BA\_ACC is SEQ\_ID VALIDITY = valid, SEQ\_ID = SEQ\_ID field value of the SRR, LOWEST SEQ\_CNT = HIGHEST SEQ\_CNT = SEQ\_ID field value of the ABTS (Sequence) frame. Since no Recovery Qualifier is established, RRQ ELS need not be issued. The Recovery Qualifier is established on the initiator FCP\_Port side and is timed out for R\_A\_TOV.

For in-order delivery, the value of R\_A\_TOV\* is zero.

In either case, the original Exchange need not be aborted.

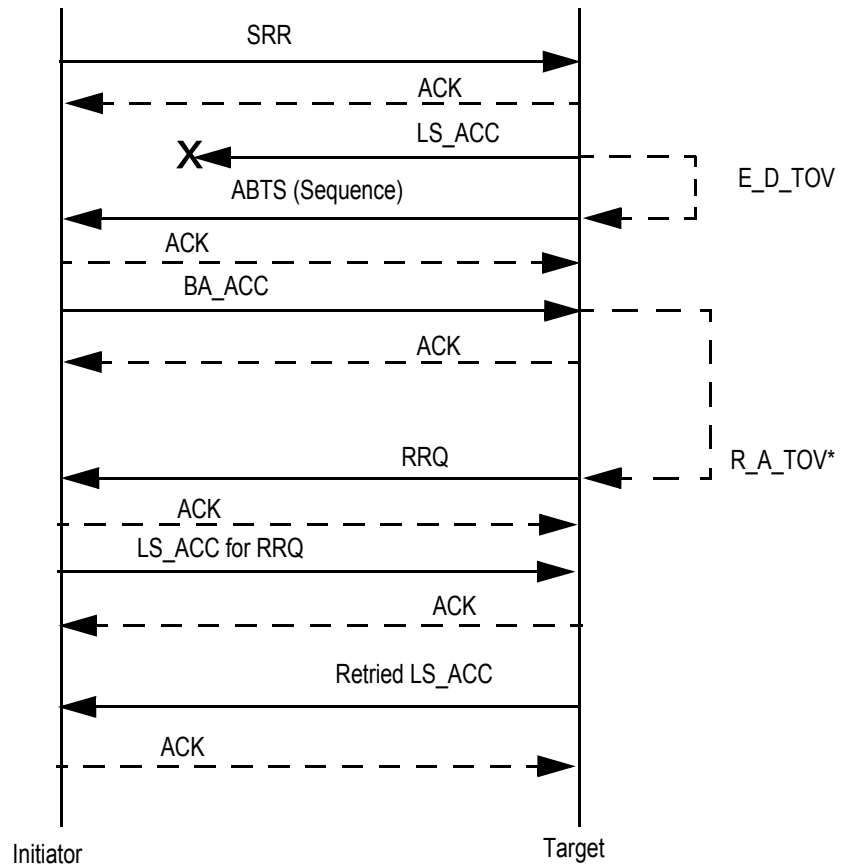
The RRQ ELS references the Exchange of the SRR FCP\_LS request.

**Figure C.32 - SRR FCP\_LS request lost, acknowledged classes****Error recovery explanation**

Since the ABTS (Sequence) on the SRR FCP\_LS request is on a new Exchange, Recovery Qualifiers are established. The BA\_ACC payload indicates SEQ\_ID VALIDITY = invalid, LOW-EST SEQ\_CNT = 0, and HIGHEST SEQ\_CNT = SEQ\_CNT field value of the ABTS (Sequence).

An error on an SRR FCP\_LS request is a second error and the original Exchange is also aborted. The SRR FCP\_LS request is not retried.

For in-order delivery, the value of R\_A\_TOV\* is zero.

**Figure C.33 - SRR FCP\_LS response lost, acknowledged classes****Error recovery explanation**

The BA\_ACC of the ABTS (Sequence) associated with the SRR FCP\_LS request indicates that the FCP\_ACC for the SRR FCP\_LS request was not received and is discarded if it is later received. The BA\_ACC payload indicates SEQ\_ID VALIDITY = invalid, LOWEST SEQ\_CNT = 0, and HIGHEST SEQ\_CNT = SEQ\_CNT field value of the ABTS (Sequence). The retry of the FCP\_ACC for SRR FCP\_LS request is issued with a new SEQ\_ID. Recovery Qualifiers are established on each side. For in-order delivery, the value of R\_A\_TOV\* is zero.

## **Annex D**

### **(informative)**

## **FCP Device Discovery Procedure**

### **D.1 FCP Device Discovery Procedure**

#### **D.1.1 Initiator discovery of Fabric-attached target FCP\_Ports**

The following procedure may be used by initiator FCP\_Ports for discovering and authenticating FCP devices in a Fabric switch environment. The FCP device discovery procedure also applies to NL\_Ports attached to an FL\_Port that provides the Name Server capability described in FC-GS-6. The Node\_Name and Port\_Name are Name\_Identifiers that are Worldwide\_Names, assuring that they are uniquely identifiable. Logical units are also assigned a Worldwide\_Name that may be examined using the INQUIRY command with the EVPD bit set to one and the PAGE CODE set to 83h (i.e., the Device Identification VPD page) (see SPC-4).

Depending on the specific configuration and the management requirements, any step other than step 2 and step 3 may be omitted and may be performed using actions outside this standard or the referenced standards.

- 1) Perform fabric login;
- 2) Login with the Name Server;
- 3) Register information with Name Server:
  - a) FC-4 TYPEs object (see 7.2); and
  - b) FC-4 Features object (see 7.3).
- 4) Register for State Change Notification with the Fabric Controller (see FC-LS);
- 5) Issue a GID\_FF query to the Name Server with the Domain\_ID Scope and Area\_ID Scope fields set to zero, the FC-4 Feature bits field set to 01h, and the TYPE Code field set to 08h. This query obtains a list of the Port Identifiers of devices that support the FCP protocol and FCP target function (see FC-GS-6);
- 6) For each Port Identifier returned in the accept CT\_IU for the GID\_FF, perform login and Process Login, and issue an INQUIRY command to LUN 0 to identify the type of target (see 6.2 and SPC-4);
- 7) If the INQUIRY succeeds, issue a REPORT LUNS command to LUN 0 to obtain a list of the logical units accessible through the target FCP\_Port (see SPC-4);
- 8) Issue an INQUIRY command for each reported LUN to determine the type of peripheral device and supported command set for the logical unit (see SPC-4); and
- 9) Issue an INQUIRY command with the EVPD bit set to one and the PAGE CODE set to 83h for each reported LUN to obtain the logical unit's Worldwide\_Name. This allows higher level applications to identify possible redundant paths to a logical unit (see SPC-4).

#### **D.1.2 Initiator discovery of loop-attached target FCP\_Ports**

The following procedure may be used by initiator FCP\_Ports for discovering and authenticating FCP devices in a loop environment where no fabric switch is attached to the loop.

The following steps are all optional. Depending on the specific configuration and the management requirements, any step may be omitted and any step may be performed using actions outside this standard or the referenced standards.

- 1) Obtain a map of the loop or poll all possible addresses if a loop map is not available to identify devices that are present on the loop (see FC-AL-2);

- 2) For each loop ID found in step 1, perform login and Process Login, and if the device is determined to be an FCP target, issue an INQUIRY command to LUN 0 (see 6.2 and SPC-4);
- 3) If the INQUIRY command succeeds, issue a REPORT LUNS command to LUN 0 to obtain a list of the logical units accessible through the target FCP\_Port (see SPC-4); and
- 4) Issue an INQUIRY command with the EVPD bit set to one and the PAGE CODE set to the Device Identification VPD page for each reported LUN to obtain the logical unit's Worldwide\_Name. This allows higher level programs to identify possible redundant paths to a logical unit (see SPC-4).

## D.2 Fabric and Device Authentication

The following mechanisms are used by any Fibre Channel device to verify its relationship with other devices attached to the fabric. Such verification may be required after initialization or other temporary fabric disturbances. The following steps are all optional. Depending on the specific configuration and the management requirements, any step may be omitted by any of the attached devices and any step may be performed using actions outside this standard or the referenced standards.

- 1) N\_Ports or NL\_Ports retain the Fabric Port Name and Fabric Name of the Fabric from the information exchanged during fabric login and associate that information with the Loop Fabric Address of that Fabric. This information is retained by the N\_Port or NL\_Port for as long as the login with the Fabric is active (see FC-LS);
- 2) All N\_Ports and NL\_Ports, including initiator FCP\_Ports and target FCP\_Ports, validate the current fabric login following every Loop Initialization by comparing the Loop Fabric Address, Fabric Port Name, and Fabric Name received during fabric login with those reported by the FAN ELS performed during the initialization. If all three identifiers reported by the FAN do not match the values reported during fabric login, a configuration change has occurred and an explicit logout is performed and all open Exchanges are terminated (see 4.10 and FC-DA);
- 3) N\_Ports and NL\_Ports retain the Node Name and Port Name of the other port from each PLOGI ELS and associate that information with the Address Identifier of that port. This information is retained for as long as the PLOGI ELS with the other port is active (see FC-LS); and
- 4) Initiator FCP\_Ports and target FCP\_Ports validate N\_Port and NL\_Port logins following every Loop Initialization by comparing the Port Name, Node Name, and Address Identifier received during the PLOGI ELS with those reported by the Name Server (see FC-GS-6) or the ADISC ELS/LS\_ACC (see FC-LS) that follows loop initialization. If all three identifiers reported by the Name Server or ADISC ELS/LS\_ACC do not match the values reported during PLOGI ELS, a configuration change has occurred and a logout is performed and all open Exchanges with that initiator FCP\_Port or target FCP\_Port are terminated (see 4.10 and FC-DA).

## D.3 Logical unit authentication

A logical unit's identity is optionally verified and monitored by performing an INQUIRY command with the EVPD bit set to one and the PAGE CODE set to the Device Identification VPD page to obtain the logical unit's Worldwide\_Name. The same Worldwide\_Name is presented by a logical unit regardless of the port by which it is accessed and the value of the LUN field used to access it.

## Annex E

(informative)

### FCP-4 examples of link service usage

#### E.1 Formats for recovery link services

Examples of the formats for recovery ELSs are described in this annex.

#### E.2 Abort Sequence (ABTS) request

##### E.2.1 Abort Sequence (ABTS) request fields

The initiator FCP\_Port or target FCP\_Port may transmit an ABTS frame. When it does so, the specified fields should be set as shown in table E.1.

**Table E.1 - ABTS frame**

	Field	Sub-field	Content
<b>Frame Header</b>	F_CTL	Sequence Context bit	Sequence Initiator (even though the ABTS Originator may not have Sequence Initiative for the Sequence being aborted).
		Sequence Initiative bit	Transferred, even if the ABTS Originator did not hold Sequence Initiative prior to the ABTS.
	SEQ_ID		If ABTS is sent by the Sequence Initiator and the Sequence is still open, the SEQ_ID field value of the open Sequence in the Exchange being aborted is used. Otherwise, the SEQ_ID field value is any SEQ_ID not currently open (for any Exchange) between that pair of FCP_Ports.
	SEQ_CNT		SEQ_CNT field value of last frame transmitted in an Open Sequence + 1. If no Sequence is open, then SEQ_CNT = zero or SEQ_CNT field value of last frame transmitted + 1.
	OX_ID		OX_ID field value = same as that assigned by the Exchange Originator (i.e., initiator FCP_Port) for the Exchange being aborted.
	RX_ID		Set to FFFFh or the same as that assigned by the Exchange Responder (i.e., target FCP_Port) for the task being aborted.
	PARAMETER	Bit 0 = 0	Abort Exchange
		Bit 0 = 1	Abort Sequence



## E.2.2 Basic Accept (BA\_ACC) frame to ABTS

An initiator FCP\_Port or target FCP\_Port may accept ABTS with BA\_ACC. When it does so, the BA\_ACC should be as shown in table E.2.

**Table E.2 - BA\_ACC frame to ABTS**

	Field	Sub-field	Content
<b>Frame Header</b>	OX_ID		OX_ID field value from ABTS frame
	RX_ID		RX_ID field value from ABTS frame
	F_CTL	Last_Sequence bit	Set to one for Abort Exchange or Set to zero for Abort Sequence
		Sequence Context bit	Recipient
<b>Payload</b>	SEQ_ID VALIDITY		Set to 00h for Abort Exchange or Set to 80h for Abort Sequence
	SEQ_ID		Invalid (don't care) for Abort Exchange or Set to SEQ_ID field value of last deliverable Sequence received from ABTS Originator for Abort Sequence
	OX_ID		OX_ID field value from ABTS frame
	RX_ID		RX_ID field value from ABTS frame
	LOWEST SEQ_CNT		Set to 0000h for Abort Exchange or Refer to FC-FS-3 for Abort Sequence
	HIGHEST SEQ_CNT		Set to FFFFh for Abort Exchange or Set to SEQ_CNT field value of the ABTS frame for Abort Sequence

### E.2.3 Basic Reject (BA\_RJT) frame to ABTS

An initiator FCP\_Port or target FCP\_Port may reject ABTS with BA\_RJT. When it does, the BA\_RJT should be as shown in table E.3.

**Table E.3 - BA\_RJT frame to ABTS**

	Field	Sub-field	Content
<b>Frame Header</b>	OX_ID		OX_ID field value from ABTS frame
	RX_ID		RX_ID field value from ABTS frame
	F_CTL	Last_Sequence bit	1
		Sequence Context bit	Recipient
<b>Payload</b>	Byte 0	Reserved	00h
	Byte 1	Reason Code	refer to FC-LS
	Byte 2	Reason Code Explanation	refer to FC-LS
	Byte 3	Vendor Unique	00h

## E.3 Reinstate Recovery Qualifier (RRQ) ELS

### E.3.1 RRQ ELS request format

The format of the RRQ ELS request is specified in table E.4.

**Table E.4 - RRQ ELS request format**

	Field	Content
<b>Frame Header</b>	OX_ID	Identifier of a new Exchange
	RX_ID	FFFFh
<b>Payload</b>	ORIGINATOR S_ID	Source_ID of the initiator FCP_Port
	OX_ID	OX_ID field value of Exchange that was previously aborted with ABTS
	RX_ID	RX_ID field value of Exchange that was previously aborted with ABTS

Following successful processing of the RRQ ELS request, the target FCP\_Port responds with LS\_ACC.